



27 August 2021

Dr. Michael S. Lauer
National Institutes of Health
9000 Rockville Pike
Bethesda, Maryland 20892

Dear Dr. Lauer,

In response to your requests for (1) records and (2) reports in your letter dated 23 July 2021, we provide the following responses and documentation. The requested files are too large to transmit via email, so they may be downloaded via the following Drop Box link. Let us know, if another method of file transfer would be preferred.

Drop Box Link:

(b) (6)

Responses:

1. Records

R01AI110964

01 WIV Documents.pdf

1. WIV Subaward Contracts & Invoices Y1-Y5
2. WIV Risk Assessment Matrixes 2016*-2019
3. WIV FFATA Reports from 2015-2019
4. WIV Annual Reports 2014-2016
5. WIV DHHS PHS NIH OLAW Approvals for 2014-2019 and 2019-2024
6. WIV Subrecipient Monitoring 2016*-2019

**EcoHealth Alliance began a formal Uniform Guidance subrecipient monitoring policy in 2016 as per OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR §200.331).*

02 EHA FFRs from 2014-2019.pdf

U01AI151797

01 Chulalongkorn Documents.pdf

1. Chulalongkorn Subaward Contract and Invoices
2. Chulalongkorn Subaward email and confirmation to NIAID

3. Chulalongkorn Risk Assessment Matrix
4. Chulalongkorn Audit
5. Chulalongkorn COI Policy
6. Chulalongkorn Subrecipient Monitoring

02 Duke-NUS Documents.pdf

1. Duke-NUS Subaward Contract*
2. Duke-NUS Subaward email and confirmation to NIAID
3. Duke-NUS Risk Assessment Matrix
4. Duke-NUS Audit
5. Duke-NUS COI Policy

**Contract signed in Aug 2021, so Duke-NUS Subrecipient Monitoring form will be available early 2022*

03 UNC Documents.pdf

1. UNC Subaward Contract and Invoices
2. UNC Subaward email and confirmation to NIAID
3. UNC Risk Assessment Matrix
4. UNC Audit
5. UNC COI Policy
6. UNC Subrecipient Monitoring

04 FSRA-FFATA EID-SEARCH.pdf

In addition to the records listed above, we are fully in compliance with the award conditions for U01AI151797. Specifically, from the NoA language: (a) all subaward contract agreements including descriptions of biosafety monitoring plans and (b) proof of documentation of timely entries of subaward information into the FSRS reporting have been provided to our NIAID Program Officer and Grants Management Specialist within 30-days of establishment of the subaward contract or submitting subaward information to the FSRS, respectively. In the files above and following each Subaward Contract and Invoices, we have documentation of emails and responses of these reports and communications.

U01AI153420

01 icddr Documents.pdf

1. icddr,b Subaward Contract and Invoices
2. icddr,b Risk Assessment Matrix
3. icddr,b Audit
4. icddr,b COI Policy
5. icddr,b Subrecipient Monitoring

02 IEDCR Documents.pdf

1. IEDCR Subaward Contract and Invoices
2. IEDCR Risk Assessment Matrix
3. IEDCR Desk-Audit Questionnaire **in lieu of Audit document*
4. IEDCR COI Policy
5. IEDCR Subrecipient Monitoring

03 FSRS-FFATA Nipah Bangladesh.pdf

2. Reports

- a. The interim report (I-RPPR) for R01AI110964 has been submitted via the Interim-RPPR option in the eRA Commons system. Program Officer Erik Stemmy and Grants Management Specialist Shaun Gratton have been notified.
- b. Documentation of our submission of all quarterly FFR reports for years 1-6 (2014-2019) for R01AI110964 are included in the Drop Box link, above. Note that following the notice of award for 2R01AI110964 (24-July-19), its termination (27-Apr-20), subsequent of suspension (15-Jul-20), there was a change (01 Jan 21) to the process by which FFRs are required to be submitted such that the US DHHS Payment Management System (PMS) is now used instead of eRA Commons. The PMS does not recognize our previously-terminated, now-suspended grant number and we have requested that the PMS update their system to approve this grant number (update request number UPDA0229501). We have followed up as recently as this morning 8/27/21 (ticket number 264975). As soon as PMS updates their system, we will submit the report and notify our program officer and grants management specialist and send documentation.
- c. Reporting for U01AI151797, and U01AI153420 is up to date.

If any additional details or information are required, please let us know. We look forward to hearing from you.

Sincerely,

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Peter Daszak, PhD
President, EcoHealth Alliance

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Aleksei Chmura, PhD
Chief of Staff & AOR