



DEFENSE INTELLIGENCE AGENCY

(b)(3):10 USC 424; (b)(6)

29 JUNE 2020

(b)(1); (b)(3):50 USC 3024(i); Sec. 1.4(c); Sec. 1.4(e)

Overall Briefing: ~~TOP SECRET~~

The next 2 pages are withheld in full citing (b)(1) and (b)(3) 50 USC 3024(i), and are not provided.

(b)(3):50 USC 3024(i)

Classified by: Derived from: Declassify on:



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(b)(3):50 USC 3024(i)

(U) DIA AUTHORITATIVE ASSESSMENT (27 MAR 2020)

China: Origins of COVID-19 Outbreak Remain Unknown

DEFENSE INTELLIGENCE | 27 MARCH 2020

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(b)(1); (b)(3):50 USC 3024(i); Sec. 1.4(c); Sec. 1.4(e)

(U) **“Genetic engineering” here is:** using a set of biotechnologies to manipulate or “edit” an organism or virus’s genome; it does not include directed evolution by other means such as the use of repeated passage through animals or cell culture.

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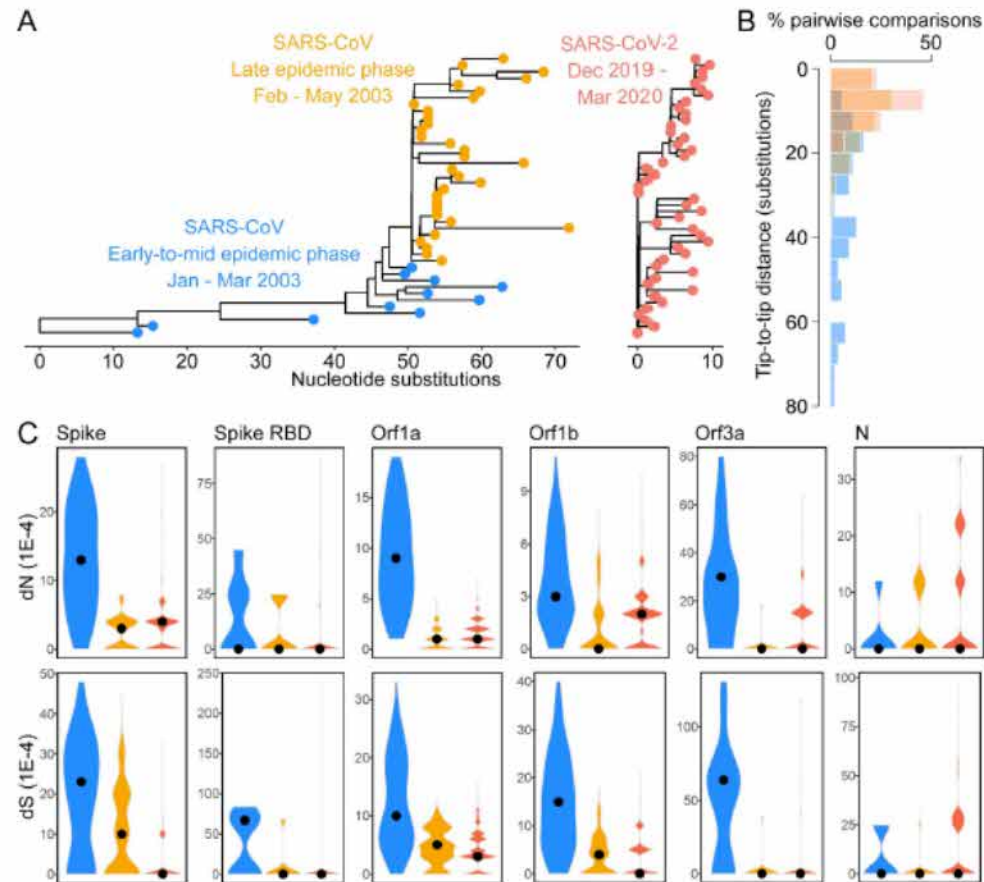
(b)(3):50 USC 3024(i)

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(U) NOTABLE VIRUS FEATURES

(U) Adaptation to humans early in the outbreak: genomic evolution study



(U) “In a side-by-side comparison of evolutionary dynamics between the 2019/2020 SARS-CoV-2 and the 2003 SARS-CoV, we were surprised to find that SARS-CoV-2 resembles SARS-CoV in the late phase of the 2003 epidemic after SARS-CoV had developed several advantageous adaptations for human transmission. Our observations suggest that **by the time SARS-CoV-2 was first detected in late 2019, it was already pre-adapted to human transmission** to an extent similar to late epidemic SARS-CoV.”

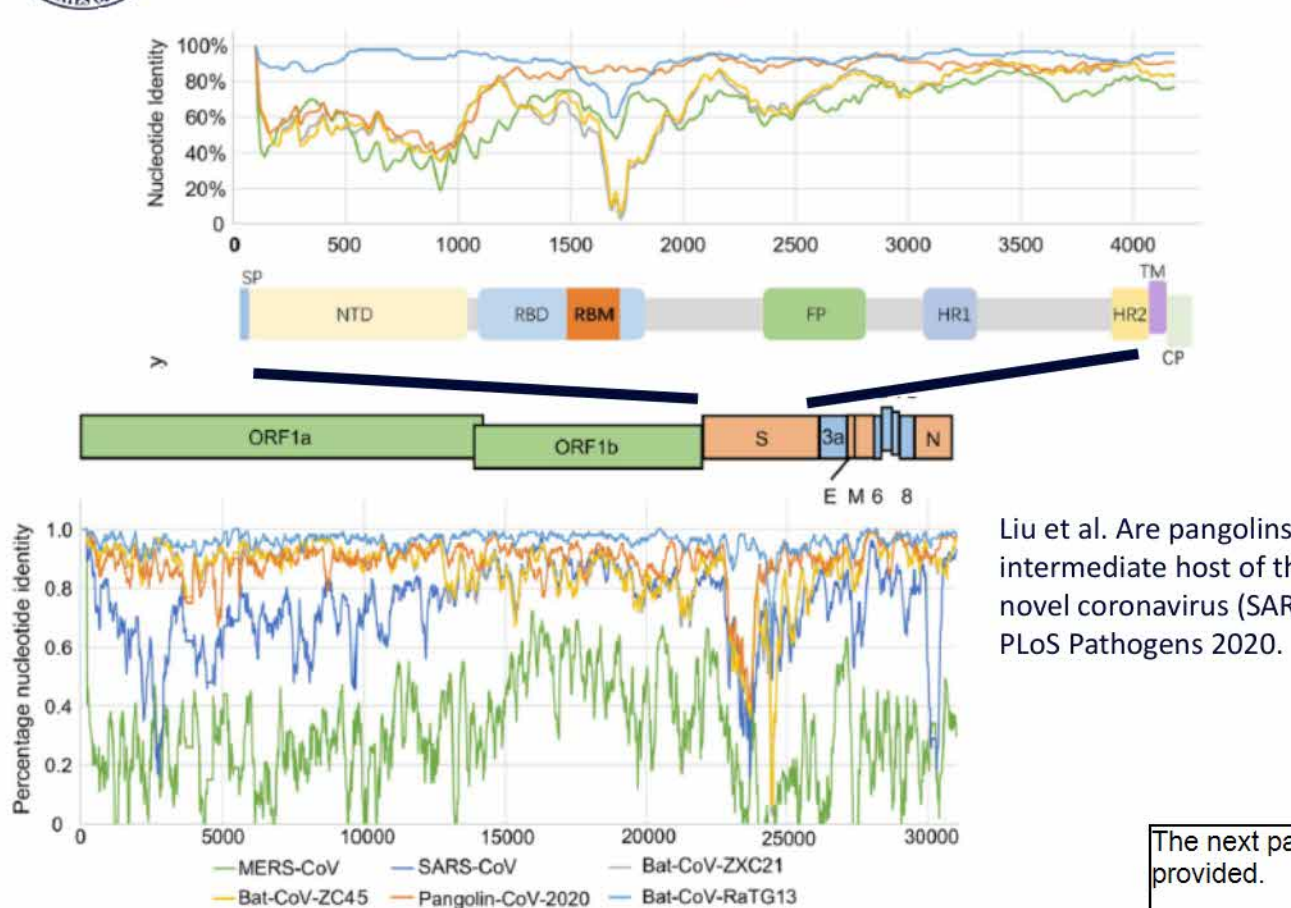
Zhan et al. SARS-CoV-2 is well adapted for humans. What does this mean for emergence? bioRxiv 2020.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) NOTABLE VIRUS FEATURES

(U) Similar to bat and pangolin coronaviruses in different regions



Liu et al. Are pangolins the intermediate host of the 2019 novel coronavirus (SARS-CoV-2)? PLoS Pathogens 2020.

(U) "In the region of nucleotides 1-914, Pangolin-CoV is more similar to Bat SARSr-CoV ZXC21 and Bat SARSr-CoV ZC45, while in the remaining part of the gene, Pangolin-CoV is more similar to SARS-CoV-2 and Bat-CoV-RaTG13 . . . In particular, the receptor-binding domain of the S protein of Pangolin-CoV has only one amino acid difference from that of SARS-CoV-2. Overall, these data indicate that **SARS-CoV-2 might have originated as the recombination of a Pangolin-CoV-like virus with a Bat-CoV-RaTG13-like virus.**

Xiao et al. Isolation of SARS-CoV-2-related coronavirus from Malayan pangolins. Nature 2020.

The next page is withheld in full citing (b)(3) 50 USC 3024(i), and is not provided.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) COULD A LAB HAVE MADE THE VIRUS?

(U) “The Institute [Wuhan Institute of Virology] does not have the capability to design and synthesize a new coronavirus . . .”(U) China Ministry of Foreign Affairs, press release 5 May 2020

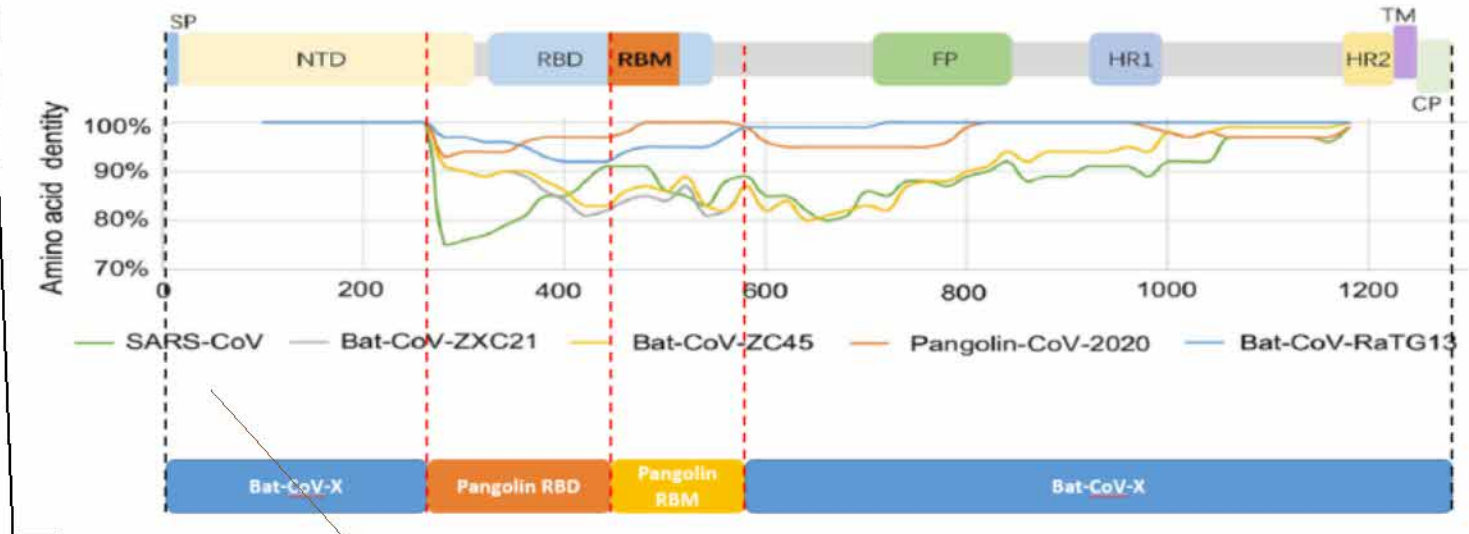
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Human adaptation —> Homology break points —> Furin cleavage site —> **Research capabilities** —> Research underway —> Scenario



(U) PREVIOUS WIV RESEARCH IN RELATION TO SARS-COV-2

(U) Example: Identification of specific mutations that enable bat CoV to infect human cells (2008)



Ren et al. Difference in receptor usage between Severe Acute Respiratory Syndrome (SARS) Coronavirus and SARS-Like Coronavirus of bat origin. J Virol 2008.

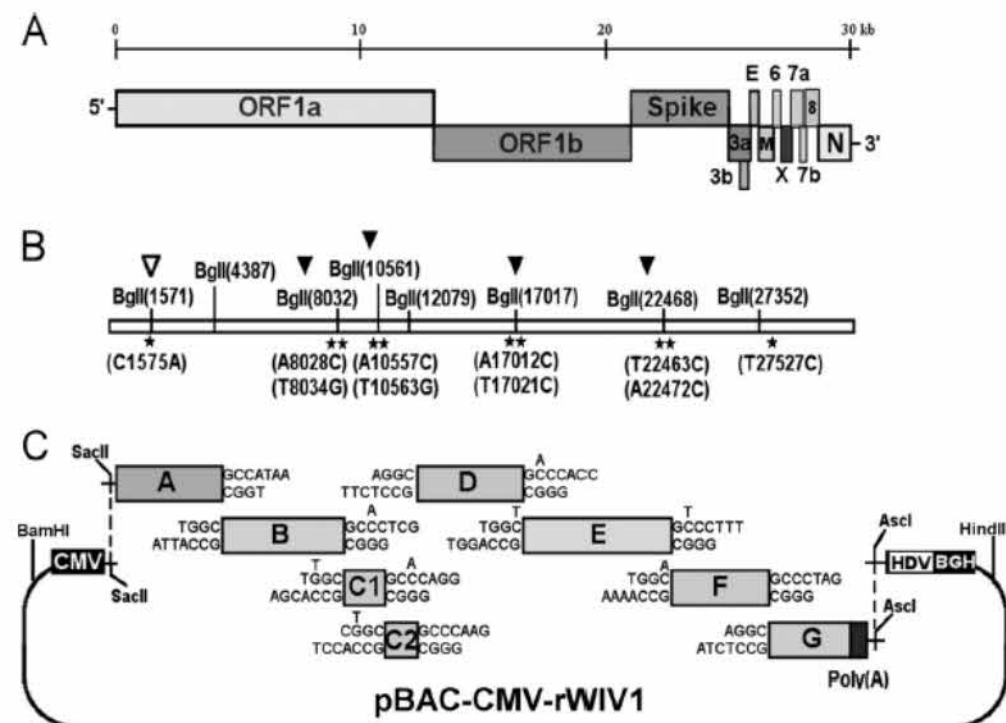
(b)(3);10 USC 424; (b)(1); (b)(3);50 USC 3024(i); Sec. 1.4(c); Sec. 1.4(e); (b)(6)

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) PREVIOUS WIV RESEARCH IN RELATION TO SARS-COV-2

(U) Example: Method for synthesizing bat CoV WIV1 (reverse genetics system; 2016)



(U) “Strategy for construction of an infectious WIV1 BAC clone.”

(U “In this study, we have developed a fast and cost-effective method for reverse genetics of coronaviruses by combining two approaches developed by others. Our method allows the genomes of coronaviruses to be split into multiple fragments and inserted into a BAC plasmid with a single step . . . As the genomes can be divided into multiple short fragments, mutations can be introduced into individual fragments easily.”

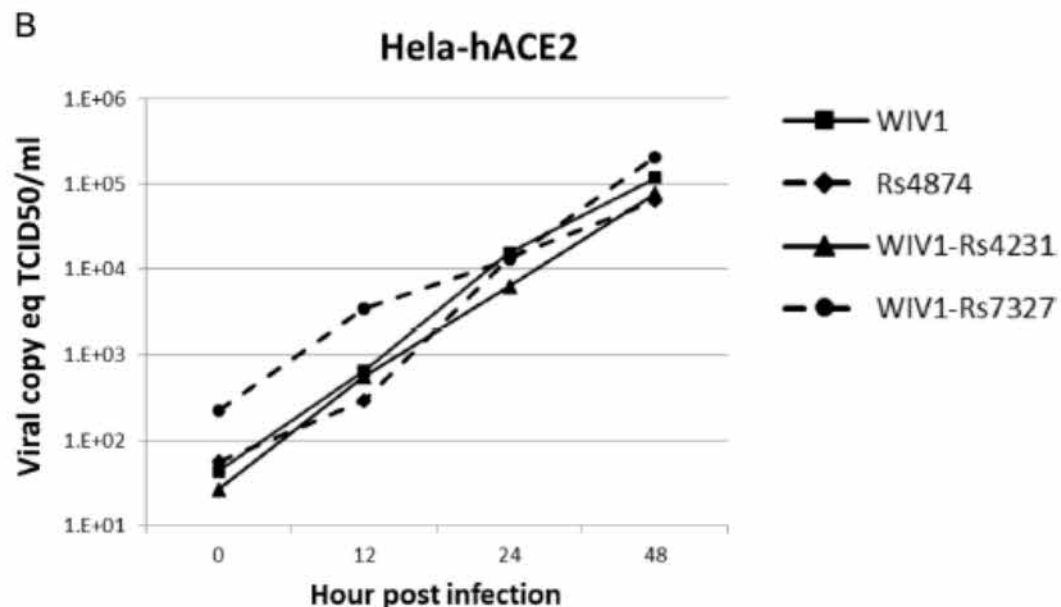
Zeng et al. Bat Severe Acute Respiratory Syndrome-Like Coronavirus WIV1 encodes an extra accessory protein, ORFX, involved in modulation of the host immune response. J Virol 2016.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) PREVIOUS WIV RESEARCH IN RELATION TO SARS-CoV-2

(U) Example: Construction of chimeras with spike from new bat CoVs on WIV1 backbone, infection studies (2017)



(U) “In this cave, we have now obtained full-length genome sequences of additional 11 novel SARSr-CoVs from bats . . . Using the reverse genetics technique we previously developed for WIV1, we constructed a group of infectious bacterial artificial chromosome (BAC) clones with the backbone of WIV1 and variants of S genes from 8 different bat SARSr-CoVs.”

Hu et al. Discovery of a rich gene pool of bat SARS-related coronaviruses provides new insight into the origin of SARS coronavirus. PLoS Pathogens 2017.

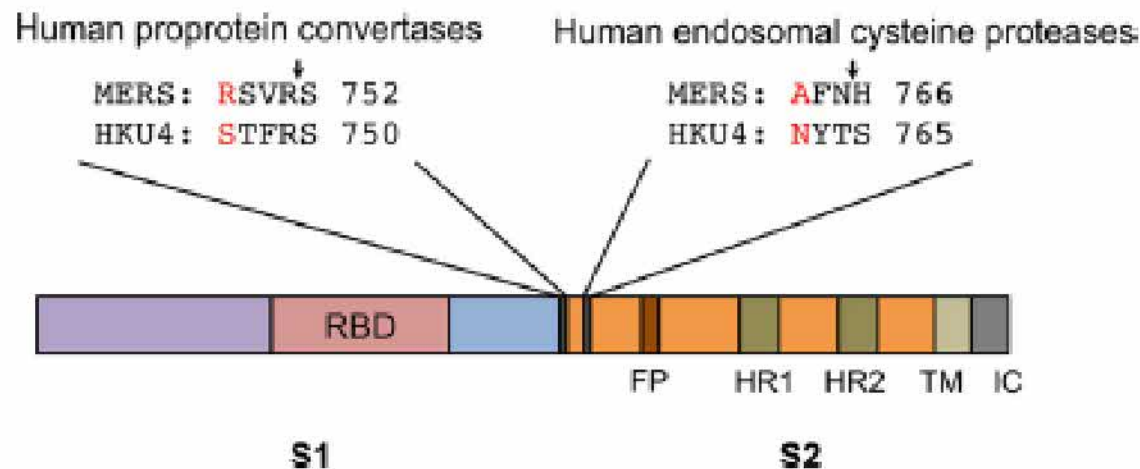
(U) Synthetic chimera infection of cells with human receptor.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) PREVIOUS WIV RESEARCH IN RELATION TO SARS-COV-2

(U) Example: Insertion of furin cleavage site enabling bat CoV (MERS-CoV progenitor) to infect human cells (2015)



(U) “... the two mutations adaptive to human cellular proteases transformed MERS-CoV spike from completely lacking to fully possessing the capacity to mediate viral entry into human cells, and thus they likely played the most critical role in the bat-to-human transmission of MERS-CoV, either directly or through intermediate hosts.”

(U) MERS-CoV and bat CoV HKU4 spike proteins.

Yang et al. Two mutations were critical for bat-to-human transmission of Middle East Respiratory Syndrome coronavirus. J Virol 2015.

The next 4 pages are withheld in full citing (b)(1) and (b)(3) 50 USC 3024(i), and are not provided.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario

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• (U) NOTABLE VIRUS FEATURES

- (U) Adaptation to humans early in the outbreak: computational receptor binding study

Table 4. Binding energies of SARS-CoV-2 spike protein to ACE2 of selected species and potential species susceptibilities from other studies

Species	Binding energy kcal/mol	MMPBSA energy kcal/mol	COVID infectivity n/a = not assessed
<i>Homo sapiens</i> (human)	-52.8	-57.6	Permissive, high infectivity, severe disease in 5-10%,
<i>Manis javanica</i> (pangolin)	-52.0	-56.5	Permissive
<i>Canis luparis</i> (dog)	-50.8	-49.5	Permissive, low infectivity, no overt disease
<i>Macaca fascicularis</i> (monkey)	-50.4	-50.8	Permissive, medium infectivity, lung disease
<i>Mesocricetus auratus</i> (hamster)	-49.7	-50.0	Permissive, high infectivity, lung disease
<i>Mustela putorius furo</i> (ferret)	-48.6	-49.2	Permissive, medium infectivity, mild disease
<i>Felis catus</i> (cat)	-47.6	-48.9	Permissive, high infectivity, lung disease
<i>Panthera tigris</i> (tiger)	-47.3	-42.5	Permissive, overt respiratory symptoms
<i>Rhinolophus sinicus</i> (bat)	-46.9	-49.6	n/a
<i>Paguma larvata</i> (civet)	-45.1	-46.1	n/a
<i>Equus ferus caballus</i> (horse)	-44.1	-49.2	Permissive, low infectivity, no overt disease
<i>Bos taurus</i> (cow)	-43.6	-42.5	n/a
<i>Ophiophagus hannah</i> (snake)	-39.5	-52.5	n/a
<i>Mus musculus</i> (mouse)	-38.8	-39.4	n/a

(b)(3):50 USC 3024(i)

Piplani et al. In silico comparison of spike protein-ACE2 binding affinities across species; significance for the possible origin of the SARS-CoV-2 virus. arXiv 2020.

Human adaptation —> Homology break points —> Furin cleavage site —> Research capabilities —> Research underway —> Scenario



(U) CHINESE GENOME REPORT

(U) We have questions

- (U) No mention of furin cleavage site in original report. (U) Reference to BatCoV RaTG13 in original report does not mention a virus reported by WIV in 2016 BatCoV/4991, with identical RdRp sequence (i.e., RaTG13=4991?). (U) WIV identified BatCoV/4991 in cave expedition following fatal pneumonia outbreak among miners in 2012 (Ge et al., 2016); no scientific reports of cause of the outbreak.

Live samples of RaTG13 or 4991 not known to exist. (U) Large-scale contamination evident in pangolin sequences (Zhang et al., 2020).



SARS-CoV-2
Furin cleavage
site

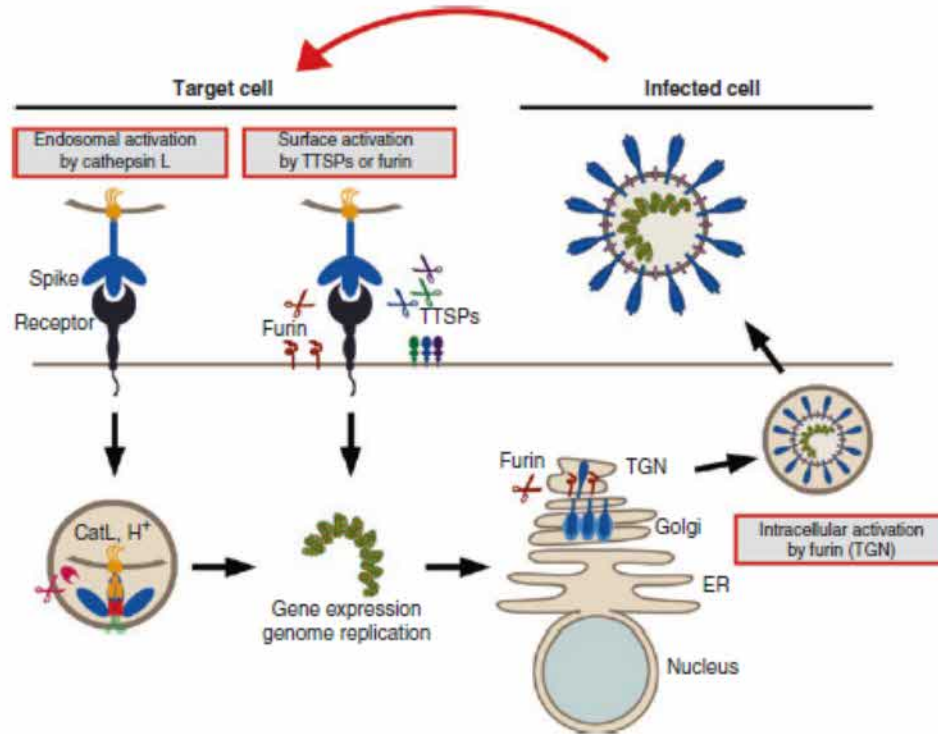
(b)(1); (b)(3);50 USC 3024(i); Sec. 1.4(c); Sec. 1.4(e)

Zhou et al. A pneumonia outbreak associated with a new coronavirus of probable bat origin. Nature 2020.



(U) NOTABLE VIRUS FEATURES

Polybasic furin cleavage site



(b)(3):50 USC 3024(i)

Hoffmann et al. Priming time: how cellular proteases arm coronavirus spike proteins. Activation of Viruses by Host Proteases 2018.

Piplani et al. In silico comparison of spike protein-ACE2 binding affinities across species; significance for the possible origin of the SARS-CoV-2 virus. arXiv 2020.



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(b)(3):50 USC 3024(i)

(U) COULD A LAB HAVE CONSTRUCTED THE VIRUS?

(U) “The Institute [Wuhan Institute of Virology] does not have the capability to design and synthesize a new coronavirus . . .”(U) China Ministry of Foreign Affairs, press release 5 May 2020

(b)(1); (b)(3):50 USC 3024(i); Sec. 1.4(c); Sec. 1.4(e)

The final 5 pages are withheld in full citing (b)(1), (b)(3) 10 USC 424 + 50 USC 3024(i), and (b)(6) and are not provided.

Human adaptation —> Chimeric genome —> Furin cleavage site —> **Research capabilities** —> Research underway

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(b)(3):50 USC 3024(i)