

# EXHIBIT 60

# Non-Hodgkin's Lymphoma and Specific Pesticide Exposures in Men: Cross-Canada Study of Pesticides and Health<sup>1</sup>

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## Abstract

**Our objective in the study was to investigate the putative associations of specific pesticides with non-Hodgkin's Lymphoma [NHL; International Classification of Diseases, version 9 (ICD-9) 200, 202]. We conducted a Canadian multicenter population-based incident, case ( $n = 517$ )-control ( $n = 1506$ ) study among men in a diversity of occupations using an initial postal questionnaire followed by a telephone interview for those reporting pesticide exposure of 10 h/year or more, and a 15% random sample of the remainder. Adjusted odds ratios (ORs) were computed using conditional logistic regression stratified by the matching variables of age and province of residence, and subsequently adjusted for statistically significant medical variables (history of measles, mumps, cancer, allergy desensitization treatment, and a positive history of cancer in first-degree relatives). We found that among major chemical classes of herbicides, the risk of NHL was statistically significantly increased by exposure to phenoxyherbicides [OR, 1.38; 95% confidence interval (CI), 1.06–1.81] and to dicamba (OR, 1.88; 95% CI, 1.32–2.68). Exposure to carbamate (OR, 1.92; 95% CI, 1.22–3.04) and to organophosphorus insecticides (OR, 1.73; 95% CI, 1.27–2.36), amide fungicides, and the fumigant carbon tetrachloride (OR, 2.42; 95% CI, 1.19–5.14) statistically significantly increased risk. Among individual**

**compounds, in multivariate analyses, the risk of NHL was statistically significantly increased by exposure to the herbicides 2,4-dichlorophenoxyacetic acid (2,4-D; OR, 1.32; 95% CI, 1.01–1.73), mecoprop (OR, 2.33; 95% CI, 1.58–3.44), and dicamba (OR, 1.68; 95% CI, 1.00–2.81); to the insecticides malathion (OR, 1.83; 95% CI, 1.31–2.55), 1,1,1-trichloro-2,2-bis (4-chlorophenyl) ethane (DDT), carbaryl (OR, 2.11; 95% CI, 1.21–3.69), aldrin, and lindane; and to the fungicides captan and sulfur compounds. In additional multivariate models, which included exposure to other major chemical classes or individual pesticides, personal antecedent cancer, a history of cancer among first-degree relatives, and exposure to mixtures containing dicamba (OR, 1.96; 95% CI, 1.40–2.75) or to mecoprop (OR, 2.22; 95% CI, 1.49–3.29) and to aldrin (OR, 3.42; 95% CI, 1.18–9.95) were significant independent predictors of an increased risk for NHL, whereas a personal history of measles and of allergy desensitization treatments lowered the risk. We concluded that NHL was associated with specific pesticides after adjustment for other independent predictors.**

## Introduction

NHL<sup>4</sup> has been epidemiologically associated with farming (1–8), with certain farm practices (9), with pesticide exposure (10–13), and with certain other occupations (14–17). The term pesticide is used to denote a wide variety of chemicals used to destroy weeds (herbicides), insects (insecticides), and mold (fungicides). Such chemicals are widely used in agriculture, horticulture, and forestry, and in the secondary processing of the products of these primary industries. Many of the NHL and pesticide case-control or cohort studies focused either on a small geographical area (1, 2, 4) or on one occupational group (2, 4, 5, 9). Our study encompassed six provinces of Canada with diverse agricultural practices and a number of different types of occupational and nonoccupational exposures to pesticides. Non-Hodgkin's lymphoma incidence rates have been increasing in Canada for the last 25 years reflecting a worldwide trend (18) that has not been explained by improved diagnostic (19) methods or record-keeping (20).

## Materials and Methods

**Study Population.** We conducted a population-based case-control study among men resident in six Canadian provinces to

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<sup>3</sup> Dr. Choi was a collaborator who is now deceased.

<sup>4</sup> The abbreviations used are: NHL, non-Hodgkin's lymphoma; DDT, 1,1,1-trichloro-2,2-bis (4-chlorophenyl) ethane; STS, soft tissue sarcoma; HD, Hodgkin's disease; MM, multiple myeloma; 2,4-D, 2,4-dichlorophenoxyacetic acid; MCPA, 4-chloro-2-methylphenoxyacetic acid; 2,4,5-T, 2,4,5-trichlorophenoxyacetic acid; OR, odds ratio; OR<sub>adj</sub>, adjusted OR; 95% CI, 95% confidence interval.

test the pesticide-exposure hypothesis related to four rare tumors. Incident cases among men, ages 19 years or over, with a first diagnosis of STS, HD, NHL [International Classification of Diseases, version 9 (ICD-9), code 200 or 202], or MM diagnosed between September 1, 1991, and December 31, 1994, were eligible. To balance the number of cases by geographical regions, each province was assigned a target number of cases in each tumor category. Each province ceased to ascertain cases when their preassigned target was reached. This report is based solely on cases diagnosed with NHL. Cases were ascertained from provincial Cancer Registries except in Quebec, for which hospital ascertainment was used. The Cancer Registries and hospitals provided information, including pathology reports, to confirm the diagnosis. Pathological material was reviewed and classified according to the working formulation by the reference pathologist. Misclassified and ineligible (*e.g.*, Kaposi's sarcoma, known HIV-positive) cases were excluded. Subjects for whom pathological material was unavailable remained in the study. After physician consent was received, postal questionnaires and informed consent forms were mailed to potential cases. Surrogates for deceased cases were not contacted.

Men, ages 19 years and older, selected at random within age constraints from the provincial Health Insurance records (Alberta, Saskatchewan, Manitoba, Quebec), computerized telephone listings (Ontario), or voters' lists (British Columbia) were potential controls. The random control subject selection was stratified by age  $\pm$  2 years to be comparable with the age distribution of the entire case group (STS, HD, NHL, and MM) within each province. Postal questionnaires and informed consent forms were mailed to potential controls. Surrogates for deceased persons were ineligible as controls. All of the participating control subjects were used in the statistical analyses of each cancer site.

**Pilot Study.** We conducted a pilot study (21) in each provincial region to test study procedures and to determine an operational definition of pesticide exposure to distinguish between environmental (which includes bystander and incidental) and more intensive exposure. Nonoccupational use of pesticides (home, garden, hobby) was included. There were few individuals who were completely free of being exposed to pesticides. Therefore, we constructed graphs that demonstrated that the most efficient definition of pesticide exposure, which discriminated (*a*) between incidental, bystander, and environmental exposure as compared with more intensive exposure and (*b*) between cases and controls, was a cumulative total of 10 h per year to any combination of pesticides. The screening questions in the postal questionnaire were used to trigger telephone interviews among those with cumulative exposure of  $\geq$ 10 h/year to any combination of herbicides, insecticides, fungicides, fumigants, and/or algicides. The 68 cases and 103 controls who participated in the pilot study are not included in this report.

**Pesticides.** Pesticide is a generic term describing a variety of compounds of diverse chemical structures and biological modes of action. In this study, the term pesticide refers primarily to herbicides, insecticides, fungicides, and fumigants.

We conducted a validation pilot study of the modified questionnaires (21). Volunteer farmers ( $n = 27$ ) completed the questionnaires and granted permission for us to access their records of purchases through their local agrochemical supplier. The concordance between the two sources was excellent and discordance was explainable by (*a*) the farmer paid in cash and the supplier discarded the record; (*b*) the farmer purchased the agrochemical in the United States, and, therefore, the local

supplier did not have a record; (*c*) the farmer paid for professional ground or aerial spraying, and the account was listed in another name; or (*d*) the supplier had destroyed the records.

**Questionnaires.** The questionnaires were modified versions of the telephone interview questionnaire that was used in studies of pesticide exposure and rare tumors in Kansas (11) and Nebraska (13). With permission, we modified the questionnaire to create postal and telephone interview questionnaires. To control for the effects of other variables known or suspected to be associated with the development of NHL after conducting an extensive literature review, we used the postal questionnaire to capture demographic characteristics, antecedent medical history, family history of cancer, detailed lifetime job history, and occupational exposure history to selected substances, accidental pesticide spills, and use of protective equipment, as well as details of cigarette smoking history. The telephone questionnaire characterized exposure to individual pesticides. The pesticide data were collected at several levels beginning with the broadest categories (*e.g.*, minimal exposure, occupations with potential pesticide exposure) and progressing sequentially to major classes (*e.g.*, herbicides); to chemical groups (*e.g.*, phenoxy herbicides); and finally to individual compounds (*e.g.*, 2,4-D, MCPA, and 2,4,5-T).

In this report, we focus on lifetime exposure to individual pesticides classified by active ingredients and to major chemical classes of herbicides, insecticides, fungicides, and fumigants. We classified exposure by the number of herbicides, insecticides, fungicides, and fumigants reported by cases and controls as well as by the number of days per year of exposure to individual compounds.

Each subject who reported 10 h per year or more of exposure to pesticides (any combination of compounds) as defined by the screening questions, and a 15% random sample of the remainder was mailed a list of pesticides (both chemical and brand names) and an information letter. Each subject was subsequently telephoned to obtain details of pesticide use.

The listed pesticides were chosen for inclusion (22–25): (*a*) if the compound was ever registered for use in Canada and reviewed by the IARC; (*b*) if the pesticide was recently banned or restricted in Canada by the federal licensing agency; or (*c*) if the pesticide was commonly used in Canada for specific purposes.

To ensure consistency, we developed and distributed manuals for provincial study coordinators, interviewers, and data managers. Before commencing data collection, we held a 2-day workshop with provincial coordinators to review data collection procedures and policies, to practice interviewing skills, and to review SPSS-DE (Statistical Packages for the Social Sciences-Data Entry),<sup>5</sup> the custom data entry program that we used. On receipt of a postal questionnaire, the provincial coordinator reviewed it for internal consistency and completeness. Data were computer-entered and verified in the province of origin, transported to the coordinating center, and rechecked for completeness, after which statistical analyses were performed.

Copies of the questionnaires and additional information on pesticides that were not included in this report are available from the corresponding author.

**Pathology Review.** Pathologists in participating provinces were requested to send blocks or slides of tumor tissue removed at surgery to the reference pathologist. Ten subjects with Ka-

<sup>5</sup> SPSS-Data Entry II Statistical Package for the Social Sciences: Statistical Data Analysis. SPSS Inc., Chicago, Illinois, 1998.

**Table 1** Comparisons of demographic, antecedent personal medical, general pesticide exposures and cigarette smoking history between cases of NHL and control subjects based on the postal questionnaire

	NHL, <i>n</i> = 517		Controls, <i>n</i> = 1506		OR <sup>a</sup> (95% CI)
	<i>n</i>	%	<i>n</i>	%	
Age, yr					
<30	64	12.4	356	23.6	
30–39	87	16.8	255	16.9	
40–49	111	21.5	238	15.8	
50–59	143	27.7	370	25.6	
>60	112	21.7	287	19.0	
Mean ± SD	57.7 ± 14		55.0 ± 16		
Residence on a farm at any time					
Yes	235	45.5	673	44.7	
No (reference)	279	54.0	828	55.0	1.06 (0.86–1.20)
Missing	3	0.6	5	0.3	
Pesticide exposure (screening question)					
<10 h/yr (reference)	379	73.3	1142	75.8	
≥10 h/yr	138	26.7	364	24.2	1.22 (0.96–1.55)
Smoking History					
Nonsmoker (reference)	160	30.9	526	34.9	
Ex-smoker	254	49.1	648	43.0	1.10 (0.86–1.41)
Current smoker	91	17.6	298	19.8	0.98 (0.72–1.33)
Missing data	12	2.3	34	2.3	
Current or ex-smoker	345	66.7	946	62.8	1.06 (0.86–1.20)
Medical History <sup>b</sup>					
Measles (yes)	251	48.5	888	59.0	<b>0.64 (0.51–0.79)</b>
Mumps (yes)	194	37.5	588	39.0	<b>0.75 (0.60–0.93)</b>
Previous cancer (yes)	73	14.1	87	5.8	<b>2.43 (1.71–3.44)</b>
Skin-prick allergy test	34	6.6	196	13.0	<b>0.52 (0.34–0.76)</b>
Allergy desensitization shots (yes)	18	3.5	114	7.6	<b>0.49 (0.29–0.83)</b>
Family history of cancer any first-degree relative (yes)	219	42.4	497	33.0	<b>1.31 (1.05–1.62)</b>

<sup>a</sup> OR stratified by age and by province of residence.

<sup>b</sup> Also tested and found to be unassociated: acne; asthma; celiac disease; chickenpox; diabetes; hay fever; mononucleosis; rheumatic fever; rheumatoid arthritis; ringworm; shingles; syphilis; tuberculosis; urinary tract infections; whooping cough; allergies; drug treatment for overactive thyroid; treatment for head lice, body lice, or scabies; medical implants; drug treatment for epilepsy; tonsillectomy; positive allergy prick skin test, patch skin test, or positive patch skin test for allergy.

posi's sarcoma were omitted on the basis of the etiological association with HIV infection. Any other known HIV-positive subjects had been previously excluded. Eighty-four % (436 of 517) of the NHL tumors were validated. Because of a change midstudy in some hospitals' policies regarding supplying pathological material without charge, we were unable to obtain the remaining samples.

**Statistical Analyses.** Data from the postal and telephone interviews were merged by using the identification number. Of the individuals selected randomly for a telephone interview, most had used one or no chemical pesticides. We reviewed these data and decided to include them in the statistical analyses because they might be informative with respect to low levels of exposure to pesticides and their inclusion maximized our sample size with respect to other known or suspected risk factors for NHL. We conducted descriptive analyses of each variable, which included, where applicable, frequencies, ranges, means ± SD, and median values for cases and controls separately.

To evaluate putative risk factors for NHL, conditional logistic regression was used to compute ORs and 95% CIs, stratifying by age groups and province of residence.<sup>6</sup> ORs were calculated for categorical variables related to medical history that were selected based on previous studies (*e.g.*, measles,

mumps, previous cancer, allergy desensitization treatment, skin prick allergy test); pesticide exposure (<10 and ≥10 h per year); and smoking history. Using conditional logistic regression, ORs were also calculated for (a) major chemical classes of herbicides, insecticides, fungicides, and fumigants; and (b) for individual active chemicals. The statistically significant ( $P < 0.05$ ) medical variables were used to adjust the effect of exposure to pesticides classified by major chemical group and by individual active chemical. Given the study sample size and the case-control ratio, *a priori* power calculations indicated that we had sufficient statistical power to detect an OR of 2 when at least 1% of the controls was exposed to a specific pesticide or chemical class of pesticide. Conditional logistic analyses (26) were conducted that retained in the model, all covariates for which the  $P$  was ≤.05. The criterion for entry into models was a  $P \leq 0.20$  in bivariate age and province stratified analyses.

We created dose-response levels based on days/year of personally mixing or applying selected herbicides, insecticides, fungicides, and fumigants. We reported ORs stratified by age and province of residence. We created exposure categories for exposures to multiple different herbicides, insecticides, fungicides, and fumigants. For these analyses, the unexposed category was specific to the class of pesticide. We also created exposure categories for exposures to combinations of herbicides, insecticides, fungicides, and fumigants for which the reference group did not report exposure to any of those classes of pesticides.

<sup>6</sup> EGRET Intuitive Software for DOS Micros Statistics and Epidemiology Research Corporation, 1993.

Table 2 Herbicides: frequency of exposure to herbicides classified into major chemical classes and as individual compounds

The list includes only those reported by 1% or more of responders.

Major chemical classes	NHL <i>n</i> = 517		Controls <i>n</i> = 1506		OR <sup>a</sup> (95% CI)	OR <sub>adj</sub> <sup>b</sup> (95% CI)
	<i>n</i> exposed	% exposed	<i>n</i> exposed	% exposed		
Phenoxyherbicides, <sup>c</sup> exposed	131	25.3	319	21.2	<b>1.46 (1.09–1.82)</b>	<b>1.38 (1.06–1.81)</b>
Individual phenoxyherbicides						
2,4-D	111	21.5	293	19.5	1.26 (0.97–1.64)	<b>1.32 (1.01–1.73)</b>
Mecoprop	53	10.2	81	5.4	<b>2.23 (1.38–3.07)</b>	<b>2.33 (1.58–3.44)</b>
MCPA	17	3.3	46	3.1	1.08 (0.59–1.94)	1.10 (0.60–2.00)
Diclofopmethyl	9	1.7	25	1.7	0.96 (0.42–2.20)	0.95 (0.41–2.22)
Phosphonic acid, <sup>d</sup> exposed	63	12.2	147	9.8	1.42 (0.95–1.90)	1.40 (0.94–1.89)
Individual phosphonic herbicides						
Glyphosate (Round-up)	51	9.9	133	8.8	1.26 (0.87–1.80)	1.20 (0.83–1.74)
Thiocarbamates, <sup>e</sup> exposed	21	4.1	49	3.3	1.41 (0.62–2.20)	1.46 (0.82–2.58)
Individual thiocarbamate herbicides						
Diallate ( <i>n</i> exposed)	11	2.1	29	1.9	1.26 (0.59–2.67)	1.46 (0.68–3.14)
Phenols: Bromoxynil, <sup>f</sup> exposed	16	3.1	48	3.2	1.05 (0.41–1.69)	1.07 (0.58–1.99)
Dicamba, <sup>g</sup> exposed	73	14.1	131	8.7	<b>1.92 (1.39–2.66)</b>	<b>1.88 (1.32–2.68)</b>
Individual dicamba herbicides						
Dicamba (Banvel or Target)	26	5.0	50	3.3	1.59 (0.95–2.63)	<b>1.68 (1.00–2.81)</b>
Dinitroaniline, <sup>h</sup> exposed	11	2.1	31	2.1	1.17 (0.56–2.41)	1.20 (0.61–2.35)
Individual dinitroaniline herbicides						
Trifluralin	11	2.1	31	2.1	1.17 (0.56–2.41)	1.06 (0.50–2.22)

<sup>a</sup> ORs calculated with strata for the variables of age and province of residence.<sup>b</sup> ORs adjusted for statistically significant medical variables (history of measles, mumps, cancer, allergy desensitization shots, and a positive family history of cancer in a first-degree relative), and with strata for the variables of age and province of residence.<sup>c</sup> Phenoxyherbicides include the phenoxyacetic acids (*e.g.*, 2,4-D and MCPA), the phenoxy-2-propionic acids (*e.g.*, mecoprop); the phenoxybutanoic acids (*e.g.*, 2,4-DB) and other phenoxyalkanoic acids (*e.g.*, diclofopmethyl).<sup>d</sup> Glyphosate is the only phosphonic acid herbicide reported by more than 1% of responders. Round-up, Touchdown, Victor, Wrangler, Laredo do not include dicamba, and Rustler is a mixture of dicamba and glyphosate.<sup>e</sup> Thiocarbamate herbicides include diallate and triallate.<sup>f</sup> Bromoxynil is the only phenol herbicide included.<sup>g</sup> Dicamba as a major chemical class includes Banvel, and Target, and a mixture of dicamba and glyphosate (Rustler), or mixtures of dicamba, 2,4-D, and mecoprop (Dynel DS, Killex).<sup>h</sup> Dinitroaniline herbicides include ethalfluralin and trifluralin.

**Ethics.** The protocol, letters of informed consent, questionnaires, and all other correspondence with potential subjects were approved by the relevant agencies in each province. All of the information that could be used to identify individuals remained within the province of origin under the control of the provincial principal investigators.

## Results

Data from postal questionnaires based on responses from 517 NHL cases (67.1% of those contacted) and 1506 control subjects (48.0% of those contacted) were analyzed. Similar percentages of potential subjects resident in rural and urban areas responded. There were higher percentages of responders in the middle-age group than at either extreme among both cases and controls. Detailed information related to their pesticide exposure history was obtained by telephone interview from 119 NHL cases and 301 control subjects who indicated pesticide exposure of 10 h per year or more. A 15% random sample of cases and controls who indicated pesticide exposure of less than 10 h/year was also interviewed by telephone, resulting in detailed pesticide exposure information on 60 cases of NHL and on 155 controls. The total telephone interviewed sample consisted of 179 cases of NHL and 456 controls.

A summary of selected demographic, antecedent personal and familial medical history, general pesticide exposure as measured by the screening questions, and cigarette smoking

history comparisons of NHL cases and population-based controls is shown in Table 1. Because all of the controls (age-matched for STS, MM, HD, and NHL) were used in the analysis, cases were older than controls. Cases and controls were similar in their smoking patterns. Cases were less likely to have a history of measles or mumps and more likely to have a personal history of a previous primary cancer. Cases were more likely than controls to have a positive family history of cancer, whereas more controls had undergone allergy desensitization injections. A slightly higher proportion of cases than controls indicated cumulative exposure to pesticides of  $\geq 10$  h per year.

Table 2 summarizes reported exposure to herbicides classified by major chemical classes (phenoxy, phosphonic acid, thiocarbamates, phenols, dicamba, and dinitroaniline) and by individual compounds for which at least 1% of responders reported exposure. ORs are also shown after adjustment for the statistically significant ( $P < 0.05$ ) variables reviewed in Table 1, which included a history of measles, mumps, cancer, and allergy desensitization shots and a positive history of cancer in a first-degree relative. Cases experienced a significantly higher frequency of exposure to phenoxyherbicides, to dicamba or a mixture including dicamba, to 2,4-D, and to mecoprop.

Table 3 summarizes the insecticide exposure data. Exposure to two major chemical classes, carbamates and organophosphates, was statistically significantly associated with NHL, whereas exposure to organochlorines as a group was not.



Table 3 Insecticides: frequency of exposure to insecticides classified into major chemical classes and as individual compounds

Major chemical classes	NHL <i>n</i> = 517		Controls <i>n</i> = 1506		OR <sup>a</sup> (95% CI)	OR <sub>adj</sub> <sup>b</sup> (95% CI)
	<i>n</i> exposed	% exposed	<i>n</i> exposed	% exposed		
Carbamates, <sup>c</sup> exposed	37	7.2	60	4.0	<b>1.95 (1.25–3.05)</b>	<b>1.92 (1.22–3.04)</b>
Individual carbamate insecticides						
Carbaryl	25	4.8	34	2.3	<b>2.05 (1.18–3.55)</b>	<b>2.11 (1.21–3.69)</b>
Carbofuran	9	1.7	18	1.2	1.58 (0.68–3.67)	1.64 (0.70–3.85)
Methomyl	6	1.2	13	0.9	1.86 (0.67–5.17)	1.65 (0.54–5.03)
Organochlorine, (1) <sup>d</sup> exposed	50	9.7	134	8.9	1.16 (0.81–1.66)	1.27 (0.87–1.84)
Individual organochlorine (1) insecticides						
Chlordane	36	7.0	105	7.0	1.06 (0.71–1.59)	1.11 (0.74–1.69)
Lindane	15	2.9	23	1.5	<b>2.05 (1.01–4.16)</b>	<b>2.06 (1.01–4.22)</b>
Aldrin	10	1.9	6	0.4	<b>3.81 (1.34–10.79)</b>	<b>4.19 (1.48–11.96)</b>
Organochlorine (2) diphenylchlorides <sup>e</sup> exposed	86	16.6	233	15.5	1.24 (0.94–1.65)	1.21 (0.90–1.62)
Individual organochlorine (2) diphenylchlorides						
Methoxychlor	65	12.6	201	13.3	1.08 (0.79–1.47)	1.02 (0.74–1.41)
DDT	32	6.2	59	3.9	<b>1.63 (1.03–2.57)</b>	<b>1.73 (1.08–2.76)</b>
Organophosphorus, <sup>f</sup> exposed	90	17.4	167	11.1	<b>1.69 (1.26–2.27)</b>	<b>1.73 (1.27–2.36)</b>
Individual organophosphorus insecticides						
Malathion	72	13.9	127	8.4	<b>1.77 (1.28–2.46)</b>	<b>1.83 (1.31–2.55)</b>
Dimethoate	22	4.3	50	3.3	1.20 (0.71–2.03)	1.20 (0.70–2.06)
Diazinon	18	3.5	28	1.9	1.72 (0.92–3.19)	1.69 (0.88–3.24)

<sup>a</sup> ORs calculated with strata for the variables of age and province of residence.

<sup>b</sup> ORs adjusted for statistically significant medical variables (history of measles, mumps, cancer, allergy desensitization shots and a positive family history of cancer in a first-degree relative), and with strata for the variables of age and province of residence.

<sup>c</sup> Carbamate insecticides include carbaryl, carbofuran, and methomyl.

<sup>d</sup> Organochlorine insecticides class one includes aldrin; chlordane; dieldrin; endrin; heptachlor; lindane; and a mixture of lindane, carbathiin, and thiram (Vitavax).

<sup>e</sup> Organochlorine (2) diphenylchloride insecticides include DDT and methoxychlor.

<sup>f</sup> Organophosphorus insecticides include malathion, chlorpyrifos, diazinon, dimethoate, parathion, methidathion, and trichlorfon.

Table 4 Fungicides: frequency of exposure to fungicides classified into major chemical classes and as individual compounds

Major chemical classes	NHL <i>n</i> = 517		Controls <i>n</i> = 1506		OR <sup>a</sup> (95% CI)	OR <sub>adj</sub> <sup>b</sup> (95% CI)
	<i>n</i> exposed	% exposed	<i>n</i> exposed	% exposed		
Amide, <sup>c</sup> exposed	30	5.8	58	3.9	<b>1.69 (1.05–2.73)</b>	<b>1.70 (1.04–2.78)</b>
Individual amide fungicides						
Captan	20	3.9	24	1.6	<b>2.48 (1.33–4.63)</b>	<b>2.51 (1.32–4.76)</b>
Vitavax	10	1.9	39	2.6	0.88 (0.42–1.85)	0.88 (0.41–1.87)
Aldehyde, <sup>d</sup> exposed	7	1.4	25	1.7	0.85 (0.35–2.07)	0.92 (0.37–2.29)
Individual aldehyde fungicides						
Formaldehyde	7	1.4	255	1.7	0.85 (0.35–2.07)	0.92 (0.37–2.29)
Mercury Containing, <sup>e</sup> exposed	18	3.5	48	3.2	1.09 (0.61–1.95)	1.28 (0.70–2.27)
Mercury-containing fungicides						
Mercury dust ( <i>n</i> exposed)	15	2.9	39	2.6	1.08 (0.57–2.04)	1.23 (0.64–2.35)
Mercury liquid ( <i>n</i> exposed)	8	1.5	22	1.5	1.15 (0.49–2.69)	1.40 (0.74–3.22)
Sulphur Compounds	17	3.3	21	1.4	<b>2.26 (1.16–4.40)</b>	<b>2.80 (1.41–5.57)</b>

<sup>a</sup> ORs calculated with strata for the variables of age and province of residence.

<sup>b</sup> ORs adjusted for statistically significant medical variables (history of measles, mumps, cancer, allergy desensitization shots, and a positive family history of cancer in a first-degree relative), and with strata for the variables of age and province of residence.

<sup>c</sup> Amide fungicides include captan and a mixture of carbathiin, thiram, and lindane (Vitavax).

<sup>d</sup> Aldehyde fungicides include formaldehyde and a mixture of formaldehyde and iprodione (Rovral Flo).

<sup>e</sup> Mercury-containing fungicides include mercury dusts (Ceresan, Reytosan, and Agrox) and mercury liquids (Panogen, Leytosol, and PMAS).

Among individual carbamate compounds, exposure to carbaryl was statistically significantly associated with NHL. Among organochlorines, exposure to lindane, to aldrin, and to DDT was significantly associated with NHL. Malathion was the only individual organophosphate exposure statistically significantly associated with NHL.

Exposure to fungicides is summarized in Table 4. The fungicides with an amide group (OR<sub>adj</sub>, 1.70; 95% CI, 1.04–2.78) were associated with NHL, whereas aldehydes and those

containing mercury were not. Among individual amide-containing compounds, exposure to captan (OR<sub>adj</sub>, 2.51; 95% CI, 1.32–4.76) was associated with NHL.

Malathion used as a fumigant was not associated with NHL (Table 5). There were fewer users of malathion as a fumigant compared with its use on crops. Carbon tetrachloride fumigant exposure (OR<sub>adj</sub>, 2.42; 95% CI, 1.19–5.14) was associated with NHL.

Table 6 shows the results of a conditional logistic regres-

Table 5 Frequency of exposure to fumigants: individual compounds

Individual compounds+	NHL <i>n</i> = 517		Controls <i>n</i> = 1506		OR <sup>a</sup> (95% CI)	OR <sub>adj</sub> <sup>b</sup> (95% CI)
	<i>n</i> exposed	% exposed	<i>n</i> exposed	% exposed		
Malathion <sup>c</sup>	12	2.3	23	1.5	1.49 (0.72–3.11)	1.54 (0.74–3.22)
Carbon tetrachloride <sup>d</sup>	13	2.5	18	1.2	<b>2.13 (1.02–4.47)</b>	<b>2.42 (1.19–5.14)</b>

<sup>a</sup> ORs calculated with strata for the variables age and province of residence.

<sup>b</sup> ORs adjusted for statistically significant medical variables (history of measles, mumps, cancer, allergy desensitization shots, and a positive family history of cancer in a first-degree relative) and with strata for the variables age and province of residence.

<sup>c</sup> Malathion is an organophosphorus insecticide which has been used indoors as a fumigant.

<sup>d</sup> Carbon tetrachloride was used as a grain fumigant.

Table 6 Most parsimonious model: conditional logistic regression analyses that contained major chemical classes of pesticides and important covariates (*P* < 0.05)

Phenoxyherbicides as a group, carbamate, and organophosphate insecticides, amide group containing fungicides, and carbon tetrachloride users/nonusers were included in the initial multivariate model and found not to contribute significantly to the risk of NHL.

Variable	Parameter Estimate ± SE	OR (95% CI)
Measles (yes)	−0.47 ± 0.11	<b>0.62 (0.50–0.78)</b>
Previous cancer (yes)	0.79 ± 0.18	<b>2.20 (1.54–3.15)</b>
First-degree relative with cancer (yes)	0.32 ± 0.11	<b>1.37 (1.10–1.71)</b>
Allergy desensitization shots (yes)	−0.65 ± 0.27	<b>0.52 (0.31–0.89)</b>
Dicamba mixtures (user)	0.67 ± 0.17	<b>1.96 (1.40–2.75)</b>

sion model that included major chemical classes of pesticides and all other covariates for which *P* < 0.05. The variables that remained statistically significantly associated with increased risk of NHL were a previous personal history of another malignancy, a history of cancer among first-degree relatives, and exposure to dicamba and mixtures containing dicamba. ORs for a personal history of measles or of allergy desensitization injections were significantly lower than those without this history. Table 7 summarizes a similar model that included individual pesticides and all of the other covariates for which *P* < 0.05 and in which mecoprop and aldrin exposure as well as the same covariates as in Table 6 were associated with NHL.

Table 8 shows the frequency of exposure to selected individual herbicides, insecticides, fungicides, and fumigants, stratified by the average number of days per year of exposure. In general, the results of these dose-response analyses are consistent with the exposed/nonexposed findings. Those compounds for which we found statistically significant case-control differences also have elevated ORs based on strata of the variable “days per year of exposure” (mecoprop, dicamba, malathion, DDT, captan, carbon tetrachloride, and sulfur). The exceptions were 2,4-D, for which there was no dose-response relationship, and glyphosate, which was not significant for exposure but for which we demonstrated a dose-response relationship.

Table 9 compares the frequencies of multiple herbicide, insecticide, fungicide, and fumigant use among cases and controls. Cases are significantly more likely to report exposure to between two and four herbicides or insecticides but not to five and more of either. An elevated OR was found for exposure to two or more fungicides. Table 9 also shows a dose-response relationship in comparisons of subjects who reported no pesticide exposure and those who reported using five or more pesticides.

Table 7 Most parsimonious model: conditional logistic regression analyses that contained individual chemical pesticides and important covariates (*P* < 0.05)

Among individual pesticides, carbaryl, lindane, DDT, and malathion insecticides, and captan fungicide user/nonuser were included in the initial multivariate model and found not to contribute significantly to the risk of NHL.

Variable	Parameter estimate ± SE	OR (95% CI)
Measles (yes)	−0.48 ± 0.11	<b>0.50 (0.45–0.83)</b>
Previous cancer (yes)	0.80 ± 0.18	<b>2.23 (1.56–3.19)</b>
First-degree relative with cancer (yes)	0.32 ± 0.11	<b>1.38 (1.11–1.72)</b>
Allergy desensitization shots (yes)	−0.68 ± 0.27	<b>0.51 (0.30–0.87)</b>
Mecoprop (user)	0.80 ± 0.20	<b>2.22 (1.49–3.29)</b>
Aldrin (user)	1.23 ± 0.54	<b>3.42 (1.18–9.95)</b>

## Discussion

The hypothesis that farming (1–8), agricultural practices (9), and pesticide exposure (10–13, 22–25) are associated with NHL has been tested in a number of occupational studies. Not all of the studies confirm an association (27–29). Pesticides have diverse chemistry and biological modes of action. In addition to the active ingredients, there are emulsifiers, carriers, dispersants, and a variety of agents used to formulate liquids, granular and mists. The major chemical classes of *a priori* interest based on epidemiological studies (10–13, 22–25) were phenoxyherbicides, organophosphorus, organochlorines, aldehydes, and carbon tetrachloride. Occupational exposure to 2,4-D, 2,4,5-T, carbaryl, chlordane, DDT, diazinon, dichlorvos, lindane, malathion, nicotine, and toxaphene has been reported to be associated with NHL. In addition, our interest focused on pesticides classified as possibly or probably carcinogenic to humans based on evaluations by the IARC expert panels (Refs. 22–25; phenoxyherbicides including 2,4-D, MCPA, and 2,4,5-T as a group, atrazine, chlordane, DDT, dichlorvos, heptachlor, and pentachlorophenol). Our bivariate results for exposure to groups of phenoxyherbicides or dicamba-containing herbicides, for carbamates and organophosphorus insecticides, and for amide fungicides and for carbon tetrachloride were not attenuated when simultaneously adjusted for the important medical covariates (history of measles, mumps, cancer, allergy desensitization shots, and a positive history of cancer in a first-degree relative).

Among individual compounds, our results that related to exposure to 2,4-D, mecoprop, dicamba, malathion, DDT, carbaryl, lindane, aldrin, captan, and sulfur compounds were not attenuated after simultaneous adjustment for the same medical covariates. Clearly, we had few exposed men whose exposure was limited to one pesticide or to one class of pesticides. Our results show elevated risk for exposure to multiple herbicides, insecticides, and fungicides.

Table 8 Frequency of exposure to selected herbicides, insecticides, fungicides, and fumigants stratified by the number of days per year of exposure

Models that included the time variable "days per year" and stratification for age and province of residence were also assessed for the individual herbicide compounds bromoxynil, 2,4-DB, diallate, MCPA, triallate, and triflan. No significant associations were found.

Individual compounds	Days/yr	NHL		Controls		OR <sup>a</sup> (95% CI)
		n	%	n	%	
Herbicides						
2,4-D	Unexposed	406	78.5	1213	80.5	1
	>0 and ≤2	55	10.6	160	10.6	1.17 (0.83–1.64)
	>2 and ≤5	36	7.0	82	5.4	1.39 (0.91–2.13)
	>5 and ≤7	9	1.7	20	1.3	1.38 (0.60–3.15)
	>7	11	2.1	31	2.1	1.22 (0.60–2.49)
Mecoprop	Unexposed	464	89.8	1425	94.6	1
	>0 and ≤2	31	6.0	48	3.2	<b>2.27 (1.40–3.68)</b>
	≥2	22	4.3	33	2.2	<b>2.06 (1.17–3.61)</b>
Phosphonic acid: glyphosate	Unexposed	466	90.1	1373	91.2	1
	>0 and ≤2	28	5.4	97	6.4	1.00 (0.63–1.57)
	>2	23	4.5	36	2.4	<b>2.12 (1.20–3.73)</b>
Dicamba	Unexposed	491	95.0	1456	96.7	1
	≥1	26	5.0	50	3.3	1.58 (0.96–2.62)
Insecticides						
Malathion	Unexposed	445	87.0	1379	91.6	1.00
	>0 and ≤2	50	9.7	88	5.8	<b>1.82 (1.25–2.68)</b>
	≥2	22	4.3	39	2.6	<b>1.75 (1.02–3.03)</b>
DDT	Unexposed	485	93.8	1447	96.1	1.00
	>0 and ≤2	18	3.5	32	2.1	1.75 (0.96–3.21)
	>2	14	2.7	27	1.8	1.50 (0.77–2.91)
Fungicides						
Captan	Unexposed	497	96.1	1482	98.4	1.00
	>0 and ≤2	11	2.1	12	0.8	<b>2.69 (1.17–6.19)</b>
	>2	9	1.7	12	0.8	<b>2.80 (1.13–6.90)</b>
Sulphur	Unexposed	500	96.7	1485	98.6	1.00
	Exposed ≥1	17	3.3	21	1.4	<b>2.26 (1.16–4.40)</b>
Fumigant						
Carbon tetrachloride	Unexposed	504	97.5	1488	98.8	1.00
	>0 and ≤2	13	2.5	18	1.2	<b>2.13 (1.02–4.47)</b>

<sup>a</sup> ORs calculated with strata for the variables age and province of residence.

The strength of our results is enhanced by their internal consistency as we applied the strategy of assessing risk by different analytic approaches progressing from exposure to: (a) major chemical classes of herbicides, insecticides, fungicides, and fumigants; (b) individual compounds within those major chemical classes; and (c) individual compounds stratified by days per year of exposure. We constructed models that included potential confounders (e.g., positive history of cancer in a first-degree relative). Generally, the same individual compounds or class of compounds was associated with case status. The risk estimates based on exposure to major chemical classes or to individual compounds tended to be precise, as indicated by the 95% CIs.

Our results confirm previously reported associations of NHL and a personal history of cancer (30, 31), of NHL and a history of cancer among first-degree relatives (32, 33), and of NHL and exposure to selected pesticides (1, 3, 5, 9–13). We were unable to find a previous report suggesting a protective effect of allergy desensitization shots. Koepsell *et al.* reported little association of the number of allergy desensitization shots and MM (34). The relationship between allergy and cancer is complex with well-designed studies reporting opposite results (35–38). Cigarette smoking was not a risk factor overall, confirming one study (39) and contradicting others (40, 41), although certain subtypes (39, 40) of NHL may be associated with cigarette smoking.

The limitations of this study relate to those inherent in the case-control design, specifically the potential for recall bias and

for misclassification of pesticide exposure. Hoar *et al.* and Zahm *et al.* (11, 13), as well as others (27–29, 42–45), have dealt extensively with these issues among farmers. We have included individuals in many different occupations as well as home and garden users. These are groups for whom we did not find extensive validation studies. Their inclusion may have biased our dose-response findings toward the null, although the yes/no responses to individual pesticides would be less affected. We reduced the number of surrogate responders by excluding deceased persons from our definition of eligible subjects. This strategy was useful in decreasing the potential for misclassification of exposure.

A second limitation is the less-than-optimal response rates. We continued to recruit subjects in each province until the target numbers were achieved. We compared respondents to nonrespondents using postal codes as an indicator of rural residence, and we did not find a rural bias among respondents.

We reported results for a number of chemical agents and exposures, not all of which were specified in the hypothesis. Therefore, the statistical analyses related to these unspecified agents should be considered exploratory. As a consequence of conducting multiple comparisons, a small number of statistically significant results may be attributable to chance.

The two-tiered study design permitted us to obtain detailed information related to factors other than pesticides that are known or suspected of being etiologically associated with NHL. The mailing of a list of pesticides with both trade and generic chemical names followed by a telephone interview



Table 9 Distribution of numbers of exposures to multiple types of pesticides among cases and controls

	NHL		Controls		OR <sup>a</sup> (95% CI)
	n	%	n	%	
Multiple herbicide use					
Unexposed <sup>b</sup>	374	72.3	1148	76.2	1.00
Exposed 1	45	8.7	146	9.7	1.02 (0.70–1.47)
Exposed 2–4	73	14.1	151	10.0	<b>1.75 (1.27–2.42)</b>
Exposed ≥5	25	4.8	61	4.1	1.41 (0.84–2.35)
Multiple insecticide use					
Unexposed	370	71.6	1154	76.6	1.00
Exposed 1	44	8.5	127	8.4	1.24 (0.85–1.80)
Exposed 2–4	86	16.6	189	12.6	<b>1.58 (1.17–2.13)</b>
Exposed ≥5	17	3.3	36	2.4	1.46 (0.79–2.69)
Multiple fungicide use					
Unexposed	457	88.4	1361	90.4	1.00
Exposed 1	32	6.2	90	6.0	1.08 (0.70–1.67)
Exposed ≥2	28	5.4	55	3.7	1.61 (.99–2.63)
Multiple fumigant use					
Unexposed	487	94.2	1440	95.6	1.00
Exposed ≥1	30	5.8	66	4.4	1.45 (0.91–2.63)
Multiple pesticide use <sup>c</sup>					
Unexposed	357	69.1	1095	72.7	1.00
Exposed 1–4	77	14.9	230	15.3	1.09 (0.81–1.46)
Exposed ≥5	83	16.1	181	12.0	<b>1.57 (1.16–2.14)</b>

<sup>a</sup> ORs calculated with strata for the variables age and province of residence.

<sup>b</sup> With the exception of the variable multiple pesticide use, the “unexposed” referent category is specific to the class of pesticides.

<sup>c</sup> The unexposed referent category contains those who did not report exposure to herbicides, insecticides, fungicides, or fumigants.

allowed the collection of detailed information concerning pesticide exposure. The statistical power of our study was enhanced by the large number of cases and controls. In instances of rare exposures (<1% exposed), we had limited statistical power to detect associations. We restricted our analyses of individual pesticide compounds to those for which at least 1% of respondents indicated exposure.

The study was not restricted to pesticide exposure experienced by a specific occupational group. Occupational exposure was quite diverse; single *versus* multiple pesticides; indoor *versus* outdoor applications. For example, men who work in animal confinement buildings, grain elevators, and pesticide manufacturing have different exposure patterns in comparison with grain farmers and commercial applicators. Because this study encompassed a large geographical area of Canada, there was substantial diversity among agricultural enterprises and in the patterns and types of pesticide exposure.

Delineating the putative relationship between exposure to pesticides and NHL is complicated: (a) by the subject's exposure to a variety of different pesticides many of which are not mutagenic, teratogenic, or carcinogenic when tested as a single compound; (b) by the complexity of formulations of pesticides, the details of which are privileged proprietary information; (c) by the diversity of routes of possible exposure, which include ingestion, dermal, inhalation, and ocular; (d) by unexpected interactions among seemingly unrelated exposures, such as the increased permeability of rubber gloves to 2,4-D when exposed simultaneously to the insect repellent DEET and sunlight (46); and (e) by the role of differential genetic susceptibility.

Garry *et al.* (47) describe a potential mechanism to explain the relationship between exposure to specific pesticides and an increased risk of developing NHL. They have demonstrated specific chromosomal alterations in the peripheral lymphocytes of pesticide applicators exposed to a variety of pesticide classes. A higher frequency of chromosomal breaks involving band 18q21 was found in men who applied only herbicides

compared with nonoccupationally exposed controls. Higher frequencies of rearrangements and breaks involving band 14q32 were found among men who applied herbicides, insecticides, and fumigants compared with controls. Reciprocal translocations between chromosomes 14q32 and 18q21 are frequently found in NHL patients.

Our results support previous findings of an association between NHL and specific pesticide exposures. Our strategy of assessing risk by several different approaches, beginning with general categories (*e.g.*, herbicides), proceeding through cumulative pesticide exposure to specific chemical classes, and proceeding further to specific chemicals, proved effective in delineating complex relationships. In our final models, NHL was associated with a personal history of cancer; a history of cancer in first-degree relatives; and exposure to dicamba-containing herbicides, to mecoprop, and to aldrin. A personal history of measles and of allergy desensitization treatments lowered risk.

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