

**DTRA Quarterly/Annual
Team Award Nomination**

CUI

ATTENTION

Use this space to indicate categories, limited dissemination controls, special instructions, points of contact, etc. if needed.

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CUI Categor(ies): PRVCY

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ATTENTION

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CUI

DTRA Quarterly/Annual Team Award Nomination

Privacy Act Statement

AUTHORITY: 5 USC 4501 and 5 CFR 451 and EO9397.

PRINCIPAL PURPOSE: To record justification criteria and approvals for award nomination under the provisions of DTRA Instruction 1432.2.

ROUTINE USE: None. The DoD 'Blanket Routine Uses' apply to this system of records.

DISCLOSURE: Disclosure of information is voluntary. Nondisclosure could delay award nomination.

1. AWARD YEAR: 2022	2. QUARTER: 3RD
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3. TEAM NAME: EcoHealth Alliance (EHA) Grant Review
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4. SPONSORING DIRECTORATE/STAFF OFFICE: Office of the Inspector General (OIG)	5. DUTY LOCATION: Fort Belvoir, Virginia
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SIGNATURES

6A. FIRST NOMINATING OFFICIAL NAME: _____	6B. FIRST NOMINATOR RANK/GRADE: GS-15	6C. FIRST NOMINATOR SIGNATURE: _____
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7A. SECOND NOMINATING OFFICIAL NAME: _____	7B. SECOND NOMINATOR RANK/GRADE: GS-15	7C. SECOND NOMINATOR SIGNATURE: _____
---	---	--

8A. APPROVING OFFICIAL NAME (DIRECTOR): _____	8B. APPROVING OFFICIAL SIGNATURE: _____
--	--

BOARD USE ONLY:

Rank: _____ of _____

<p>9. TEAM CHARACTER / MISSION STATEMENT: The EHA Grant Review was conducted in response to the DASD(TRAC) directive memorandum, on or about December 2, 2021, to determine whether EHA remained a viable candidate to compete for CT grant funding in the future. The CT Director suggested the DTRA OIG lead the review. The team comprised OIG members, a Physical Scientist from CT; a Financial Analyst from SI-FM, and a Grants Officer (GO) from Acquisition Contracting (AC).</p>

<p>10. SIGNIFICANT ACCOMPLISHMENTS: (Max bullets: 5 Quarterly/5 Annual)</p> <ul style="list-style-type: none"> – Despite national media and congressional interest involving EHA's overall laboratory work, the EHA Grant Review team remained focused on objectives by thoroughly reviewing three grants with an award value of \$12.6 million covering a span of grant activity since 2017. – The TRAC objectives sought a review of: (1.) financial records for consistency with specific grant purposes and compliance with legal requirements; (2.) experimental records for proper and ethical handling; (3.) candidacy concerns for EHA to remain a recipient of CT funds. – A meticulous strategy was implemented, consisting of compliance oversight, technical engagement, financial verification, and contract analysis that garnered great synergy, which enabled SMEs to scrutinize hoards of grant documents for availability, accuracy, and efficacy. – Early on, they engaged key external stakeholders at ONR and DCMA, such that, this coordination revealed the Agency's financial and contract records for the three grants were appropriately managed during the period of EHA's and DoD's grant administration. – The EHA Grant Review team recommended unprecedented changes that will lead to the establishment of an Agency-wide Grant Program to strengthen DTRA's oversight of 139 grants worth \$263 million and development of GOR training and a fraud-risk management structure.
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<p>11. ACRONYMS: (Spell out Abbreviations)</p> <p>EcoHealth Alliance (EHA); Office of the Inspector General (OIG); Deputy Under Secretary of Defense (DASD); Threat Reduction and Arms Control (TRAC) Office; Cooperative Threat Reduction Directorate (CT); subject matter experts (SMEs); Office of Naval Research (ONR); Defense Contract Management Agency (DCMA); Grant Officer Representative (GOR)</p>

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12. PRESENTATION STATEMENT: (Do not use Abbreviations)

During this period, the EcoHealth Alliance Grant Review Team distinguished themselves by executing a task from the Pentagon's Office of the Deputy Under Secretary of Defense for Threat Reduction and Arms Control. Composed of action officers from the Inspector General, Comptroller, Contracting, and Cooperate Threat Reduction, the team conducted an extensive look at EcoHealth Alliance's grant activity, despite EcoHealth Alliance's laboratories receiving national media and congressional scrutiny. The review team sustained the highest-level of excellence, while analyzing hoards of technical, financial, and contracting documents worth \$12 million dollars of research activity. Their conclusions seek unprecedented changes in the Agency's overall Grant Program, which will establish oversight, training, and fraud-risk mechanisms for improved grant operations.

13. LIST TEAM MEMBERS AND DIRECTORATE:

Team Lead, OIG
Acquisition Advisor and Program Manager, OIG
Financial Manager, SI-FM
Science Advisor, CTR
Grant Officer, AL-AC

**DTRA Quarterly/Annual
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Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

Page 06 of 69

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act



NUCLEAR, CHEMICAL, AND
BIOLOGICAL DEFENSE PROGRAMS

OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE
3050 DEFENSE PENTAGON
WASHINGTON, DC 20301-3050

MEMORANDUM FOR DIRECTOR, COOPERATIVE THREAT REDUCTION, DEFENSE
THREAT REDUCTION AGENCY

SUBJECT: EcoHealth Alliance Grant Reviews

This document directs the Defense Threat Reduction Agency (DTRA), through the Cooperative Threat Reduction (CTR) Program's Biological Threat Reduction Program (BTRP), to initiate and support a full-scale review of three grants awarded to EcoHealth Alliance (EHA). DTRA may choose which active grants to review, with a strong emphasis on selecting those nearing the end of their periods of performance. DTRA may choose between employing a third-party to conduct the review or conducting the review internally. Please keep my office informed throughout the process, including which grants are selected for review and the review format.

Based on my January 2021 review of all active scientific research grants sponsored by BTRP, EHA dominated the BTRP research portfolio as the prime awardee on seven grants totaling nearly \$34M in research funding. This total encompasses nearly a third of all funding for scientific research awarded through BTRP. The second-highest funded awardee is the University of Florida, with two grants totaling less than \$6M. EHA's active grants are awarded at near-maximum funding levels (at a combined 97% of allowable funding) and recent proposal submissions continue to request a similar level.

Since EHA is a recipient of a large portion of BTRP's grant funds, we must ensure that EHA is expending those funds capably and responsibly, in line with grant requirements, federal regulations, and BTRP's threat reduction mission. Through this review, we aim to ensure that all grant funds are used for the documented purpose for which they were awarded.

Further, conducting a review of EHA grants at this time demonstrates DoD's focus on ensuring accountability from grantees. This is especially important given the increased congressional attention on EHA and its use of federal funding. We should ensure that EHA is conducting research, reporting findings, and managing sub-awards consistent with grant agreements.

Our objectives in requesting this review of three grants are therefore:

1. To review all grant financial records for consistency with specific grant purposes and for compliance with legal requirements;
2. To review all experimental records for proper and ethical data handling, reporting, and dissemination requirements; and
3. To assess whether EHA's internal grant management processes continue to comply with DoD and USG guidelines that would allow for EHA to remain a potential candidate to compete for CTR funding.

I ask that DTRA deliver a comprehensive report on the results of the review to my office by the end of FY22. Please make my office aware if additional time is required to complete this

review. I look forward to supporting your efforts in this regard, and please let me know what my office can do to assist.

My point of contact for this guidance is (b)(6) Director for WMD Threat Reduction,
at (b)(6)

(b)(6)

Deputy Assistant Secretary of Defense for
Threat Reduction and Arms Control

cc:
DASD(CWMD Policy)

From: (b)(6)
To: (b)(6)
Cc: Miller, Craig G SES DTRA OFFICE OF THE DIR (USA); (b)(6)
Subject: FW: EcoHealth Alliance Grant Review (re: Progress Update) #21-092
Date: Tuesday, May 3, 2022 9:22:00 AM
Attachments: [EcoHealth Alliance Review--signed.pdf](#)
[IPR Bullets final.docx](#)

(b)(6)

I just spoke with (b)(6) and she recommended I meet with you to discuss our review of CT's grants with EHA. I meet with Dr. Pope in about 10-minutes but hope to get with you at some point today.

V/R,
(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Monday, May 2, 2022 2:39 PM
To: (b)(6)
Cc: (b)(6)
Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

I'd like to have a conversation about this

-----Original Message-----

From: (b)(6)
Sent: Monday, May 2, 2022 1:00 PM
To: (b)(6)
Cc: (b)(6)
Subject: FW: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

(b)(6) FYSA.

The TRAC review is at the stage for an update brief to Dr. Pope tomorrow. I will back brief you on this when I'm in the building this week.

V/R,
(b)(6)

-----Original Message-----

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
Sent: Monday, May 2, 2022 12:48 PM
To: (b)(6)
Cc: (b)(6)

(b)(6)

Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Thank you.

V/r,

Rob

Robert S. Pope, Ph.D., SES

Director

Cooperative Threat Reduction

From: (b)(6)

Sent: Monday, May 2, 2022 12:43 PM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)

Cc: (b)(6)

(b)(6)

Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Dr. Pope,

Consider the below as our read ahead for tomorrow's discussion.

V/R,

(b)(6)

=====

OIG # 21-092: Review EcoHealth Alliance Grants IAW DASD TRAC Memo (dtd 2-Dec-21)

DASD Threat Reduction and Arms Control (TRAC) requested a review of three out of seven grants awarded to EHA with a final report provided by the end of FY22 with the following objectives:

- 1.) To review all grant financial records for consistency with specific grant purposes and for compliance with legal requirements;
- 2.) To review all experimental records for proper and ethical data handling, reporting, and dissemination requirements and;
- 3.) To assess whether EHA's internal grant handling processes continue to comply with DoD and USG guidelines that would allow for EHA to remain a potential candidate to compete for CTR funding.

GRANTS SELECTED FOR REVIEW:

- 1.) HDTRA 17-1-0037, Serological Biosurveillance for Spillover of *Henipaviruses* and *Filoviruses* at Agricultural and Hunting Human-Animal Interfaces in Peninsular Malaysia
 - Estimated cost: \$3,653,165.39
 - Type of Grant Award: Cost Reimbursable
- 2.) HDTRA 17-1-0064, Understanding the Risk of Bat-Borne Zoonotic Disease Emergence in Western Asia
 - Estimated cost: \$3,997,637.00
 - Type of Grant Award: Cost Reimbursable
- 3.) HDTRA 19-1-0033, Reducing the Threat of Rift Valley Fever through Ecology, Epidemiology, and Socio-Economics
 - Estimated cost: \$4,986,873
 - Type of Grant Award: Firm Fixed Price

OIG PRELIMINARY OBSERVATIONS FOR EXTERNAL CONSIDERATION (i.e., RESPONSE TO DASD-TRAC):

- 1.) Although EHA invoicing creates confusion in accordance with award type and lacks sufficient detail, there is no evidence the invoices have been challenged by ONR or GOR(s).
- 2.) Scientific efforts to included ethical handling of data and dissemination appear sound.
- 3.) Current government oversight processes lack the rigor required to assess whether EHA, or any grantee, remains a viable partner.

OIG PRELIMINARY OBSERVATIONS FOR INTERNAL CONSIDERATION (i.e., RESPONSE TO DTRA, et al.):

- 4.) DTRA post-award Grant processes require review and improvement.
 - Grant pre-award processes and reviews are well documented and appear sound
 - Formal Grant Process has not been formalized and signed by the Head of Contracting Activity (HCA) as required
 - Grant awards were in accordance with prescribed law and regulation
 - Each grant file was missing required documentation
 - Grants are administered by Office of Naval Research (ONR), Boston
 - *SI-FM and AC were unable to quickly determine ONR PoC(s) for the reviewed grants*
 - *No evidence GOR receives notification of invoices to review/approve prior to payment*
 - *AC and SI-FM do not know how ONR determines invoices are proper, correct, and legal prior to payment*
 - *Lack of interaction between DTRA and ONR post- grant award*
 - GOR appointment does not require COR training
 - *"Many" GORs have received COR training through DAWIA PM certification*
 - *Basic and Applied Research training requirement is insufficient to oversight a grant*
 - No evidence GOR(s) reviewed quarterly/annual reports for scientific sufficiency against the Statement of Work (SOW)
 - No site visits were conducted to insure performance against SOW or that equipment claimed was purchased

=====

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)

Sent: Wednesday, April 27, 2022 7:24 PM

To: (b)(6)

Cc: (b)(6)

(b)(6)

Subject: Re: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Thanks (b)(6) I look forward to it.

From: (b)(6)

Date: Thursday, April 28, 2022 at 1:56:25 AM

To: "Pope, Robert S SES DTRA COOP THRT REDUCT (USA)"

(b)(6)

Cc: (b)(6)

(b)(6)

Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Dr. Pope,

I request to have time with you next week to provide a progress update on the EHA review, per the TRAC memo. From the IG's perspective mainly, but inclusive of the entire effort.

Sir, if your calendar (or (b)(6)) is available any day except Thursday next week, that would be great for me. I'll adjust my calendar to make the time and meeting fit CT's needs.

V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)

Sent: Thursday, December 2, 2021 2:29 PM

To: (b)(6)

Cc: (b)(6)

Subject: RE: EcoHealth Alliance grant review

(b)(6) - We received the formal task from NCB for this review. Do you have a suggestion what element(s) of DTRA are most appropriate to assist CT with this?

V/r,
Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

Sent: Wednesday, November 24, 2021 8:25 AM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)
Cc: (b)(6)

(b)(6)

Subject: Re: EcoHealth Alliance grant review

Dr. Pope,

I'll get my Team together to review the details then get back to you shortly. It makes sense to not grade your own homework. The challenge is finding the right mix from DTRA to facilitate your needs. Thanks for letting me know!

V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

From iPhone

From: "Pope, Robert S SES DTRA COOP THRT REDUCT (USA)"

(b)(6)

Date: Tuesday, November 23, 2021 at 5:46:49 PM

To: (b)(6)

<n

Cc:

(b)(6)

Subject: FW: EcoHealth Alliance grant review

(b)(6)

Please see the attached draft tasking from NCB for DTRA to conduct a review of a select set of EcoHealth Alliance grants to verify that the funds were used for their intended purpose. My sense is the DTRA IG, Comptroller, Contracting Office, or some combination would be able to conduct this review so it isn't CT grading our own procedures. Is this something your office would want to lead when the formal tasking arrives?

V/r,

Rob

Robert S. Pope, Ph.D., SES

Director

Cooperative Threat Reduction

-----Original Message-----

From: "Pope, Robert S SES DTRA COOP THRT REDUCT (USA)"

Sent: Tuesday, November 23, 2021 5:44 PM

To: (b)(6)

<m

Cc:

(b)(6)

(b)(6)

Subject: RE: EcoHealth Alliance grant review

(b)(6)

Sending on (b)(6) behalf as he has started his Thanksgiving leave.

We look forward to a review of a selected set of DTRA CTR EHA grants -- likely conducted by DTRA's IG, Comptroller, Contracting Office, or some combination thereof.

We've added a few suggested edits via tracked changes in the attached that would provide additional clarity in a couple of areas.

(b)(5)

Have a Happy Thanksgiving.

V/r,
Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

(b)(6)

Sent: Tuesday, November 23, 2021 1:11 PM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)

Cc: (b)(6)

(b)(6)

Subject: FW: EchoHealth Alliance grant review

FYI - we knew this one in-coming soon, but it looks close to being

finalized. Any concerns or questions?

(b)(6)

-----Original Message-----

From: (b)(6)
<mailto: (b)(6)>

Sent: Tuesday, November 23, 2021 12:59 PM

To: (b)(6)
(b)(6)

Cc: (b)(6)
(b)(6)

Subject: EchoHealth Alliance grant review

(b)(6) and (b)(6) you are both well. I mentioned doing a review of the EHA grants to ensure that they are using the money in accordance with the parameters outlined in the grant documents. Given the large proportion of grants awarded to EHA and the fact that most are awarded near or at the maximum amount I think it's worth doing a review to make sure that the money is being spent for the intended purposes. This coupled with the increased attention from the Hill makes it ripe in my mind for a focused review. Attached is a draft memo that we pulled together. Let me know if you have any questions/concerns.

(b)(6)

From: (b)(6)
To: DTRA Ft Belvoir DIR List IG Govt
Subject: FW: Summary: House NDAA Passes 316 to 113
Date: Friday, September 24, 2021 9:40:23 AM

FYI, regarding NDAA movement. Government shutdown...?!? One down, another to go.

-----Original Message-----

From: (b)(6)
Sent: Friday, September 24, 2021 8:50 AM
To: DTRA Ft Belvoir DIR List DTRA CMD GP <dtra.belvoir.dir.list.dtra-cmd-gp@mail.mil>
Cc: DTRA Ft Belvoir Org List DTRA Directorate Directors <dtra.belvoir.org.list.dtra-directorate-directors@mail.mil>; DTRA Ft Belvoir Org List DTRA Directorate Deputy Directors <dtra.belvoir.org.list.dtra-directorate-deputy-directors@mail.mil>; DTRA Ft Belvoir DIR List Staff Office Chiefs <dtra.belvoir.dir.list.staff-office-chiefs@mail.mil>; DTRA Ft Belvoir DIR List DAG Team <dtra.belvoir.dir.list.dag-team@mail.mil>; Kless

(b)(6)

Subject: Summary: House NDAA Passes 316 to 113

Senior Leaders,

Good morning. Last night, the House passed the FY22 NDAA by a vote of 316-113. The bill authorizes \$740 billion in DoD spending - an increase of \$25 billion over the President's request - and \$28 billion for nuclear weapons programs under the Department of Energy. SASC approved similar funding levels in July and the full Senate is expected to take up the measure in the coming weeks.

High profile provisions included in the House-passed version include a 2.7% pay increase for service members, requiring women to register for the draft, and the establishment of a bipartisan commission to review U.S. involvement in Afghanistan over the last 20 years. The bill also includes provisions designed to strengthen U.S. alliances and pivots to Asia, especially through the new Pacific Deterrence Initiative.

Aside from CTR - which received a \$105 million increase - DTRA funding was authorized at the levels from the President's request. Of the 487 amendments considered this week, notable items successfully added to the bill include:

- Blocks funding for any purpose to EcoHealth Alliance, Inc.
- Directs the Executive Agent to designate a Joint Program Executive Officer for the EOD Program and assigns the DTRA Director to manage the Defense-wide program element funding for the Program.
- Increases funding for the Development of Medical countermeasures Against Novel Entities (DOMANE) program by \$4.5 million to allow for the rapid screening of all FDA approved compounds and other human safe compound

libraries to identify optimal drug candidates for repurposing as medical countermeasures for COVID-19 and other novel and emerging biothreats.

- Creates the Global Health Security Agenda Interagency Review Council to implement the Global Health Security Agenda and to appoint a U.S. Coordinator for Global Health Security to coordinate such efforts.
- Establishes a National Security Commission on Synthetic Biology.
- DoD, in coordination with Agriculture, HHS, and DHS, is to develop an annex to the National Biodefense Strategy for a national biodefense science and technology strategy and implementation plan.
- Includes the Global Pandemic Prevention and Biosecurity Act, which seeks to address the source of highly infectious diseases beginning in animal species, including by reducing the sale and trade of live and fresh wildlife for human consumption, and addressing food insecurity associated with a reliance on local game and wildlife.
- Provides an apology to individuals and their families in NM, UT, ID, and other states who were exposed to radiation from nuclear testing.
- Requires reporting on recent security assistance programs to Mali, Guinea, and Chad
- Requires an annual report on and Congressional notification of U.S. efforts to counter malign foreign influence in Africa.
- Requires the Secretary of State to develop a strategy for engagement with Southeast Asia and the Association of Southeast Asian Nations (ASEAN).
- The U.S. is to work with state and non-state partners to shut down certain commercial wildlife markets, end the trade in terrestrial wildlife for human consumption, and build international coalitions to reduce the demand for wildlife as food, to prevent the emergence of future zoonotic pathogens. Authorizes USAID to undertake programs to reduce the risk of endemic and emerging infectious disease exposure and to help transition communities globally to safer, non-wildlife sources of protein.

Very Respectfully,

(b)(6)

From: (b)(6)
To:
Subject: FW: Weekly Legislative Update - 12.15.21 (re: OIG #21-092 - EHA Grant Review)
Date: Friday, December 17, 2021 10:34:00 AM
Attachments: [EcoHealth Alliance Review--signed.pdf](#)

(b)(6) FYI.

I mentioned this OIG review in DSM the other day. My evaluators may reach out to you for the congressional BTRP history and EHA concerns.

Attaching the TRAC memo, if you haven't seen it. I can answer any questions you may have about the IG review. Or, ready to receive any feedback you have for us.

V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Friday, December 17, 2021 10:27 AM
To: (b)(6)
Cc: JO (b)(6)
Subject: FW: Weekly Legislative Update - 12.15.21 (re: OIG #21-092 - EHA Grant Review)

(b)(6)

This week's edition of the Legislative Update contained a hearing on biosecurity. The Q&A reveals a section that discusses BTRP and EHA, found on pages 10 through 12. Please review this information, since the exchange is directly related to your evaluation of the three grants in BTRP, per the DASD TRAC tasker to CT to review EHA grant activity.

If you have any more questions about Congress' interest, you can reach out to Mr. (b)(6) who probably has additional information beyond what you received from CT and CS thus far.

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Wednesday, December 15, 2021 1:02 PM
To: DTRA Ft Belvoir DIR List DTRA CMD GP <dtra.belvoir.dir.list.dtra-cmd-gp@mail.mil>
Cc: DTRA Ft Belvoir Org List DTRA Directorate Directors <dtra.belvoir.org.list.dtra-directorate-

directors@mail.mil>; DTRA Ft Belvoir Org List DTRA Directorate Deputy Directors <dtra.belvoir.org.list.dtra-directorate-deputy-directors@mail.mil>; DTRA Ft Belvoir DIR List Staff Office Chiefs <dtra.belvoir.dir.list.staff-office-chiefs@mail.mil>; DTRA Ft Belvoir DIR List DAG Team <dtra.belvoir.dir.list.dag-team@mail.mil>; Kless

(b)(6)

Subject: Weekly Legislative Update - 12.15.21

Senior Leaders,

Good afternoon. Attached is this week's legislative update.

Today, the Senate passed the NDAA and sent it to the President. The update also includes a summary of last week's hearing on biosecurity and I've attached a condensed transcript.

V/r,

(b)(6)

DTRA Legislative Affairs

(b)(6)

OIG # 21-092: Review EcoHealth Alliance Grants IAW DASD TRAC Memo (dta 2-Dec-21)

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- No site visits were conducted to insure performance against SOW or that equipment claimed was purchased

From: (b)(6)
To: (b)(6) DTRA Ft Belvoir HR List HR-RF Civilian Awards
Cc: (b)(6)
Subject: RE: DTRA OIG Team Award, "EHA Grant Review Team" (re: Call for Nominations for the DTRA CY22, 3rd Quarter Awards)
Date: Wednesday, September 28, 2022 7:26:26 AM

Good Morning Sir,

Apologies, I misread his title. Thank you.

v/r,

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Tuesday, September 27, 2022 3:53 PM
To: (b)(6)
Belvoir HR List HR-RF Civilian Awards
<dtra.belvoir.hr.list.hr-rf-civilian-awards@mail.mil>
Cc: (b)(6)
Subject: RE: DTRA OIG Team Award, "EHA Grant Review Team" (re: Call for Nominations for the DTRA CY22, 3rd Quarter Awards)

Yes, I relooked. "CTR" does not stand for contractor. It stands for "Cooperate Threat Reduction," which is where (b)(6) worked. He was not a contractor.

v/r,

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Tuesday, September 27, 2022 3:48 PM
To: (b)(6)
Belvoir HR List HR-RF Civilian Awards
<dtra.belvoir.hr.list.hr-rf-civilian-awards@mail.mil>
Cc: (b)(6)
Subject: RE: DTRA OIG Team Award, "EHA Grant Review Team" (re: Call for Nominations for the DTRA CY22, 3rd Quarter Awards)

(b)(6)

I am certain you are incorrect. They all are (or were) government employees when they participated. The only person who may be a contractor now is (b)(6) but he recently departed the Agency. If there is a contractor, he can easily be removed; but he did all his work as a government employee before he departed the Agency.

Please reconsider.

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Tuesday, September 27, 2022 3:42 PM

To: (b)(6)

Belvoir HR List HR-RF Civilian Awards
<dra.belvoir.hr.list.hr-rf-civilian-awards@mail.mil>

Cc: (b)(6)

Subject: RE: DTRA OIG Team Award, "EHA Grant Review Team" (re: Call for Nominations for the DTRA CY22, 3rd Quarter Awards)

Good Afternoon Sir,

After reviewing the team award, I noticed on the team list that a contractor's name was listed. According to our DTRA Quarterly Awards Guidebook, only "Military and civilian employees are eligible for this award". Unfortunately, we will not be able to accept this award at this time. Please let me know if you have any questions. Thank you.

v/r,

(b)(6)

Human Resource Specialist
HR, Civilian Awards Programs
Defense Threat Reduction Agency

(b)(6)

Honorary Awards:

(b)(6)

Quarterly & Annual Awards:

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Monday, September 26, 2022 1:45 PM

To: DTRA Ft Belvoir HR List HR-RF Civilian Awards
<dra.belvoir.hr.list.hr-rf-civilian-awards@mail.mil>; (b)(6)

(b)(6)

Cc: (b)(6)

Subject: DTRA OIG Team Award, "EHA Grant Review Team" (re: Call for Nominations for the DTRA CY22, 3rd Quarter Awards)

Dear HR,

The OIG nominates the EcoHealth Alliance Grant Review team for the third quarter's Team recognition. The Team consists of members from IG, FM, AL, and CT. Please accept this input and notify me if you have any questions.

(b)(6)

Inspector General

Defense Threat Reduction Agency

(b)(6)

From: (b)(6)
Sent: Monday, September 26, 2022 9:42 AM
To: DTRA Ft Belvoir CT List CT DAG <dtra.belvoir.ct.list.ct-dag@mail.mil>;
DTRA Ft Belvoir DIR List Task EI <dtra.belvoir.dir.list.task-ei@mail.mil>;
DTRA Ft Belvoir DIR List Task IG <dtra.belvoir.dir.list.task-ig@mail.mil>;
DTRA Ft Belvoir DIR List Task IT <dtra.belvoir.dir.list.task-it@mail.mil>;
DTRA Ft Belvoir DIR List Task LA <dtra.belvoir.dir.list.task-la@mail.mil>;
DTRA Ft Belvoir DIR List Task OB <dtra.belvoir.dir.list.task-ob@mail.mil>;
DTRA Ft Belvoir OI List OI TMT Taskers
<dtra.belvoir.oi.list.oi-tmt-taskers@mail.mil>; DTRA Ft Belvoir DIR List
Task RD <dtra.belvoir.dir.list.task-rd@mail.mil>; DTRA Ft Belvoir SI List
Task SI <dtra.belvoir.si.list.task-si@mail.mil>

Cc: (b)(6)

Subject: FOR ACTION! DUE TODAY: Call for Nominations for the DTRA CY22, 3rd
Quarter Awards

Good Morning All

This is a reminder the subject tasker is due today September 26, 2022 NLT
3p. Please complete. Thank you

https://dtra.tmt.army.mil/main.aspx?etn_ava_tasker&pagetype_entityrecord&id_%7B1B6E0CB6-F630-ED11-81BD-00505681367B%7D

Respectfully,

(b)(6)

Administrative Assistant

Human Resources Directorate

Defense Threat Reduction Agency

Comm: (b)(6)

From: (b)(6)
To: (b)(6)
Cc: Pope, Robert S SES DTRA COOP THRT REDUCT (USA); (b)(6)
(b)(6)
Subject: RE: Eco Health Alliance Background Material
Date: Friday, December 3, 2021 12:24:00 PM

(b)(6)

Thanks for all the early support. The Team here will pull everyone together so that we get off on the right foot. We need to inform you of OIG requirements if we are in the lead. Really appreciate the quick responses.

V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

From: (b)(6)
Sent: Friday, December 3, 2021 12:14 PM
To: (b)(6)
(b)(6)
Cc: Pope, Robert S SES DTRA COOP THRT REDUCT (USA); (b)(6)
(b)(6)
Subject: RE: Eco Health Alliance Background Material

Thanks, (b)(6)

(b)(6) – Thank you for agreeing to lead this RFI for us (b)(6) is stepping into a source selection and won't be to provide support, but please contact (b)(6) (cc'd) who we've now identified as POC with (b)(6) departure.

The below 3 grants meet the TRACs review parameters. (b)(6) will provide links to access the project documentation.

- 1- HDTRA1-17-1-0037: Serological Biosurveillance for Spillover of Henipaviruses and Filoviruses in Malaysia;
- 2 HDTRA1 17 1 0064: Understanding the Risk of Bat Borne Zoonotic Disease Emergence in Western Asia;
- 3- HDTRA1-19-1-0033: Reducing the Threat of Rift Valley Fever through Ecology, Epidemiology and

Socio-Economics

Thank you,

(b)(6) PhD

Department Chief
Biological Threat Reduction
Cooperative Threat Reduction
Defense Threat Reduction Agency

(b)(6)

From: (b)(6)
Sent: Friday, December 3, 2021 11:56 AM
To: (b)(6)
(US)
(b)(6)
Cc: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
(b)(6)
Subject: Eco Health Alliance Background Material

(b)(6) (b)(6) see attached. Some CTR/BTRP responses to EcoHealth Alliance (EHA) congressional and media inquiries as a scene-setter for the EHA sensitivities.

(b)(6) please have your team prepared to support RFI's from (b)(6) team regarding the NCB memo/ audit. The names I gave (b)(6) include:

- (b)(6) (SI FM)
- (b)(6) (AL Contracts)
- (b)(6) (AL Contracts Grants KO)
- (b)(6) (BTRP Grants manager)
- (b)(6) (BTRP Science Team lead – no longer employed at DTRA)
- (b)(6) (BTRP Department Chief)

If there are other names you want to provide (b)(6) please do so.

Thanks,

(b)(6)

Deputy Director, Cooperative Threat Reduction Program
Defense Threat Reduction Agency

(b)(6)

From: (b)(6)
To:
Cc:
Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092
Date: Tuesday, May 3, 2022 4:46:00 PM

(b)(6), there is no hurry and this is all on your timeline. Definitely whatever works for your Team. Thanks for planning to meet with us. -- V/R, (b)(6)

(b)(6)
Inspector General
Defense Threat Reduction Agency
(b)(6)

-----Original Message-----
From: (b)(6)
Sent: Tuesday, May 3, 2022 4:22 PM
To: (b)(6)
Cc: (b)(6)
Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Thanks, (b)(6) (cc'd) will set something up and will invite (b)(6) to join us, since he is the Contracts Dept Chief. Unless it's urgent, it will likely be at least next week, so I can tie Dave's meeting day in the building into another one we're working.

I appreciate the heads up.

VR/
(b)(6)

-----Original Message-----
From: (b)(6)
Sent: Tuesday, May 3, 2022 2:17 PM
To: (b)(6)
Cc: (b)(6)
Subject: FW: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

(b)(6)

As mentioned in the DSM today, I would like find time on your calendar to give you a tree-tops look at what the office's review has found. DASH TRAC tasked CT to conduct a review of their grants, specifically grants with EHA (EcoHealth Alliance). He requested OIG support. We gave him an interim update on what we've found thus far. The good news for AL is the pre-award, and award paperwork is very good. Post-award and actual oversight of DTRA's grant dollars must improve all around.

One major finding impacts AL, and involves a lack of Grant Policy guidance, which is a CFR requirement. SI-FM

began a separate but parallel look during their FIAR preparation, since they've never assessed grants before. Now, our two efforts are merging, where they will incorporate a review of the three grants that NCB selected. Hence, what I briefed in DSM.

Ma'am, there's no rush but I at least wanted this to be on your radar since this garnering more attention these day.

V/R,

(b)(6)

From: (b)(6)
Sent: Monday, May 2, 2022 12:43 PM
To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
Cc: (b)(6)

(b)(6)

Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Dr. Pope,

Consider the below as our read ahead for tomorrow's discussion.

V/R,

(b)(6)

=====

OIG # 21-092: Review EcoHealth Alliance Grants IAW DASD TRAC Memo (dtd 2-Dec-21)

DASD Threat Reduction and Arms Control (TRAC) requested a review of three out of seven grants awarded to EHA with a final report provided by the end of FY22 with the following objectives:

- 1.) To review all grant financial records for consistency with specific grant purposes and for compliance with legal requirements;

- 2.) To review all experimental records for proper and ethical data handling, reporting, and dissemination requirements and;
- 3.) To assess whether EHA's internal grant handling processes continue to comply with DoD and USG guidelines that would allow for EHA to remain a potential candidate to compete for CTR funding.

GRANTS SELECTED FOR REVIEW:

1.) HDTRA 17-1-0037, Serological Biosurveillance for Spillover of Henipaviruses and Filoviruses at Agricultural and Hunting Human-Animal Interfaces in Peninsular Malaysia

- Estimated cost: \$3,653,165.39
- Type of Grant Award: Cost Reimbursable

2.) HDTRA 17-1-0064, Understanding the Risk of Bat-Borne Zoonotic Disease Emergence in Western Asia

- Estimated cost: \$3,997,637.00
- Type of Grant Award: Cost Reimbursable

3.) HDTRA 19-1-0033, Reducing the Threat of Rift Valley Fever through Ecology, Epidemiology, and Socio-Economics

- Estimated cost: \$4,986,873
- Type of Grant Award: Firm Fixed Price

OIG PRELIMINARY OBSERVATIONS FOR EXTERNAL CONSIDERATION (i.e., RESPONSE TO DASD-TRAC):

- 1.) Although EHA invoicing creates confusion in accordance with award type and lacks sufficient detail, there is no evidence the invoices have been challenged by ONR or GOR(s).
- 2.) Scientific efforts to included ethical handling of data and dissemination appear sound.
- 3.) Current government oversight processes lack the rigor required to assess whether EHA, or any grantee, remains a viable partner.

OIG PRELIMINARY OBSERVATIONS FOR INTERNAL CONSIDERATION (i.e., RESPONSE TO DTRA, et al.):

4.) DTRA post-award Grant processes require review and improvement.

- Grant pre-award processes and reviews are well documented and appear sound
- Formal Grant Process has not been formalized and signed by the Head of Contracting Activity (HCA) as required
- Grant awards were in accordance with prescribed law and regulation
- Each grant file was missing required documentation
- Grants are administered by Office of Naval Research (ONR), Boston
- SI-FM and AC were unable to quickly determine ONR PoC(s) for the reviewed grants
- No evidence GOR receives notification of invoices to review/approve prior to payment
- AC and SI-FM do not know how ONR determines invoices are proper, correct, and legal prior to payment
- Lack of interaction between DTRA and ONR post- grant award
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- “Many” GORs have received COR training through DAWIA PM certification
- Basic and Applied Research training requirement is insufficient to oversight a grant
- No evidence GOR(s) reviewed quarterly/annual reports for scientific sufficiency against the Statement of Work (SOW)
- No site visits were conducted to insure performance against SOW or that equipment claimed was purchased

=====

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
(b)(6)
Sent: Wednesday, April 27, 2022 7:24 PM
To: (b)(6)
Cc: (b)(6)

Subject: Re: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Thanks (b)(6) I look forward to it.

From: (b)(6)
(b)(6)
Date: Thursday, April 28, 2022 at 1:56:25 AM
To: "Pope, Robert S SES DTRA COOP THRT REDUCT (USA)" (b)(6)
<mailto:(b)(6)>
Cc:
<mailto:
<mailto:
(b)(6)
Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Dr. Pope,

I request to have time with you next week to provide a progress update on the EHA review, per the TRAC memo. From the IG's perspective mainly, but inclusive of the entire effort.

Sir, if your calendar (or (b)(6)) is available any day except Thursday next week, that would be great for me. I'll adjust my calendar to make the time and meeting fit CT's needs.

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
<mailto:(b)(6)>
Sent: Thursday, December 2, 2021 2:29 PM
To: (b)(6)
Cc:
<mailto:
<mailto:
(b)(6)
Subject: RE: EcoHealth Alliance grant review

(b)(6) - We received the formal task from NCB for this review. Do you have a suggestion what element(s) of DTRA are most appropriate to assist CT with this?

V/r,
Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)
<mailto:(b)(6)>
Sent: Wednesday, November 24, 2021 8:25 AM
To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)
<mailto:(b)(6)>
Cc: <mailto:(b)(6)>
<mailto:(b)(6)>
<mailto:(b)(6)>
(b)(6)
Subject: Re: EcoHealth Alliance grant review

Dr. Pope,

I'll get my Team together to review the details then get back to you shortly. It makes sense to not grade your own homework. The challenge is finding the right mix from DTRA to facilitate your needs. Thanks for letting me know!

V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

From iPhone

From: "Pope, Robert S SES DTRA COOP THRT REDUCT (USA)" (b)(6)
<mailto:(b)(6)>
Date: Tuesday, November 23, 2021 at 5:46:49 PM
To: (b)(6)
<mailto:(b)(6)>
Cc: <mailto:(b)(6)>
<mailto:(b)(6)>
<mailto:(b)(6)>
Subject: FW: EcoHealth Alliance grant review

(b)(6)

Please see the attached draft tasking from NCB for DTRA to conduct a review of a select set of EcoHealth Alliance grants to verify that the funds were used for their intended purpose. My sense is the DTRA IG, Comptroller, Contracting Office, or some combination would be able to conduct this review so it isn't CT grading our own procedures. Is this something your office

would want to lead when the formal tasking arrives?

V/r,
Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

Sent: Tuesday, November 23, 2021 5:44 PM

To: (b)(6)

<mailto:

Cc:

(b)(6)

<me

(b)(6)

Subject: RE: EcoHealth Alliance grant review

(b)(6)

Sending on (b)(6) behalf as he has started his Thanksgiving leave.

We look forward to a review of a selected set of DTRA CTR EHA grants -- likely conducted by DTRA's IG, Comptroller, Contracting Office, or some combination thereof.

We've added a few suggested edits via tracked changes in the attached that would provide additional clarity in a couple of areas.

(b)(5)

Have a Happy Thanksgiving.

V/r,
Rob

Robert S. Pope, Ph.D., SES

Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

(b)(6)

<ma

Sent: Tuesday, November 23, 2021 1:11 PM

To: Pone, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)

<ma

(b)(6)

Subject: FW: EchoHealth Alliance grant review

FYI - we knew this one in-coming soon, but it looks close to being finalized. Any concerns or questions?

(b)(6)

-----Original Message-----

From: (b)(6)

<mailto:(b)(6)

Sent: Tuesday, November 23, 2021 12:59 PM

To: (b)(6)

(b)(6)

<ma

Cc: (b)(6)

(b)(6)

<ma

Subject: EchoHealth Alliance grant review

(b)(6) and (b)(6) you are both well. I mentioned doing a review of the EHA grants to ensure that they are using the money in accordance with the parameters outlined in the grant documents. Given the large proportion of grants awarded to EHA and the fact that most are awarded near or at the maximum amount I think it's worth doing a review to make sure that the money is being spent for the intended purposes. This coupled with the increased attention from the Hill makes it ripe in my mind for a focused review. Attached is a draft memo that we pulled together. Let me know if you have any questions/concerns.

(b)(6)

From: Swan, Lisa K SES DTRA AL (USA)
To: (b)(6)
Cc:
Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092
Date: Tuesday, May 3, 2022 4:22:12 PM

Thanks, (b)(6) (cc'd) will set something up and will invite (b)(6) to join us, since he is the Contracts Dept Chief. Unless it's urgent, it will likely be at least next week, so I can tie (b)(6) meeting day in the building into another one we're working.

I appreciate the heads up.

VR/
Lisa

-----Original Message-----
From: (b)(6)
Sent: Tuesday, May 3, 2022 2:17 PM
To: Swan, Lisa K SES DTRA AL (USA) (b)(6)
Cc: (b)(6)
U:
Subject: FW: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

Ms. Swan,

As mentioned in the DSM today, I would like find time on your calendar to give you a tree-tops look at what the office's review has found. DASH TRAC tasked CT to conduct a review of their grants, specifically grants with EHA (EcoHealth Alliance). He requested OIG support. We gave him an interim update on what we've found thus far. The good news for AL is the pre-award, and award paperwork is very good. Post-award and actual oversight of DTRA's grant dollars must improve all around.

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V/R,

(b)(6)

From: (b)(6)

Sent: Monday, May 2, 2022 12:43 PM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)

Cc: (b)(6)

A C

(b)(6)

Subject: RE: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

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V/R,

(b)(6)

=====

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=====

From: **Pone, Robert S SES DTRA COOP THRT REDUCT (USA)** (b)(6)
<mailto:(b)(6)>
Sent: Wednesday, April 27, 2022 7:24 PM
To: (b)(6)
Cc: <m>
<m>
(b)(6)
Subject: Re: EcoHealth Alliance Grant Review (re: Progress Update) #21-092

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From: (b)(6)
<mailto:(b)(6)>
Date: Thursday, April 28, 2022 at 1:56:25 AM
To: **Pone, Robert S SES DTRA COOP THRT REDUCT (USA)** (b)(6)
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(b)(6)
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V/R,

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

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From: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)

<mailto:(b)(6)>

Sent: Thursday, December 2, 2021 2:29 PM

To: (b)(6)

Cc:

<mailto:

<mailto:

(b)(6)

Subject: RE: EcoHealth Alliance grant review

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V/r,

Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

<mailto:(b)(6)>

Sent: Wednesday, November 24, 2021 8:25 AM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA) (b)(6)

<mailto:robert.s.pope12.civ@mail.mil>

Cc: (b)(6)

<mailto:

<mailto:

(b)(6)

Subject: Re: EcoHealth Alliance grant review

Dr. Pope

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V/R

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

From iPhone

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<mailto:(b)(6)>
Date: Tuesday, November 23, 2021 at 5:46:49 PM
To: (b)(6)
<mailto: >
Cc: <mailto: >
<mailto: >
CT (US <mailto: >
<mailto: >

Subject: FW: EcoHealth Alliance grant review

(b)(6)

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Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

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Sent: Tuesday, November 23, 2021 5:44 PM
To: (b)(6)
<mailto: >
Cc: (b)(6)
<m

(b)(6)

Subject: RE: EcoHealth Alliance grant review

(b)(6)

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(b)(5)

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Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

(b)(6)

<ma

Sent: Tuesday, November 23, 2021 1:11 PM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)

<ma

(b)(6)

Cc: (b)(6)

(b)(6)
<ma

Subject: FW: EchoHealth Alliance grant review

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(b)(6)

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From: (b)(6)
<mail

Sent: Tuesday, November 23, 2021 12:59 PM

To: (b)(6)
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Cc: (b)(6)
<m

Subject: EchoHealth Alliance grant review

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(b)(6)

From: (b)(6)
To: (b)(6)
Subject: RE: EcoHealth Alliance grant review
Date: Monday, November 29, 2021 8:15:00 AM

We'll discuss in OIG WAR this AM.

-----Original Message-----

From: (b)(6)
Sent: Wednesday, November 24, 2021 9:04 AM
To: (b)(6)
(b)(6)
Subject: RE: EcoHealth Alliance grant review

A little more info:

Back in 2018-2019, I did a mini review on CTR Funds and use of Grants (Contact 18-129; MFR attached). Based on that review and other CT grants issues, I had on my list to do a Grants review. Based on the #18-129 review, the SMEs listed should be excluded from the team. Rationale, they could be reviewing their own work.

Also, Title 2 of the CFR, "Grants and Agreements," was recently updated (10/18/2021). Guidance for grants is now finalized consolidated in one location.

Lastly, a request can be made to GAO to provide one body with grant audit experience to support. This can be on a part time basis, to ensure oversight and vectoring. But asking GAO can bring visibility that I am not sure if CTR (DASD(TRAC)) would want to air it's (possible) dirty laundry, which is why they came to DTRA in the first place and not GAO.

The CT request calls for 3 grants nearing completion. The final report would be due NLT September 2022.

V/r,

(b)(6)
DTRA Office of the Inspector General
(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Wednesday, November 24, 2021 8:29 AM
To: (b)(6)
(b)(6)
Subject: Fwd: EcoHealth Alliance grant review

Team

See my other email to **Dr. Pope**. Can we support? How should we support? And, AL and FM should be a big part of the effort if not leading it.

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

From iPhone

From: **"Pope, Robert S SES DTRA COOP THRT REDUCT (USA)"**

(b)(6)

Date: Tuesday, November 23, 2021 at 5:46:49 PM

To: (b)(6)

<mailto:

Cc:

(b)(6)

Subject: FW: EcoHealth Alliance grant review

(b)(6)

Please see the attached draft tasking from NCB for DTRA to conduct a review of a select set of EcoHealth Alliance grants to verify that the funds were used for their intended purpose. My sense is the DTRA IG, Comptroller, Contracting Office, or some combination would be able to conduct this review so it isn't CT grading our own procedures. Is this something your office would want to lead when the formal tasking arrives?

V/r,
Rob

Robert S. Pope, Ph.D., SES
Director
Cooperative Threat Reduction

-----Original Message-----

From: **Pope, Robert S SES DTRA COOP THRT REDUCT (USA)**

Sent: Tuesday, November 23, 2021 5:44 PM

To: (b)(6)

<mailto:

Cc:

(b)(6)

(b)(6)

>
Subject: RE: EcoHealth Alliance grant review

(b)(6)

Sending on (b)(6) behalf as he has started his Thanksgiving leave.

We look forward to a review of a selected set of DTRA CTR EHA grants -- likely conducted by DTRA's IG, Comptroller, Contracting Office, or some combination thereof.

We've added a few suggested edits via tracked changes in the attached that would provide additional clarity in a couple of areas.

(b)(5)

Have a Happy Thanksgiving.

V/r,

Rob

Robert S. Pope, Ph.D., SES

Director

Cooperative Threat Reduction

-----Original Message-----

From: (b)(6)

(b)(6)

Sent: Tuesday, November 23, 2021 1:11 PM

To: **Pope, Robert S SES DTRA COOP THRT REDUCT (USA)**

(b)(6)

(b)(6)

Cc: (b)(6)

(b)(6)

Subject: FW: EchoHealth Alliance grant review

FYI - we knew this one in-coming soon, but it looks close to being finalized. Any concerns or questions?

(b)(6)

-----Original Message-----

From: (b)(6)
<mailto:(b)(6)>

Sent: Tuesday, November 23, 2021 12:59 PM

To: (b)(6)
(b)(6)
(b)(6)

Cc: (b)(6)
(b)(6)

>

Subject: EchoHealth Alliance grant review

(b)(6) Hope you are both well. I mentioned doing a review of the EHA grants to ensure that they are using the money in accordance with the parameters outlined in the grant documents. Given the large proportion of grants awarded to EHA and the fact that most are awarded near or at the maximum amount I think it's worth doing a review to make sure that the money is being spent for the intended purposes. This coupled with the increased attention from the Hill makes it ripe in my mind for a focused review. Attached is a draft memo that we pulled together. Let me know if you have any questions/concerns.

(b)(6)

From: (b)(6)
To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)
Cc: (b)(6)
Subject: Re: EcoHealth Alliance grant review
Date: Wednesday, November 24, 2021 8:24:55 AM

Dr. Pope,

I'll get my Team together to review the details then get back to you shortly. It makes sense to not grade your own homework. The challenge is finding the right mix from DTRA to facilitate your needs. Thanks for letting me know!

V/R,
(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

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Cooperative Threat Reduction

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Sent: Tuesday, November 23, 2021 5:44 PM

To: (b)(6)
<mailto: (b)(6)>
Cc: (b)(6)
PO
DT
CT
(US
(US
OU

Subject: RE: EcoHealth Alliance grant review

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Director
Cooperative Threat Reduction

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(b)(6)

Sent: Tuesday, November 23, 2021 1:11 PM

To: Pope, Robert S SES DTRA COOP THRT REDUCT (USA)

(b)(6)
(US
(USA)

(b)(6)

Cc: (b)(6)

(b)(6)

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To: (b)(6)

PO (b)(6)

Cc: (b)(6)

(b)(6)

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(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

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Cooperative Threat Reduction

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Director

Cooperative Threat Reduction

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(USA)

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(b)(6)

From: (b)(6)
To:
Subject: RE: Grant review memo (final) draft for review
Date: Monday, June 13, 2022 10:12:00 AM

Hurray...thanks!

-----Original Message-----

From: (b)(6)
Sent: Monday, June 13, 2022 9:22 AM
To: (b)(6)
Subject: FW: Grant review memo (final) draft for review

FYSA.

-----Original Message-----

From: (b)(6)
Sent: Friday, June 10, 2022 3:28 PM
To: (b)(6)
Sample: (b)(6)
Subject: RE: Grant review memo (final) draft for review

Thanks (b)(6) and (b)(6) I elaborated on and moved one piece of information from the 'Methodology' section to the 'Technical Assessment' section:

'For grants HDTRA1-17-1-0064 and HDTRA1-19-1-0003, EcoHealth Alliance has addressed or made progress on all biosurveillance activities that were scheduled to be conducted during the reviewed reporting periods, or communicated delays in task accomplishment to DTRA through these and other communications. For grant HDTRA1-17-1-0037, DTRA is currently awaiting follow-up information through the final report to confirm progress against specific SOW tasks that EIIA did not address in annual technical report narratives; however, annual technical report templates shared with grantees do not specifically request line-by-line SOW task updates, nor there is no evidence that DTRA sought clarifications upon receipt of individual reports to this end.'

This better characterizes the fact that there were some gaps in reporting, but that DTRA did not seek follow-up from the performers, and that our templates do not specifically ask about line-by-line SOW updates in their current form.

I'll store a copy of this in the restricted J: drive folder (b)(6) Thanks again for your guidance, and enjoy a great weekend!

Kind regards,

(b)(6)
Science Manager - Strategic Integration
Biological Threat Reduction Program
Defense Threat Reduction Agency

(b)(6)

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Friday, June 10, 2022 11:34 AM

To: (b)(6)

(b)(6)

Subject: RE: Grant review memo (final) draft for review

This looks good - concur with finalizing and signing!

(b)(6)

Science Division Chief, Biological Threat Reduction Program
Cooperative Threat Reduction
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Friday, June 10, 2022 8:45 AM

To: (b)(6)

(b)(6)

Subject: Grant review memo (final) draft for review

H (b)(6) and (b)(6)

First off, thank you again (b)(6) for your guidance and leadership in this process, and the hand that you surely played in (b)(6) sharing an OTS with me. Caught me by surprise in the best kind of way - very humbled and thankful on my end!

(b)(6) - I added a section on 'recommendations' at the end of the memo I had drafted to capture my technical review as part of this process (attached). Would love to get your thoughts as to whether this section fits in the place it is, or if the 'technical assessment' should be the last paragraph of the memo. I tried to capture some of the discussions we've had on this topic in a concise manner that isn't too prescriptive.

(b)(6) - you are seeing this for the first time, but wanted to gather your thoughts on it before finalizing/signing it out.

Thank you both!

Kind regards,

(b)(6)



Science Manager - Strategic Integration

Biological Threat Reduction Program

Defense Threat Reduction Agency

(b)(6)



From: (b)(6)
To:
Subject: RE: HR Info: Congratulations 3rd Quarter CY22 Award Winners
Date: Monday, November 7, 2022 12:12:48 PM

(b)(6)

Appreciate the thought and effort you put into the packet. It would have been a nice surprise. It REALLY would have been a big surprise if Team-064 won after Friday's meeting. I even made that comment to Vern this morning when I saw the calendar announcement this morning.

v/r

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Monday, November 7, 2022 12:08 PM

To: (b)(6)

Subject: FW: HR Info: Congratulations 3rd Quarter CY22 Award Winners

FYI. My dreams were crushed. Read below...)-:

-----Original Message-----

From: (b)(6)

(b)(6)

Sent: Monday, November 7, 2022 11:38 AM

To: (b)(6)

Subject: RE: IIR Info: Congratulations 3rd Quarter CY22 Award Winners

(b)(6)

V/r,

(b)(6)

-----Original Message-----

From: (b)(6)

Sent: Monday, November 7, 2022 11:37 AM

To: (b)(6)

Subject: RE: IIR Info: Congratulations 3rd Quarter CY22 Award Winners

I concur with the Arms Control pick. I saw their briefing at the offsite.

-----Original Message-----

From: (b)(6)

(b)(6)

Sent: Monday, November 7, 2022 11:36 AM

To: (b)(6)

Subject: FW: HR Info: Congratulations 3rd Quarter CY22 Award Winners

I'm not sure if you got to it yet, below are the groups and winners:

Team of the Quarter, 3rd Quarter 2022

*TEAM *Over the Horizon Arms Control Tiger Team OB

TEAM Nuclear Enterprise Emerging Risk Assessment (NERA) Team NE

TEAM EcoHealth Alliance (EHA) Grant Review OIG

TEAM Wargaming and Analysis Team RD

TEAM Audit Sustainment SI

V/r,

(b)(6)

-----Original Message-----

From: DTRA Ft Belvoir Org Mailbox DTRA ALL Distro
<dtra.belvoir.org.mbx.dtra-all-distro@mail.mil>
Sent: Friday, November 4, 2022 7:12 AM
To: DTRA Ft Belvoir Org List DTRA ALL
<dtra.belvoir.org.list.dtra-all@mail.mil>
Subject: HR Info: Congratulations 3rd Quarter CY22 Award Winners

(view in html)

Congratulations to our winners and all the nominees for 3rd Quarter Calendar Year 2022!

The DTRA Quarterly and Annual Awards Program is intended to recognize the top performers from among the Agency's civilian and military workforce. Each Directorate and the Staff Offices, collectively, may submit nomination packages for civilians, service members, supervisors, and teams whose performance during the award period has set them apart from their peers through exceptional accomplishment of the Agency's mission and upholding of the Agency's values of Trustworthy, Mission Focused, Inclusive, Innovative, Agile, and Selfless.

The 3rd Quarter Awards Ceremony will be Webcast from the DTRA F& G conference room on Thursday November 10 at 1100.

Please take a moment of your time to congratulate all of our great nominees and winners!

Here are our winners (denoted with asterisk *) and the nominees by category.

High Level Civilian of the Quarter, 3rd Quarter 2022

*High	GS-15	(b)(6)	OB
High	GS-14		CT
High	GG-14		OI
High	GS-14		RD
High	GS-14		SI

Mid-Level Civilian of the Quarter, 3rd Quarter 2022

*Mid	GG-13	(b)(6)	OI
Mid	GS-12		CT
Mid	GS-13		IT
Mid	GS-13		OB
Mid	GS-13		SI

Junior Level Civilian of the Quarter, 3rd Quarter 2022

*Jr.	GS-10	(b)(6)	OB
------	-------	--------	----

Field Grade Officer of the Quarter, 3rd Quarter 2022

*FGO	Maj	(b)(6)	RD
FGO	Lt Col		CT
FGO	Maj		NE
FGO	Maj		OB
FGO	Maj		OI
FGO	LTC		SI

Company Grade Officer of the Quarter, 3rd Quarter 2022

*CGO	Capt	(b)(6)	OB
CGO	LT		OI
CGO	Capt		RD

Senior Enlisted Member of the Quarter, 3rd Quarter 2022

*SEM	SCPO	(b)(6)	OI
SEM	SMSgt		NE
SEM	SFC		OB

Junior Enlisted Member of the Quarter, 3rd Quarter 2022

*JEM	TSgt	(b)(6)	OB
JEM	TSgt		RD

Supervisor of the Quarter, 3rd Quarter 2022

*SUP	(b)(6)	OB
SUP		IIR
SUP		IT

Team of the Quarter, 3rd Quarter 2022

*TEAM *Over the Horizon Arms Control Tiger Team OB

TEAM Nuclear Enterprise Emerging Risk Assessment (NERA) Team NE

TEAM EcoHealth Alliance (EHA) Grant Review OIG

TEAM Wargaming and Analysis Team RD

TEAM Audit Sustainment SI

Need to know how to submit for these awards? See the Quarterly and Annual Awards Program Desk Reference Guide at

(b)(6)

For more info. See DTRA1 for Quarterly and Annual Awards

(b)(6)

Program POC, Honorary Awards Program Manager

(b)(6)

or (b)(6)

From: (b)(6)
To: (b)(6)
Cc: (b)(6)
Subject: RE: Proposed Eco Health Alliance Review -- DASD TRAC memo objectives
Date: Wednesday, December 8, 2021 11:03:00 AM

(b)(6)

Please include the following information, as captured directly from the DASD TRAC memo:

“Our objectives in requesting this review of three grants are therefore:

To review all grant financial records for consistency with specific grant purposes and for compliance with legal requirements.

To review all experimental records for proper and ethical data handling, reporting, and dissemination requirements.

To assess whether EHA’s internal grant management processes continue to comply with DoD USG guidelines that would allow for EHA to remain a potential candidate to compete for CTR funding.”

Given the DoD strategic eyes, congressional interests, and media attention devoted to EHA, we will not depart from answering these specific questions. If there are ‘other matters’ that bubble up, we’ll work those offline but remain pure to the original intent of the TRAC memo.

(b)(6)

From: (b)(6)
Sent: Wednesday, December 8, 2021 10:51 AM
To: (b)(6)
Cc: (b)(6)
Subject: RE: Proposed Eco Health Alliance Review

Ok, I’ll work on a brief and we can huddle tomorrow and/or Monday.
In the meantime, I’ll send out the Skype Meeting invite.

V/r,

(b)(6)

DTRA Office of the Inspector General

(b)(6)

From: (b)(6)

Sent: Wednesday, December 8, 2021 10:27 AM

To: (b)(6)

Cc: (b)(6)

Subject: RE: Proposed Eco Health Alliance Review

(b)(6) this is perfect. We must huddle up before this meeting, so IG is on the same sheet of music. I recommend we build a kick off entrance brief, like DoD OIG and GAO does before their audits. Or, something similar to your PAR kick-off briefs we gave to OB and NE. Examples attached. Thoughts?

BTW, I've been reviewing the info from (b)(6) and (b)(6) (??). Very interesting how we got here.

(b)(6)

From: (b)(6)

Sent: Wednesday, December 8, 2021 10:14 AM

To: (b)(6)

Subject: Proposed Eco Health Alliance Review

(b)(6) below is what I am proposing to send to the team in a Skype Meeting invite. Do you have other items you would like to add?

Btw the team includes the names provided: (b)(6) CT; (b)(6) (SI FM); (b)(6) AL Contracts); (b)(6) (AL Contracts Grants KO); (b)(6) (BTRP Department Chief); (b)(6) (b)(6) IG.

Date: Tuesday, December 14, 2021 @ 10:00 am, duration 1 hour

///

Good morning,

DTRA CT received tasking from NCB for DTRA to conduct a review of a select set of EcoHealth Alliance grants to verify that the funds were used for their intended purpose. You have been identified by your Directorate to support this review.

DTRA Office of the Inspector General (OIG) is facilitating the first meeting to kick off the review process. We've identified the following for this first meeting.

Purpose:

- Meet the team
- Understand the request
- Clarify scope
- Who owns what information
- What's next

Outcome:

- Know who's who
- All on the same page
- How to access information
- Develop future schedule so can start: team member roles, outline of plan, timeline

I am your OIG point of contact, please contact me if you have questions.

V/r,

(b)(6)

DTRA Office of the Inspector General

(b)(6)

From: (b)(6)
To:
Cc:
Subject: RE: Review of EcoHealth activities
Date: Thursday, August 18, 2022 10:13:07 AM

No worries! Thank you, sir!

V/r,

(b)(6)

Legislative Liaison
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Thursday, August 18, 2022 7:48 AM
To: (b)(6)
Cc: (b)(6)

(b)(6)

Subject: RE: Review of EcoHealth activities

(b)(6)

I need to update my iPhone. So, I sent it this morning and forwarded to the LTC and LT as well.

(b)(6)

Inspector General
Defense Threat Reduction Agency

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Wednesday, August 17, 2022 3:58 PM
To: (b)(6)
Cc:

(b)(6)

Subject: Review of EcoHealth activities

(b)(6)

I wanted to follow-up on our EcoHealth discussion from yesterday. Has there been a report released that lays out the findings of the EcoHealth investigation? If not, do you have a read-ahead from the outbrief with Dr. Pope?

Thanks!

(b)(6)

Legislative Liaison

Defense Threat Reduction Agency

(b)(6)