

1 Michael J. Miller (*pro hac vice*)  
Curtis Hoke (State Bar No. 282465)  
2 David Dickens (*pro hac vice*)  
Jeffrey Travers (*pro hac vice*)  
3 The Miller Firm, LLC  
108 Railroad Ave.  
4 Orange, VA 22960  
5 (540) 672-4224 phone; (540) 672-3055 fax  
choke@millerfirmllc.com

6 Pedram Esfandiary (SBN: 312569)  
7 R. Brent Wisner, Esq. (SBN: 276023)  
pesfandiary@baumhedlundlaw.com  
8 Baum, Hedlund, Aristei & Goldman, P.C.  
9 12100 Wilshire Blvd. Suite 950  
Los Angeles, CA 90025  
10 Telephone: (310) 207-3233  
11 Facsimile: (310) 820-7444

12 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
13 **COUNTY OF SAN FRANCISCO**

15 Dewayne Johnson ) Case No. CGC-16-550128  
16 )  
16 Plaintiff, ) **[PROPOSED] ORDER DENYING**  
17 ) **MONSANTO’S MOTION FOR**  
17 vs. ) **JUDGMENT**  
18 ) **NOTWITHSTANDING THE**  
18 Monsanto Company ) **VERDICT**  
19 )  
20 Defendant ) Hon. Judge Suzanne R. Bolanos  
20 )  
21 ) Hearing Date: October 10, 2018  
21 ) Time: 2:00 p.m.  
22 ) Department: 504  
22 ) Trial Date: June 18, 2018  
23 )  
23 )  
24 )  
24 )

1 This case came on for trial in the above-captioned matter on June 18, 2018 in Department 504 of  
2 the Superior Court of California, in and for the County of San Francisco, before the Honorable Suzanne  
3 R. Bolanos, Judge presiding. A jury of 12 persons was regularly impaneled and sworn. Witnesses were  
4 sworn and testified. Following the hearing of all evidence, instructions from the court, and argument of  
5 all counsel, the cause was submitted to the jury on August 8, 2018. The jury deliberated and thereafter,  
6 on August 10, 2018, returned a unanimous verdict in favor of Plaintiff, Dewayne Lee Johnson, on his  
7 claims for Negligent Failure to Warn, Strict Liability Failure to Warn, Strict Liability Design Defect, and  
8 Punitive Damages. The jury awarded damages as follows: Past economic loss - \$ 819,882.32; Future  
9 economic loss - \$ 1,433,327.00; Past noneconomic loss - \$ 4,000,000.00; Future noneconomic loss -  
10 \$33,000,000.00; Punitive damages<sup>1</sup> - \$250,000,000.00. Defendant, Monsanto Company, has filed a  
11 Motion for Judgment Notwithstanding the Verdict (JNOV) on each of Plaintiff's claims. For the reasons  
12 stated below Defendant's Motion is **DENIED**.

13 **I. PROCEDURAL HISTORY**

14 Defendant Monsanto Company ("Monsanto") is the manufacturer of various herbicides  
15 formulations containing the active ingredient glyphosate ("GBHs"). Plaintiff Dewayne Lee Johnson  
16 ("Mr. Johnson") alleges that he developed a form of non-Hodgkin's lymphoma (NHL), known as mycosis  
17 fungoides, following his exposure to the GBHs known as Ranger Pro and Roundup Pro

18 Plaintiff initiated the present action against Monsanto on January 28, 2016, demanding a trial by  
19 jury. On June 26, 2016, Monsanto filed its answer, also demanding a trial by jury. Plaintiff initially filed  
20 a Motion for Trial Preference on July 21, 2017, and the parties subsequently stipulated to a June 18, 2018  
21 trial date. CMO 6. The parties agreed that Judge Curtis E. A. Karnow would conduct the hearings and  
22 issue rulings on Motions for Summary Judgment and Motions to Exclude Expert Opinions. CMO 6.

23 On May 17, 2018, the Court ruled that, "most of the opinions of Johnson's causation experts are  
24 admissible. These suffice as evidence of both general and specific causation. The motion for summary  
25 judgment on the basis of causation is denied." 5/17/2018 Order re: Summary Judgment and Sargon

26 \_\_\_\_\_  
27 <sup>1</sup> At oral argument the Court instructed counsel to address remittitur on punitive damages in the  
28 proposed order. Plaintiff maintains that a remittitur of the punitive damages award is not warranted.  
However, should the court deem the punitive damages excessive, Plaintiff would consider the Court's  
recommended remittitur.

1 Motions, p. 38 (“SJ Order”). The Court considered whether there was a triable issue of fact with respect  
2 to punitive damages. Monsanto moved for summary judgment on punitive damages on the basis that:

3 (1) EPA determined that glyphosate is not carcinogenic; (2) Monsanto and its scientists have long  
4 believed in good faith that glyphosate-based herbicides and glyphosate are safe and do  
5 not cause cancer; (3) A recent study supports the conclusion that glyphosate is not carcinogenic;  
6 and (4) A recent district court found in the preliminary injunction context, that it would be  
misleading to warn that Monsanto's glyphosate-based herbicides [GBHs] cause cancer against the  
current scientific backdrop.<sup>2</sup>

7 *Id.* at p. 44. Judge Karnow rejected these arguments, finding that issue of punitive damages should be  
8 decided by the jury, not the court, holding “Johnson has carried his burden of producing evidence that a  
9 reasonable jury could find amounts to clear and convincing evidence of malice, fraud, or oppression.” *Id.*  
10 at 45. Judge Karnow noted that “intentionally marketing a defective product knowing that it might cause  
11 injury and death is highly reprehensible.” *Id.* (emphasis added).

12 After reviewing Judge Karnow’s orders, the Court advised counsel that Judge Karnow “has very  
13 carefully and thoughtfully gone through several motions...really engaged in rather significant and helpful  
14 case management. So I am disinclined to undo or set aside any of that work that he did, because I think  
15 that he made the right decisions with respect to all of his orders.” 297:18-298:1. The Court denied  
16 Monsanto’s Motions for Non-suit and Directed Verdict finding that there was sufficient evidence for a  
17 reasonable jury to find for Plaintiff on all causes of actions. 4026:13-4027:10, 4915:7-17 (“I think at this  
18 stage I’m required to give the [punitive damages] instruction.”). As the standard for a Motion for JNOV  
19 is identical to that for a non-suit and directed verdict, Monsanto’s Motion for JNOV is likewise denied.

## 20 **II. LEGAL STANDARD**

21 A motion for judgment notwithstanding the verdict functions as a demurrer to the evidence.  
22 *Moore v. City & County of San Francisco* (1970) 5 Cal.App.3d 728, 734. That is, the motion tests the  
23 legal sufficiency of the evidence supporting the verdict. *Beavers v. Allstate Ins. Co.*, 225 Cal.App.3d 310,  
24 328.<sup>3</sup> For purposes of the motion, all evidence supporting the verdict is presumed true, and the court must

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25 <sup>2</sup> The case considered by Judge Karnow was based on the fact that the EPA and “[s]everal international agencies  
26 have likewise concluded that there is insufficient evidence that glyphosate causes cancer” *Nat’l Ass’n of Wheat*  
27 *Growers v. Zeise*, 309 F. Supp. 3d 842, 852 (E.D. Cal. 2018). Judge Karnow, thus considered and rejected  
Monsanto’s arguments that the findings of regulatory bodies can defeat a claim for punitive damages.

28 <sup>3</sup> Although made at different times, a JNOV, motion for nonsuit and motion for directed verdict are “analytically  
the same and governed by the same evidentiary standard.” *See Cooper v. Takeda Pharmaceuticals*, 239 Cal.  
App. 4<sup>th</sup> 555, 572; California Judges Benchbook: Civil Proceedings TRIAL § 2.94 (Cal CJER 2017).

1 determine whether that evidence establishes facts that constitute a prima facie case. *Fountain Valley*  
2 *Chateau Blanc Homeowner's Assn. v. Department of Veterans Affairs* (1998) 67 Cal.App.4th 743, 750.

3 The purpose of a motion for JNOV is not to afford a review of the jury's deliberations but to  
4 prevent a miscarriage of justice in a case in which the verdict rendered is without foundation. *Oakland*  
5 *Raiders v. Oakland-Alameda County Coliseum, Inc.* (2006) 144 Cal. 4<sup>th</sup> 1175, 1194. Therefore, in ruling  
6 on a JNOV motion, the trial court may not weigh the evidence or make its own credibility determinations.  
7 *King v. State of California* (2015) 242 Cal.App.4th 265, 287.) This means that the court must resolve all  
8 conflicting evidence against the moving party and draw every reasonable inference supported by the  
9 evidence in favor of the party who secured the verdict. *Fountain Valley, supra*, 67 Cal.App.4th at p. 750.  
10 "A motion for judgment notwithstanding the verdict of a jury may properly be granted only if it appears  
11 from the evidence, viewed in the light most favorable to the party securing the verdict, that there is no  
12 substantial evidence to support the verdict. If there is any substantial evidence, or reasonable inferences  
13 to be drawn therefrom, in support of the verdict, the motion should be denied." *King, supra*, 242  
14 Cal.App.4th at p. 287, quoting *Hauter v. Zogarts* (1975) 14 Cal.3d 104, 110.). When the evidence is  
15 conflicting or several inferences may be drawn from it, the judge must deny the motion. *Tun v. Wells*  
16 *Fargo Dealer Servs. Inc.*, (2016) 5 Cal. App. 5<sup>th</sup> 309, 333.

17 Furthermore, in ruling on a motion for JNOV, a court may not change a prior ruling as to the  
18 admissibility of evidence. "[W]e must take the record as we find it. We cannot strike or disregard any  
19 evidence favorable to the prevailing party merely because it was erroneously received." *Waller v.*  
20 *Southern California Gas Co.* (1959) 170 Cal.App.2d 747, 757; *Estate of Callahan* (1967) 67 Ca1.2d 609,  
21 617. "In assessing whether judgment notwithstanding the verdict was properly granted, we consider the  
22 trial that was actually conducted, not the one that might have been conducted." *Garretson v. Harold*  
23 *Miller* (2002) 99 Cal.App.4th 563, 575.

### 24 **III. Plaintiff Has Produced Substantial Evidence that GBHs Were a Substantial Factor in** 25 **Causing Mr. Johnson's NHL.**

#### 26 **A. General Causation**

27 Monsanto asserts that JNOV should be granted because Plaintiff conceded at trial "that the  
28 epidemiology does not support causation here." MPA in support of JNOV, p. 3. This assertion is not

1 supported in the record. In fact, Plaintiff argued in closing that that the epidemiology did in fact support  
2 causation in conjunction with the animal studies and the mechanistic studies. Tr. at 5063:15-19; 5087:13-  
3 21; 5108:15-20. The epidemiology is inextricably linked with the animal and mechanistic data  
4 considered in the experts' causal analyses. The animal and mechanistic studies provide assurance to  
5 Plaintiff's experts that the association seen in the epidemiology studies is indeed a causal association.

6 Where, as here, there are different streams of data being considered by an expert, the Federal  
7 Judicial Center's Reference Manual on Scientific Evidence (3rd. Ed.) p. 21, instructs:

8 In applying the scientific method, scientists do not review each scientific study individually for  
9 whether by itself it reliably supports the causal claim being advocated or opposed. Rather, as the  
10 Institute of Medicine and National Research Council noted, **“summing, or synthesizing, data  
11 addressing different linkages [between kinds of data] forms a more complete causal  
12 evidence model and can provide the biological plausibility needed to establish the  
13 association” being advocated or opposed.**

12 In reversing a trial court's exclusion of expert testimony, *Cooper v. Takeda Pharm. Am., Inc.* adopted  
13 this reasoning and held that “piecemeal rejection of individual studies was inappropriate” and it is  
14 essential that the “body of studies be considered as a whole.”, 239 Cal. App. 4th 555, 589–90, (2015).

15 Applying these standards, this Court previously held that:

16 Johnson's experts do not view epidemiological evidence as dispositive on causation...They  
17 conceded that confounding and bias may explain the association found in the epidemiological  
18 evidence if the epidemiological evidence were viewed in isolation... Johnson's experts appreciated  
19 the risk the confounders could create an unreliable association between glyphosate exposure and  
20 NHL but believed, in light of the studies they reviewed and the other information that they  
21 considered, that potential confounders were not the cause of the association.

19 SJ Order at 6. Plaintiff's experts' offered these exact opinions at the trial of this case. The record makes  
20 clear that “Johnson's experts applied the Bradford Hill criteria” in considering all of the data which both  
21 parties agreed “are an acceptable means of evaluating causality if done correctly.” *Id.* at 11; *Wendell v.*  
22 *GlaxoSmithKline LLC*, 858 F.3d 1227, 1235 (9th Cir. 2017) (“The Bradford Hill methodology refers to  
23 a set of criteria that are well accepted in the medical field for making causal judgments.”). Dr. Neugut  
24 testified that “[i]t's the same criteria...that are used in the IARC Monographs, and they are used across  
25 the board by epidemiologists.” Tr. 2643:1-4

26 Dr. Neugut explained that when you look at epidemiology in isolation you are only looking at a  
27 “statistical association.” Tr. 2641:4-6. In order to reach a conclusion on whether that association is causal  
28 rather than simply statistical, one can apply the Bradford-Hill criteria. *Id.* at 2642:22-2643:4. Dr. Neugut

1 testified to the importance of biological plausibility to the Bradford-Hill criteria:

2 Q. Would it be even remotely scientifically correct to just look at the policy [sic]?

3 A. No. I mean, not to make a causal link based solely on the epidemiology.

4 Q. You have to look at the totality of the evidence; right?

5 A. That's what I showed in the Bradford-Hill criteria at the end of my direct testimony that you have to incorporate the dose-response relationship, the biological evidence like the toxicology that Dr. Portier spoke about. You have to think about it, you have to look at things, like I said, the specificity and the other factors, consistency the strength of association, et cetera.

6 Tr. 2737:4-17. After applying these factors, Dr. Neugut testified that “that there is indeed a causal  
7 association between glyphosate and NHL.” Tr. 2643:24-26.

8 Dr. Portier likewise testified that Bradford-Hill instructs on how to “take epidemiology data and  
9 what factors play a role in leading to your decisions that the associations you see are causal and not just  
10 associations.” Tr. at 2022:8-11. Dr. Portier testified that when the epidemiology is considered alone  
11 “there's a demonstrated association” and that “causality is reasonable here.” Tr. at 1964:1-17. However,  
12 when he considered all of the data, including animal studies, genotoxicity studies, and mechanistic data,  
13 Dr. Portier firmly concluded that “glyphosate is carcinogenic, causing NHL in humans.” Tr. at 1994:19-  
14 21. Dr. Portier explained that the fact you see lymphomas in every mouse study lends strong support for  
15 causality in humans. Tr. at 1834:18-1837:14. Dr. Portier also relied on strong evidence that: (1) GBHs  
16 are genotoxic in blood cells and lymphocytes of humans who are sprayed with GBHs (Tr. at 1973:16-  
17 1979:22); and that (2) glyphosate and GBHs have been shown to cause oxidative stress which can operate  
18 to promote tumors; (Tr. at 1990:10-1992) as part of his Bradford-Hill analysis. Dr. Nabhan likewise  
19 testified that in the absence of clinically controlled studies “you may be able to support these human  
20 studies by animal studies that were done.” Tr. at 2891:7-9. Dr. Nabhan noted that the oxidative stress  
21 findings were important because “there is more oxidative stress” in NHL patients. Tr. at 2822:1-12

22 The testimony of Plaintiff's experts was further supported at trial by the testimony of the IARC  
23 working group members, Dr. Aaron Blair and Dr. Matthew Ross. These two completely independent  
24 scientists both agreed, based on the totality of the data, that GBHs were “probable” carcinogens. Blair  
25 Dep. at 70:10-15, 365:7-25; Ross Dep., 147:07-148:07. Dr. Ross believed that the mechanistic data was  
26 so strong that his group would have labeled GBHs a probable carcinogen even if the epidemiology was  
27 deemed insufficient. Ross Dep. at 104:7-105:10;147:07-148:07. According to Dr. Ross, “The  
28 mechanistic evidence that was deemed strong was the genotoxicity and the oxidative stress classification

1 . . . . The important thing, in terms of operable in humans, is the fact that exposed humans showed  
2 evidence of genotoxicity, and cultured cells of human origin showed evidence of genotoxicity. Those  
3 were -- those then showed that this mechanism may operate in humans.” *Id.*

4 Dr. Neugut testified that the odds ratio for ever using GBHs from all of the studies combined was  
5 about 1.3 - 1.5 for anyone who used GBHs more than once, but he further explained that “if you start to  
6 look at dose response of people who are really significantly exposed to glyphosate, got exposed in a more  
7 dramatic way, for longer periods of time, for higher doses, they're going to have a significantly higher  
8 risk.” Tr. at 2617:21-2618-4, 2644:21-2645:1. Dr. Nabhan also reached the same conclusion after his  
9 review of the epidemiology studies. Tr. at 2825:9-18, 2827:15-2830:5. Here, McDuffie (2002) showed  
10 a statistically significant 2.12 odds ratio for GBH use greater than 2 days per year, Eriksson showed a  
11 2.36 odds ratio for GBH use greater than 10 days and 2.26 odds ratio for greater than 10 years use. P-  
12 Exh. 0784 at 19, 23. De Roos (2003) showed a statistically significant doubling of the risk after adjusting  
13 for dozens of pesticides.<sup>4</sup> *Id.* at 18. Dr. Sawyer noted that Mr. Johnson’s exposure was “far higher than  
14 that in the literature . . .” Tr. at 3673:25-3674:16.

15 In *Cooper*, it was proper for an expert offering a case-specific opinion to rely on the dose-duration  
16 analyses where the ever exposure analysis did not show an increased risk. 239 Cal. App. 4th 555, 588.  
17 (2015) (Proper to rely on secondary dose-response analysis where study “was designed to look first at  
18 ‘ever exposure’ to Actos® as the primary endpoint and then at length of exposure (“dose response”) as  
19 the secondary endpoint.”); *Id.* at 594, n. 18 (“In Azoulay, the authors found a statistically significant  
20 hazard ratio of 2.54 for patients exposed to more than 28,000 mg”). Furthermore, it is not necessary for  
21 the dose-response findings to be fully-adjusted for other risk factors. *Id.* at 589 (proper to rely on Azoulay  
22 even though it did not adjust for “risk factors for bladder cancer such as arsenic, occupational exposures,  
23 race/ethnicity”). As in *Cooper*, the epidemiology relied upon by Plaintiff’s experts shows a higher risk  
24 for NHL in the dose-response analysis compared to the ever-never analysis.

25 Plaintiff’s experts properly considered the totality of the evidence in opining that GBHs do cause  
26 NHL in humans; and in concluding that the epidemiology supports causation. There is thus substantial  
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28 <sup>4</sup> Epidemiology does not have to show a doubling of the risk to support causation. SJ Order at 10 (“Cooper does not mandate exclusion of these opinions for this purpose even if none of the studies shows a relative risk of greater than 2.0.”)

1 evidence to support a jury's finding that GBHs can cause NHL.

2 **B. Specific Causation**

3 Dr. Chadi Nabhan, a hematologist and medical oncologist specializing in the treatment of  
4 lymphoid malignancies, testified that Mr. Johnson's exposure to the Roundup formulations was a  
5 substantial contributing factor in his development of NHL. Tr. 2799:4-15; 2887:13-18. In reaching his  
6 causation opinions, Dr. Nabhan reviewed epidemiology, animal studies, toxicology studies, thousands  
7 of pages of Mr. Johnson's medical records, correspondence from Mr. Johnson's employer, and relevant  
8 deposition transcripts. *Id.* at 2789-2795. Dr. Nabhan also personally met and examined Mr. Johnson.  
9 *Id.* at 2795:16-2796:7.

10 Dr. Nabhan performed a differential diagnosis to determine whether he could identify the causes  
11 of Mr. Johnson's NHL. Tr. 2815:4-17, 2841:17-2842:9. He considered the known risk factors and  
12 causes of NHL including age, race, immunosuppressant therapies, autoimmune diseases, skin  
13 conditions, occupation, occupational exposures and viruses. *Id.* at 2842-2852. Dr. Nabhan explained  
14 that sun exposure, tobacco, and alcohol are not known causes of NHL and could therefore be excluded.  
15 *Id.* at 2852-2853. After conducting his differential diagnosis, Dr. Nabhan concluded that Mr. Johnson's  
16 only known risk factors were his race (African American) and Roundup exposure. Tr. 2853:19-23. Dr.  
17 Nabhan therefore concluded that Roundup was the most substantial contributing factor to Mr. Johnson's  
18 NHL. *Id.* at 2853:24-2854:2.

19 Monsanto contends that Dr. Nabhan's testimony is unreliable and inadmissible because Dr.  
20 Nabhan did not adequately consider and definitively rule out idiopathic<sup>5</sup> causes of Mr. Johnson's NHL.  
21 Monsanto does not argue that Dr. Nabhan ignored other identifiable causes or known risk factors but,  
22 rather, that Dr. Nabhan failed to account for the unknown. Tr. 4949:16-20. Dr. Nabhan's trial testimony,  
23 however, confirms that he did in fact consider idiopathic causes in reaching his causation opinions.

24 Dr. Nabhan does not dispute that he is unable to identify a cause of NHL in the majority of his  
25 patients. Tr. 2990:6-14; 2997-2998. Nonetheless, Dr. Nabhan opined that Mr. Johnson's cancer was not  
26 idiopathic and that there was substantial evidence that his NHL was caused by his exposure to the  
27 \_\_\_\_\_

28 <sup>5</sup> A disease that is idiopathic is one that does not have a known cause. *Wendell v. GlaxoSmithKline LLC*, 858 F. 3d 1227, f. 3 (2017)

1 Roundup: a “known carcinogen causing non-Hodgkin’s lymphoma.” Tr. 2997:5-10. Dr. Nabhan  
2 explained that because Mr. Johnson was much younger than the average patient who developed the  
3 disease this raised a “red flag” that his cancer is not likely to be idiopathic and more likely to be caused  
4 by an exposure.<sup>6</sup> Tr. 2842:23-2844:19.

5 This testimony is sufficient under the applicable substantial factor test for causation. It is not  
6 necessary for Plaintiff to “establish the negligence of the defendant as the proximate cause of injury with  
7 absolute certainty *so as to exclude every other possible cause of a plaintiff’s illness*, even if the expert’s  
8 opinion was reached by performance of a differential diagnosis.” *Cooper v. Takeda Pharmaceuticals*,  
9 (2015) 239 Cal. App. 4<sup>th</sup> 555, 578 (emphasis in original). JNOV would only be appropriate “if the  
10 existence of an alternative explanation, supported by substantial evidence and not mere speculation, as a  
11 matter of law *defeated* the explanation proffered by [plaintiff].” *Id.*

12 Dr. Nabhan’s methodology in this case is nearly identical to the differential diagnosis accepted  
13 by the Court of Appeals in *Cooper v. Takeda Pharmaceuticals*, (2015) 239 Cal. App. 4<sup>th</sup> 555. Indeed,  
14 the trial court in *Cooper* excluded plaintiff’s expert oncologist, in part, on the expert’s acknowledgment  
15 that “he has a lot of patients in this age group who have bladder cancer, and he can find no cause.” *Id.* at  
16 593. The expert in *Cooper* further acknowledged that “there are so many possible causes and so much  
17 still unknown about the causation of bladder cancer...” *Id.* at 585. However, the Court held that “[b]are  
18 conceivability of another possible cause does not defeat a claim: the relevant question is whether there is  
19 ‘substantial evidence’ of an alternative explanation for the disease.” *Id.* at 586. Here there is no  
20 substantial evidence of an alternative explanation put forth by Defendant that could defeat Plaintiff’s  
21 claim as a matter of law. *Id.* at 578.

22 The decision in *Wendell v. GlaxoSmithKline LLC*, 858 F. 3d 1227 (2017) is also instructive. The  
23 plaintiff in *Wendell*, like Mr. Johnson, was diagnosed with a T cell lymphoma, a subtype of NHL. *Id.* at  
24 1231. The trial court excluded plaintiff’s causation experts on the basis that “they could not completely  
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27 <sup>6</sup> See e.g. (W.D. Ky. Apr. 28, 2011) *Dickson v. Nat’l Maint. & Repair of Kentucky, Inc.*, No. 5:08-CV-00008, 2011 WL  
28 12538613, at \*11 (The Court finds that Dr. Brautbar’s differential diagnosis adequately accounts for other possible causes of  
Plaintiff’s disease, including idiopathic origin. Dr. Brautbar specifically noted the fact that Plaintiff was young when he was  
diagnosed with multiple myeloma, which is exceptionally rare.”)

1 rule out the possibility that [plaintiff's cancer] was idiopathic.” *Id.* at 1237.<sup>7</sup> The Ninth Circuit held that  
2 the trial court abused its discretion by excluding case-specific causation opinions on the basis of a high  
3 rate of idiopathic cancer and the inability to rule out an idiopathic origin. *Id.* The Court explained:

4 We do not require experts to eliminate all other possible causes of a condition for the  
5 expert’s testimony to be reliable. It is enough that the proposed cause “be a substantial  
6 causative factor.” This is true in patients with multiple risk factors, and analogously, in  
7 cases where there is a high rate of idiopathy. *Id.* (internal quotations omitted).

8 Dr. Nabhan’s testimony, and the inferences drawn therefrom, demonstrate that he adequately  
9 considered idiopathic causes in performing his differential diagnosis. In the absence of any substantial  
10 evidence that some “unknown” cause might have affected Mr. Johnson there is no basis for this Court to  
11 exclude Dr. Nabhan’s causation testimony.

#### 11 **IV. PUNITIVE DAMAGES**

12 In denying Monsanto’s motion for nonsuit, this Court allowed the issue of punitive damages to  
13 be submitted to the jury. Based on Plaintiff’s evidence, this Court could not hold, as a matter of law that  
14 “no reasonable jury could find the plaintiff has presented clear and convincing evidence on the disputed  
15 issue.” *Hoch v. Allied-Signal, Inc.*, 24 Cal.App.4<sup>th</sup> 48, 60. Whether the *court* deems the plaintiff’s  
16 evidence less than clear and convincing is not the standard. “Where reasonable minds could differ as to  
17 whether the evidence would support punitive damages, the resolution of the conflicting inferences and  
18 the weighing of opposing evidence is for the jury; for the court to grant a nonsuit in that circumstance, or  
19 the appellate court to affirm a judgment of nonsuit, would be to usurp the jury’s function.” *Id.* at 59.

20 The evidence supporting punitive damages, viewed in the light most favorable to the Plaintiff,  
21 was summarized by this Court in denying summary judgment:

22 The internal correspondence noted by Johnson could support a jury finding that Monsanto has  
23 long been aware of the risk that its glyphosate-based herbicides are carcinogenic, and more  
24 dangerous than glyphosate in isolation, but has continuously sought to influence the scientific  
25 literature to prevent its internal concerns from reaching the public sphere and to bolster its  
26 defenses in products liability actions.

27 SJ Order at 45. Judge Karnow noted that “intentionally marketing a defective product knowing that it  
28 might cause injury and death is highly reprehensible” *Id.* (citing *Boeken v. Philip Morris Inc.* (2005)127

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<sup>7</sup> Like Dr. Nabhan, the expert in *Wendell* considered the fact that plaintiff’s NHL might have been idiopathic but that “when you have a patient with obvious and known risk factors, you tend to assume that those risk factors were the cause.” *Wendell*, 858 F. 3d at 1235.

1 Cal.App.4th 1640, 1690.

2 At JNOV this Court may not weigh the credibility of Monsanto’s arguments that its subjective  
3 belief in the safety of glyphosate and regulatory approval absolves it of liability. “The law in California  
4 is that punitive damages are permitted in product liability actions precisely because ‘[g]overnmental  
5 safety standards and the criminal law have failed to provide adequate consumer protection against the  
6 manufacture and distribution of defective products. [Citations.] Punitive damages thus remain as the most  
7 effective remedy for consumer protection against defectively designed mass produced articles. *Buell–*  
8 *Wilson v. Ford Motor Co.* (2006) 141 Cal.App.4th 525, 562 vacated on other grounds in *Ford Motor Co.*  
9 *v. Buell–Wilson* (2007) 550 U.S. 931, 127 S.Ct. 2250<sup>8</sup> (citing *Grimshaw v. Ford Motor Co.* (1981) 119  
10 Cal.App.3d 757, 810). Furthermore, punitive damages are available even where “there was a ‘reasonable  
11 disagreement’ among experts” *Id.* at 559-560. The jury is “entitled to” reject the claims of Defendant’s  
12 experts in reaching a verdict on punitive damages. *Id.*

13 Monsanto is not absolved of responsibility based on testimony of its employees that they did not  
14 believe the results of studies showing that GBHs were genotoxic or carcinogenic. Monsanto has a duty  
15 to “warn of the potential risks” of GBHs and not just the ones its scientists agree with. CACI 1205. “If  
16 the sole opinion(s) of one biased actor within that complex system can govern and control the nature,  
17 timing, and dissemination of information, and warnings, the system breaks down.” *In re Actos*  
18 *(Pioglitazone) Prod. Liab. Litig.*, (W.D. La. Oct. 27, 2014)No. 6:11-MD-2299, 2014 WL 5461859, at  
19 \*47 (rejecting contention that Defendant’s subjective believe that product does not cause cancer  
20 precludes a finding of punitive damages).

21 Under the exemplary damage statute “malice does not require actual intent to harm. [Citation.]  
22 Conscious disregard for the safety of another may be sufficient where the defendant is aware of the  
23 probable dangerous consequences of his or her conduct and he or she willfully fails to avoid such  
24 consequences.” *Pfeifer v. John Crane, Inc.* (2013) 220 Cal. App. 4th 1270, 1299. Furthermore, Courts  
25 have long recognized that when circumstantial evidence supports an inference that a manufacturer puts  
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27 <sup>8</sup>Although this opinion was vacated with respect to constitutional limits of punitive damage awards, the  
28 California Supreme Court continues to cite this case with respect to the availability of punitive damage  
awards. *Boeken v. Philip Morris USA, Inc.* (2010) 48 Cal. 4th 788, 796.

1 its own interests ahead of the safety of consumers, punitive damages are warranted. *Grimshaw v. Ford*  
2 *Motor Company* (1981) 119 Cal.App.3d 757, 813,814; *West v. Johnson & Johnson Products, Inc.* (1985)  
3 174 Cal.App.3d 831, 869 supra, (affirming award of punitive damages where evidence showed that  
4 adequate testing would have revealed an association between tampon use and toxic shock, that the  
5 manufacturer’s testing was inadequate, and that the manufacturer decided not to do any further testing  
6 even with faced with consumer complaints.)

7 Here, the evidence demonstrates that Monsanto was regularly being informed of valid science  
8 demonstrating that their GBH produces had the potential to harm, but sought to combat that evidence  
9 rather than share that information with its customers. Monsanto’s conduct was laid out in a May 26,  
10 1999 email from Dr. William Heydens describing Monsanto’s overall agenda as getting “people to get  
11 up and shout Glyphosate is Non-toxic[.]” in order to counter negative data. Ex. 378.

12 **A. Monsanto’s Early Regulatory Action Support Jury’s Punitive Damages Findings:**

13 On March 13, 1985, nine days after the EPA proposed to classify glyphosate as a Class C  
14 [possible] oncogene based on a mouse study showing an increase in kidney tumors, Monsanto’s argued  
15 to the EPA that it should not move forward with classifying glyphosate as a possible carcinogen because  
16 “the initiation of formal regulatory action would have serious negative economic repercussions.” Tr. at  
17 3851:20-22, 3996:11-13. The EPA then requested that Monsanto repeat the mouse study using more  
18 animals to increase the power of the study, but Monsanto refused. 3895:19-3897:19.

19 **B. Monsanto’s Handling of Dr. Parry’s Report Supports Jury’s Punitive Damages Findings:**

20 Plaintiff presented evidence that Monsanto disregarded the opinions and advice of Dr. James  
21 Parry regarding the genotoxicity of glyphosate and glyphosate-based herbicides (GBHs). In the 1990’s,  
22 several published studies concluded that glyphosate was genotoxic. Monsanto retained Dr. James Parry  
23 (“Dr. Parry”) “a recognized genotox expert” to review these independent studies. Exhibit 263 at 2. Dr.  
24 Parry concluded in 1999 that “[t]he overall data provided by the four publications provide evidence to  
25 support a model that glyphosate is capable of producing genotoxicity both in vivo and in vitro by a  
26 mechanism based upon the production of oxidative damage.” Farmer Tr. at 151:13-25. Dr. Parry then  
27 examined Monsanto’s internal studies and did not change his conclusions. Ex. 220, p. 12. Dr. Parry  
28 pointed out that there was an “absence of adequate data” to make a firm conclusion about the genotoxicity

1 of GBHs. *Id.* He recommended a series of eight experiments to be conducted to determine if GBHs are  
2 genotoxic and then consider the “possibility of susceptible groups within the human population.” *Id.* at  
3 pp. 33-34. Dr. William Heydens of Monsanto, upon receiving the Parry Report decided that Monsanto  
4 simply is not “going to do the tests Parry suggests.” Ex. 221. Dr. Portier, Plaintiff’s expert, reviewed Dr.  
5 Parry’s recommendations and Monsanto’s testing and concluded that Monsanto conducted only one of  
6 the eight experiments recommended by Dr. Parry. Tr. 1997:19-22 (Q. So of all of Dr. Parry's  
7 recommendations asking for affirmative action, only one of them was done in this study? A. Yes.).  
8 Defendant produced no experts to rebut Dr. Portier’s opinion. Dr. Parry even offered to conduct the tests  
9 for Monsanto, but Monsanto refused to provide him the necessary samples after it discovered that there  
10 was a mutagenic response with the tallow amine (a surfactant in GBHs) sample. Ex. 267, p. 6.

11 **C. Monsanto’s Ghostwriting Practices Support the Jury’s Punitive Damages Findings:**

12 Despite Dr. Parry’s conclusion in 1999 that glyphosate and GBHs could be genotoxic and that  
13 more testing was needed, Monsanto proceeded to ghostwrite a paper (Williams (2000)) that contained  
14 the material misrepresentation that “under present and expected conditions of use, Roundup herbicide  
15 does not pose a health risk to humans.” Heydens Dep. at 402:1-4. Williams (2000) also makes the claim  
16 that GBHs are “non-carcinogenic and non-genotoxic.” *Id.* at 1888:7-11. A jury could find these  
17 statements false because Dr. Parry’s data and the earlier studies he analyzed indicated that Roundup may  
18 indeed pose a health risk to humans and may be genotoxic.

19 No Monsanto employee is listed as an author on Williams (2000) despite the fact that William  
20 Heydens admits in a 2015 email that Monsanto did “the writing” and the experts just “edit and sign their  
21 names, so to speak.” P-Exh. 362, at 2. Dr. Heydens denies at deposition what he admitted in this email,  
22 but the jury was entitled to believe his admission in the email and disbelieve his contradictory deposition  
23 testimony. 402:1-404:12. Dr. Heydens is referenced in the acknowledgement section for providing  
24 “scientific support,” but that acknowledgement is false because it does not provide readers with the vital  
25 information that Monsanto employees actually wrote the paper and that the experts simply edited and  
26 signed their names. *Id.* The reader is not informed that the conclusions of Williams (2000) are the  
27 conclusions of Monsanto and not an independent assessment of the evidence.

28 Plaintiff presented evidence that ghostwriting is “unethical” and “deceptive;” and that there are

1 guidelines that “everyone goes by” in determine what is “honest/ethical” in authorship. Ex. 261.  
2 Plaintiff’s expert, Dr. Charles Benbrook, testified that ghostwriting is unethical:

3           A. Because it's very important for people reading the scientific literature to have knowledge of  
4 who conducted the research and interpreted the results and wrote the paper. That's considered  
5 very important in evaluating the quality of the research, the reliability of the research, the  
independence of the research, whether there was a conflict of interest of some sort. So it's  
truthfulness in authorship is a central feature of scientific publishing integrity.

6 Tr. at 3898:10-23. If Monsanto is listed as an author, the reader would give the conclusion less weight  
7 because as noted by Daniel Goldstein, Monsanto’s Director of Medical Toxicology, “we have some  
8 limitations on our credibility when we are speaking as Monsanto publicly.” Goldstein Tr. at 75:22-25.

9           For example, a Monsanto employee who drafted a manuscript on the genotoxicity of GBHs in  
10 2012 was removed as an author because “the manuscript turned into such a large mess of studies  
11 reporting genotoxic effects, that the story as written stretched the limits of credibility among less  
12 sophisticated audiences.” P-Exh. 445, p. 2. Therefore, it was decided that a way to “help enhance  
13 credibility is to have an additional author on the papers who is a renowned specialist in the area of  
14 genotoxicity. Monsanto identified Dr. David Kirkland as the best candidate.” Id. This paper was written  
15 for the specific purpose of being “a valuable resource in future product defense against claims that  
16 glyphosate is mutagenic or genotoxic.” P-Exh. at 443. Claims made by Plaintiff in this litigation.

17           Defendant acknowledges that Williams (2000) was important for its business. In a 2010  
18 PowerPoint describing Williams (2000) as an “invaluable asset”, Monsanto notes that they are facing  
19 “regulatory reviews” with an increased “focus on claims in the peer-reviewed literature.” P-Exh. 373 at  
20 17. Monsanto notes that “Williams has served us well in toxicology over the last decade,” but they need  
21 a “stronger arsenal of robust papers scientific papers.” Id. Because of the need for a stronger arsenal,  
22 Monsanto proceeded to ghostwrite parts of at least three more articles relating to genotoxicity and  
23 carcinogenicity of GBHs. P-Exh. 258, P-Exh. 445, p. 2, P-Exh. 391 at 3. Williams (2000) and the Kier  
24 and Kirkland article were relied upon by the EPA in evaluating glyphosate in 2016. D-Exh. 2481, p. 99.

25           Defendant additionally participated in ghostwriting to gain an advantage in this litigation. Due  
26 to the “severe stigma” of the IARC classification of glyphosate as a 2A carcinogen, Monsanto decided  
27 to ghostwrite a new article to “Provide additional support (‘air cover’) for future regulatory reviews”  
28 and for “litigation support.” P-Exh. 391 at 3. Monsanto decided that the “majority of writing can be done

1 by Monsanto.” Id. at 6. Monsanto’s legal department considered this plan “Appealing” and “best if use  
2 big names.” Id. at 11. The ghostwritten article became Williams (2016) and was published nine months  
3 after Mr. Johnson filed this lawsuit. In the article, Monsanto falsely claims “that neither any Monsanto  
4 Company employees nor any attorneys reviewed any of the expert panel manuscripts prior to submission  
5 to the journal.” Heydens Tr. at 129:1-130:25. In fact, evidence demonstrates that Monsanto wrote  
6 portions of it and had final say on the editing of the paper. Id. at 129:1-130:25, 161:3-166:16.  
7 Monsanto’s deep involvement in the paper is documented in P-Exhs. 363, 366, 368, 369, 371, 373, 394.

8 **D. Known Risks and Failure to Test the Formulated Product Support Punitive Damages:**

9 Despite Dr. Parry’s concerns about the genotoxicity and synergistic effect of surfactants.  
10 Defendant admits that it has never conducted a long-term carcinogenicity test with GBH. Tr. at 3850:8-  
11 21. As noted by Donna Farmer in 2009 in an internal communication “you cannot say that Roundup  
12 does not cause cancer ... we have not done carcinogenicity studies with ‘Roundup.’” P-Exh. 305. Even  
13 though Dr. Heydens admitted that the “surfactant played a role” in the George (2010) tumor promotion  
14 study, no carcinogenicity studies have ever been conducted on surfactants. 3614:11-14 (Sawyer  
15 testimony). P-Exh. 366 at 3. There are known safer alternatives to the surfactants Monsanto sold to Mr.  
16 Johnson. Trns. at 3626:15-3627:17. Monsanto employees acknowledge “there are non-hazardous  
17 formulations, so why sell a hazardous one?” P-exh. 383. The very fact that a known safer alternative  
18 was available that could have been provided to Mr. Johnson for use in the Benicia School yards, but it  
19 was not, even after his telephone calls to Monsanto, supports the Jury’s finding of punitive damages.

20 There are known carcinogens in the formulated Roundup product which are not disclosed in the  
21 label. Dr. Sawyer testified that the formulated product also contains 1,4-Dioxane and ethylene dioxide  
22 “one of the most potent carcinogens known to man” and that the presence of surfactants, such as POEA,  
23 increase absorption of Roundup through human skin. Tr. at 3609:21-3610:5, 3633:23-3623:16. In 2002,  
24 Monsanto’s Mark Martens created a power-point stating “Surfactants are biologically not ‘inert’, they  
25 can be toxic and this must be addressed” P-Exh. 209 at 27. Dr. Marten’s stated that the “[t]his in-vivo  
26 genotoxicity finding was cause of concern[.]” P-Exh. 209 at 15; Marten Dep. at 176:13-16 (“So now  
27 these are your thoughts that the genotoxicity finding in vivo was of concern, correct? A Yes.”).  
28 However, Monsanto has yet to address the potential carcinogenicity of surfactants.

1           Additionally, the Regulatory authorities cited by Monsanto do not evaluate the formulated  
2 glyphosate products; they just evaluate the genotoxicity and carcinogenicity of glyphosate in isolation  
3 only. The EPA evaluation acknowledges that “[a]s described in Section 7.0 of this document, glyphosate  
4 formulations are hypothesized to be more toxic than glyphosate alone. The agency is collaborating with  
5 NTP to systematically investigate the mechanism(s) of toxicity for glyphosate and glyphosate  
6 formulations. However the focus of this section is the genotoxic potential of glyphosate technical.” D-  
7 Exh. 2481, p. 98. Dr. Benbrook confirmed that the regulatory authorities relied upon by Defendant  
8 “largely base their risk assessments on registrant-done studies and only on the pure active ingredient”  
9 Tr. at 3920:16-25. IARC, conversely, conducted a review of the formulated product. *Id.*

10           **E. Monsanto’s Ignoring and Combatting Independent Science Supports the Jury’s Findings:**

11           Monsanto had a “Product Safety Center” headed by Dr. Farmer. However, the stated priorities  
12 of the safety center were to “Secure the Base,” “Defend and maintain the global glyphosate businesses”  
13 and “Create Future Growth: Pipeline, Regulatory Approval, Commercial Launch, and Market  
14 Expansion.” P-Exh. 271, at 2. These goals are incompatible with human safety and preclude an honest  
15 and fair assessment of the findings of independent scientists regarding the genotoxicity and  
16 carcinogenicity of GBHs.

17           Evidence supports a finding that Dr. Farmer sent her employees to dissuade the authors of the  
18 McDuffie (2001) from publishing data about GBHs showing an increased risk of NHL. P-Exh. 309, 311,  
19 Donna Farmer congratulates John Acquavella and Dan Goldstein for being able to get the glyphosate  
20 results out of the abstract. P-Exh. 312 (“the fact that glyphosate is no longer mentioned in the abstract  
21 is a huge step forward – it removes it from being picked up by abstract searches!”).

22           In 2003, the National Cancer Institute Study (NCI) from DeRoos is published showing a  
23 statistically significant doubling of the risk of NHL for Glyphosate. Monsanto states that the findings  
24 “may add more fuel to the fire for Hardell, et al.” P. Exh. 314. Hardell also found an increased risk of  
25 NHL with glyphosate. Monsanto states “It looks like NHL and other lymphopoetic cancers continue  
26 to be the main epidemiology issues both for glyphosate alachlor.” *Id.* In 2008, the Eriksson study was  
27 published showing a statistically significant doubling of the risk of NHL for glyphosate users. Donna  
28 Farmer states “[w]e have been aware of this paper for awhile and knew it would only be a matter of time

1 before the activists pick it up” and wanted to know “how do we combat this?” Id. P-Exh. 513. There  
2 was no discussion about warning its customers of these findings.

3 In February 2015, a month before IARC actually makes a decision on glyphosate, Monsanto  
4 drafts a plan to “orchestrate outcry over IARC decision.” P-Exh. 292 at 5. Monsanto developed the plan  
5 to “orchestrate outcry” because they assumed that data would support either a 2b (possible human  
6 carcinogen) or a 2A (probably human carcinogen). Id. at 1. By attacking IARC, Monsanto was trying  
7 to protect glyphosate’s FTO (freedom to operate). Id. at page 5. The “outcry” was intended to reach  
8 both “IARC panelists” and “Regulators.” Id. As part of the IARC response, Dr. Goldstein ghostwrote  
9 editorials for “independent” doctors to dispute the IARC findings. Goldstein Dep. at 136:13-137:2.

10 **F. Monsanto’s Failure to Warn Mr. Johnson Supports the Jury’s Findings:**

11 In October 2014, after Monsanto learned that IARC was going to evaluate the carcinogenicity,  
12 William Heydens stated that Monsanto had “vulnerabilities” in all the areas considered by IARC,  
13 “namely epi, exposure, genotox and mode of action.” P-Exh. 294. On November 11, 2014, Mr. Johnson  
14 calls Monsanto “...just trying to find out if it [cancer] could all be related to such a large exposure to  
15 Ranger Pro since he stated his skin was always perfect until this happened. He is looking for answers.”  
16 P-Exh. 332. This message was forwarded to Dr. Goldstein, but no one from Monsanto called Mr.  
17 Johnson back to tell him that there were studies associating GBHs with NHL. Dr. Goldstein testified  
18 that he has known for years that epidemiology studies show an increased risk of NHL with glyphosate.  
19 Goldstein Dep. at 40:14-41:12. Dr. Goldstein stated that reports of the genotoxicity of glyphosate, a  
20 mechanism that can contribute to cancer, were old news to him in 2007. 96:04-9924. Dr. Goldstein  
21 testified that at the time of Mr. Johnson’s first call he “expected” IARC to classify glyphosate as a  
22 possible or probable human carcinogen. Id. at 42:19-44:01.

23 On March 27, 2015, Mr. Johnson calls Monsanto’s hotline again informing the company that  
24 “he has recently been diagnosed with cutaneous T cell lymphoma. He has concerns about continuing to  
25 use Roundup as part of his job and questions if Roundup could be a source of his cancer... The caller’s  
26 level of fear is rising over his continued use of Ranger Pro.” P-Exh. 334 at 5. Mr. Johnson is told by the  
27 operator that his NHL is not an “expected response from the product.” No one from Monsanto calls him  
28 back. No one from Monsanto advised Mr. Johnson of the POEA-free safer alternatives to the RangerPro

1 he was spraying. Instead, Dr. Goldstein testified that he would have recommended that Mr. Johnson  
2 keep using the hazardous RangerPro. Goldstein Dep. at 56:07-57:11.

3 There is evidence that Mr. Johnson's NHL was worsened by his continued use of RangerPro  
4 after he failed to receive a call back from Monsanto. GBHs have been shown to cause oxidative stress  
5 which can operate to promote tumors. 1990:10-1992. Oxidative Stress causes NHL in humans. 2820:4-  
6 2823:7. GBHs have been shown to promote skin tumors in mice. July 12 Tr. at 1857:22-1860:13.

7 Dr. Nabhan testified "If they're being exposed to an agent that may be causing the cancer, you  
8 would tell them not to be exposed to this particular agent because it could make the cancer worse..."  
9 2812:21-24. Dr. Ofodile concurs stating for "me and my patient's health, it's not worth the risk." 3156:3-  
10 4. Dr. Nabhan explained that he would have told Mr. Johnson to "immediately stop" spraying  
11 glyphosate if he was in Dr. Goldstein's shoes. 2868:19-2689:25. In September 2015 (ten months after  
12 Mr. Johnson called Dr. Goldstein, and six months after IARC), Mr. Johnson's cancer transformed from  
13 a manageable cancer to a fatal cancer. 2882:4-2884:15.

#### 14 **G. Regulatory Authorities Failed to Follow Guidelines in Assessing Glyphosate:**

15 It is undisputed that the EPA failed to follow its own carcinogenicity guidelines in assessing  
16 glyphosate. Trns. 2010:4-25; 2071:21-24; 4607:23-4608:13, 4610:1-4, 4620:25- 4611:11 4613:1-3;  
17 4629:15-20, 4631:23-4632:4. On April 28, 2015, prior to reviewing the IARC monograph, Jess  
18 Rowland, head of the Office of Pesticide Programs Cancer Assessment Review Committee told  
19 Monsanto's regulatory lead, Dan Jenkins, that "We have enough to sustain our conclusions. Don't need  
20 gene tox or epi ...I am the chair of the CARC and my folks are running this process for glyphosate in  
21 reg review. I have called a CARC meeting in June" P-Exh. 404 at 2 Mr. Rowland further stated that  
22 with respect to an ongoing review of glyphosate by the the Agency for Toxic Substances and Disease  
23 Registry (ATSDR), "If I can kill this [review] I should get a medal." Id. Monsanto also used its political  
24 connections to influence the findings of the EPA by getting "some key Democrats on the hill to start  
25 calling jim [jones, Assistant Administrator]" which "shoots across his bow generally that he's being  
26 watched." P-Exh. 184 at 8. In addition to lobbying the EPA, Monsanto hides essential information from  
27 the EPA. For example, as a policy Monsanto does not submit reports of its own employees developing  
28 NHL after handling glyphosate. Exh. 326. Monsanto admitted it did not submit the Parry reports to the

1 EPA. Trns. at 1587:15 - 1588:2; Martens Dep. at 151:6-22.

2 Dr. Portier also testified extensively that the European agencies failed to follow their guidelines,  
3 and was joined in that opinion by 93 other scientists in a published article. 2015:11-2019:25. The jury  
4 heard from Dr. Portier that the European agencies made the identical errors that the EPA and that  
5 Monsanto actually wrote the first draft of the European agencies' assessments. 2012:5-2014:23.

6 **H. The Evidence Supports a Finding that Defendant Acted With Conscious Disregard of**  
7 **the Safety of Mr. Johnson**

8 The evidence highlighted above is only part of the evidence presented by Plaintiff. It is sufficient  
9 to support a finding that Monsanto was intentionally marketing a defective product knowing that it might  
10 cause injury and death. Monsanto admits that it is selling a hazardous product when it knows that there  
11 are non-hazardous formulations. Monsanto has been repeatedly made aware of evidence demonstrating  
12 a potential danger of GBHs, but has refused to warn customers of these dangers. Even where a customer,  
13 such as Mr. Johnson, calls directly with the specific danger potentially caused by GBHs, Monsanto  
14 refuses to provide him with the necessary information to make an informed decision about the product

15 **V. MONSANTO'S DESPICABLE CONDUCT WAS PERFORMED BY MANAGING AGENTS:**

16 An employee need not be high ranking to be considered a managing agent. “[P]rincipal liability  
17 for punitive damages does not depend on employees' managerial level, but on the extent to which they  
18 exercise substantial discretionary authority over decisions that ultimately determine corporate policy.”  
19 *Major v. W. Home Ins. Co.* (2009)169 Cal. App. 4th 1197, 1221, as modified on denial of reh'g (Jan.  
20 30, 2009) (claims adjuster for contractor of Defendant considered managing agent). “If there exists a  
21 triable issue of fact regarding whether a corporate employee is a managing agent under the *White* test,  
22 that factual question must be determined by the trier of fact and not the court[.]” *Davis*, 220 Cal.App.4th  
23 at 366. There is no requirement that the evidence establish that a particular committee or officer of the  
24 corporation acted on a particular date with ‘malice.’ Corporate defendant cannot shield itself from  
25 liability through layers of management committees and the sheer size of the management structure.  
26 *Romo v. Ford Motor Co.* (2002) 99 Cal 1115, 122 Cal.2d 139 *overruled in part on other grounds.*

27 The relevant question under the managing agent inquiry is whether, the corporate employees  
28 had significant discretion with respect to the actions that affected the Plaintiff. *Major* 169 Cal. App. 4th

1 1197, 1221. (When employees dispose of insureds' claims with little if any supervision, they possess  
2 sufficient discretion for the law to impute their actions concerning those claims to the corporation.”)

3 Here, the evidence demonstrates, that with respect to all of the acts constituting malice claimed  
4 by the Plaintiff, the following employees acted with significant discretion in the four key issues relevant  
5 to punitive damages, 1) communications with the public meant to conceal the risk of GBHs; 2)  
6 Ghostwriting studies and failing to test formulations; and 3) Failure to warn Mr. Johnson through the  
7 label and through the hotline listed on the label; and 4) Undue influence over the EPA.

8 **A. Communications with the Public:**

9 Dr. Farmer testified<sup>9</sup> that she has been working at Monsanto for 25 years and has “been one of  
10 the spokesperson[s] for the safety of Roundup when it comes to the toxicology.” Farmer Tr. 14:11-13;  
11 15:5-7. She explained, “based on that in-depth knowledge for over those many, yes, I was asked to be -  
12 - help defend glyphosate.” *Id.* at 19:3-8. And, as described in admitted exhibit 536, her job was to  
13 “[d]efend and maintain the global glyphosate businesses[.]” Exh. 536. Steven Gould, the Monsanto  
14 employee responsible for providing safety information to the Benicia School District, states that he relies  
15 on Donna Farmer for this information. Gould Tr. at 23:04-15.

16 **B. Failure to test and Ghostwriting Articles:**

17 Dr. Heydens is Dr. Farmer’s boss. Farmer Tr. at 152:16-19. Dr. Heydens testified that he is the  
18 “product safety assessment strategy lead” Heydens Tr. at 289:23-290:9. Dr. Heydens is also lead of  
19 Monsanto’s “product safety center” where he oversaw “the group of scientists ... responsible for  
20 demonstrating the safety of Monsanto’s biotechnology portfolio.” *Id.* at 301:13-18. Importantly it was  
21 Dr. Heyden’s responsibility to “devise the overall testing strategy and sets of studies that we would do to  
22 support the safety of that product.” *Id.* at 290:7-9.

23 **C. Communications through the Label and Poison Control Hotline relied upon by Plaintiff:**

24 Dr. Goldstein was responsible for the “material safety data sheets” and “management of  
25 Monsanto’s relationship with the Missouri Region Poison Control.” 296:13-23. These were the two  
26 main interfaces that Plaintiff relied on in finding information out about GBHs. Tr. at 3230:14-16; P-  
27 Exh. 334 at 5. Dr. Goldstein testified that he is Monsanto’s Director of Medical Toxicology, and that

28 \_\_\_\_\_  
<sup>9</sup> All testimony cited was played to the jury.

1 “in terms of, you know, line responsibility for human toxicology issues, that has resided with me for  
2 most of the last 19 years.” Goldstein Tr. at 297:7-16; 298:15-24.

3 **D. Inappropriate Relationship with EPA Employees:**

4 Daniel Jenkins was Monsanto’s U.S. Agency Lead in Regulatory Affairs, and represented  
5 Monsanto before various federal agencies. Jenkins Tr. at 36:6-10. He was responsible for interfacing  
6 with regulatory agencies regarding glyphosate data and making strategic decisions about how interact  
7 with the EPA and other regulators. *Id.*

8 The internal documents demonstrate that these witnesses and the other employees identified in  
9 the documents were granted significant discretion in dictating what studies were conducted with GBHs  
10 and in dictating what information would be shared with the public and regulators. Defendant has offered  
11 no contrary evidence to suggest these employees were acting outside their scope of employment, or that  
12 these employees were acting contrary to the direction of Monsanto executives.

13 **VI. DEFENDANT’S MOTION FOR JNOV ON EACH CAUSE OF ACTION IS DENIED.**

14 Defendant makes various other arguments as to why JNOV should be granted on specific causes  
15 of action. These arguments are without merit. For Plaintiff’s design defect claims, Plaintiff has satisfied  
16 the foundational requirements outlined in *Saller v. Crown Cork & Seal Co.*, (2010) 187 Cal. App. 4th  
17 1220. For Plaintiff’s strict liability failure to warn claim, there is substantial evidence that the potential  
18 risks of GBHs were known or knowable at the time Plaintiff started spraying in 2012.<sup>10</sup> With respect  
19 to Plaintiff’s negligent failure-to-warn claim, Mr. Johnson testified that he would not have used  
20 RangerPro® if he had been adequately warned about the risk of NHL. Tr. at 3235:2-5.

21 **VII. CONCLUSION**

22 Giving the Plaintiff, as the prevailing party, every reasonable inference as we must; it cannot  
23 be said that there was no reliable evidence to support the jury’s unanimous verdict in favor of Mr.  
24 Johnson. Accordingly the Defendant’s motion for JNOV is denied.

25 Dated: October \_\_\_\_, 2018

26 \_\_\_\_\_  
Suzanne R. Bolanos  
27 Judge of the Superior Court

28 <sup>10</sup> Plaintiff’s experts applied the Bradford-Hill criteria using data that was available for years before Mr. Johnson developed NHL. Tr. at 2614: 17-21, 2617:21-25; 1897;1965:9-11; 1981:14-22

1 **PROOF OF SERVICE**

2 I, Curtis G. Hoke, declare as follows:

3 I am a citizen of the United States and am employed in Orange County, Virginia. I am over the  
4 age of eighteen years and not a party to the within action. My business address is 108 Railroad  
5 Avenue, Orange, Virginia 22960. On October 12, 2018, I served the following  
6 documents by the method indicated below:

7 [PROPOSED] ORDER DENYING MONSANTO'S MOTION FOR JUDGMENT  
8 NOTWITHSTANDING THE VERDICT  
9  
10  
11  
12  
13  
14

15  **By Electronically Serving** the document(s) described above via LexisNexis File & Serve  
16 by 7:00 p.m. Pacific Standard Time on all parties appearing on the LexisNexis File & Serve  
17 service list.

18 **SEE ATTACHED SERVICE LIST**

19 I declare under penalty of perjury under the laws of the State of California that the above  
20 is true and correct.

21 Executed on this October 12, 2018 at Orange, Virginia.

22 

23  
24 Curtis G. Hoke,  
25 Declarant  
26  
27  
28