

EXHIBIT 5

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8 *Attorneys for Defendant,*
MONSANTO COMPANY

10 **UNITED STATES DISTRICT COURT**
11 **NORTHERN DISTRICT OF CALIFORNIA**

13 IN RE: ROUNDUP PRODUCTS
14 LIABILITY LITIGATION

MDL No. 2741
Case No. 3:16-md-02741-VC

15 This document relates to:
16 ALL ACTIONS

17 **MONSANTO'S RESPONSE TO PLAINTIFFS' REQUEST FOR PRODUCTION OF**
18 **DOCUMENTS AND TANGIBLE THINGS TO DEFENDANT MONSANTO COMPANY**

19 Defendant Monsanto Company ("Monsanto"), by and through its attorneys, hereby
20 responds to Plaintiffs' Request for Production of Documents and Tangible Things to Defendant
21 Monsanto Company, dated March 15, 2017, as follows:

22 **GENERAL OBJECTIONS**

23 The following general objections are applicable to each of the Plaintiffs' March 15, 2017
24 Requests for Production:

25 1. Monsanto objects to the Requests to the extent they call for the discovery of
26 information protected by the attorney-client privilege and/or attorney work product doctrine.
27 Monsanto will construe all Requests as extending only to information and documentation that are
28 not protected by the attorney-client privilege and/or the work product doctrine.

1 2. Monsanto objects to the extent the Requests would require Monsanto to produce
2 information not within its possession, custody, or control, including information in the
3 possession of other corporations, organizations, or individuals not employed by Monsanto.

4 3. Monsanto objects to the Requests to the extent they exceed the bounds of
5 permissible discovery at this time in the MDL, which relates to whether glyphosate or
6 glyphosate-containing products can cause non-Hodgkin's lymphoma.

7 4. Monsanto objects to the extent the Requests call for production of records located
8 outside of the United States or seek information related to Monsanto products manufactured,
9 sold, or marketed outside of the United States or to employees of foreign subsidiaries or affiliates
10 of Monsanto Company. There are no allegations that plaintiffs were exposed to or purchased
11 glyphosate-containing products outside of the United States. Monsanto is headquartered and has
12 its principal place of business in the United States where it maintains its relevant non-custodial
13 scientific and regulatory records collections in addition to certain records libraries (hard copy
14 and electronic). The probative value of any records located outside the United States of which
15 Monsanto is found to have possession, custody, or control are thus likely to be cumulative,
16 unnecessary, and of limited probative value compared to the records already being produced. In
17 addition, foreign privacy laws may preclude or limit the production of records for this civil
18 litigation or substantially increase the costs of such production (*e.g.*, requiring extensive personal
19 privacy redactions and negotiation with foreign privacy law authorities). Thus, the requested
20 foreign discovery also is not proportional to the needs of this case.

21 5. Monsanto objects to the Requests to the extent they seek information or
22 documentation that is publicly available and therefore readily available to plaintiffs because the
23 burden of obtaining such information is the same for plaintiffs as it would be for Monsanto.

24 6. Monsanto objects to the Requests to the extent they seek information already
25 provided to the plaintiffs in previous document productions and depositions. Defendant is not
26 required to produce the same information more than once or in a format different from that
27 already used. Fed. R. Civ. P. 26(b)(2)(C); *see also* Fed. R. Civ. P. 34(b)(2)(E)(iii) ("A party
28

1 need not produce the same electronically stored information in more than one form.”).

2 7. Monsanto’s objections and responses to the Requests are made without waiving
3 the right, at any time and for any reason, to revise, supplement, correct, add to, or clarify these
4 responses.

5 **REQUESTS FOR PRODUCTION**

6 1. Please produce all original and re-cut slides of the kidney tissue and
7 lymphoreticular tissue from the mice in Study BD-77-420.

8 **MONSANTO’S RESPONSE:**

9 **Monsanto objects to this discovery as unnecessary, burdensome, and an untimely,**
10 **attempted end-run around the current discovery schedule entered by the Court. See Fed.**
11 **R. Civ. P. 26(b)(2)(C). Plaintiffs had ample opportunity to request these items in discovery**
12 **in this phase of the litigation.**

13 **The study referenced above was part of Monsanto’s initial non-custodian-based**
14 **document productions. Monsanto produced the study to two of the co-lead counsel for**
15 **plaintiffs by June 2016 and made it available to the third co-lead counsel for plaintiffs in**
16 **August 2016. Published literature (e.g., Greim (2015)) available to plaintiffs long before**
17 **creation of this MDL also discusses this study. Plaintiffs did not mention seeking pathology**
18 **review in the parties’ Rule 26(f) discovery plan or in any Case Management Conference**
19 **(“CMC”) for this MDL.**

20 **If plaintiffs considered this discovery important, they had every opportunity to**
21 **request it in a timely fashion. Instead, plaintiffs unreasonably delayed their request,**
22 **waiting months until March 15, 2017, just weeks before the close of fact discovery, to**
23 **request that Monsanto locate, identify, and produce these materials – which are over 30**
24 **years old and whose existence has been known to plaintiffs from the beginning of this**
25 **litigation. In fact, IARC monograph upon which plaintiffs rely so heavily references the**
26 **study.**

1 **Plaintiffs' strategic decision to wait and serve this discovery request, for which**
2 **complicated review protocols and time for review are needed, is not permissible under the**
3 **Rules and the Court should deny such requests where, as here, plaintiffs had ample**
4 **opportunity to seek this discovery, and chose not to do so. Most recently, during the CMC**
5 **of March 8, 2017, the Court addressed the discovery planned for the final weeks of fact**
6 **discovery. At that conference, plaintiffs did not indicate that they intended to serve**
7 **additional discovery requests or indicate that additional issues may arise requiring court**
8 **intervention. See Tr. of the Telephonic Proceedings of the Official Electronic Sound**
9 **Recording (Mar. 8, 2017) at 4-5. The discovery sought here is not a new issue that arose**
10 **after that conference.**

11 **Monsanto objects to this discovery because it is a fishing expedition and any limited**
12 **relevance is not proportional to the needs of the litigation. See Fed. R. Civ. P. 26(b)(1).**
13 **Further, the discovery sought is unreasonably cumulative or duplicative. See Fed. R. Civ.**
14 **P. 26(b)(2)(C)(i). Plaintiffs have not and cannot provide any ground to conclude that**
15 **reexamination of the slides will lead to different conclusions regarding which mice had**
16 **tumors and which did not or any materially different information from what is already**
17 **available to plaintiffs through the study itself. Plaintiffs' discovery request is, thus,**
18 **overbroad and unduly burdensome to the extent that it seeks reexamination of tissue slides**
19 **of mice that were not identified through the study as having tumors. There is no basis to**
20 **conclude that reexamination will provide materially different information than what is**
21 **already available to plaintiffs, including the independent review of the same kidney slides**
22 **at issue here by numerous pathologists and those who were members of a Pathology**
23 **Working Group convened at the request of EPA, all of whom found that none of the kidney**
24 **tumors identified in the study were related to glyphosate. Thus, this discovery is not**
25 **important in resolving the issues in this litigation, it is unreasonably cumulative or**
26 **duplicative of the prior examinations of the same pathology, and the burden and expense**
27 **discussed further below is unjustified.**

1 **The other Rule 26(b)(1) considerations have already been accounted for through the**
2 **extensive discovery already permitted which has included production by Monsanto of**
3 **nearly 900,000 documents (estimated to total over 10 million pages) from two continents,**
4 **additional non-party document productions (e.g., from members of the 2015 Glyphosate**
5 **Expert Panel convened by Intertek), and numerous depositions.**

6 **Monsanto objects because plaintiffs' unreasonable delay would prejudice Monsanto.**
7 **Monsanto's interests are in the prompt and efficient resolution of the threshold general**
8 **causation issue without unnecessary expense or delay. Plaintiffs' request to review**
9 **pathology slides, which would amount to hundreds of slides as phrased, introduces a new**
10 **category of experts (pathologists) to this litigation whose evaluation will likely be time**
11 **consuming and continue beyond the close of fact discovery. It is unlikely that the parties**
12 **and Court will be able to resolve the scope, protocol, and procedures for inspection of slides**
13 **and complete expert review of any slides for which the request to review is justified within**
14 **the current discovery schedule, which has been in place since November 23, 2016.**
15 **Plaintiffs' unjustified delay in pursuing this discovery does not warrant good cause for a**
16 **change in the Court's schedule. See Fed. R. Civ. P. 16(b)(4).**

17 **Monsanto objects to producing or permitting inspection of "original and re-cut**
18 **slides of the . . . lymphoreticular tissue from the mice in Study BD-77-420" because**
19 **lymphoreticular tissue is an undefined, vague, and ambiguous term, which can refer to**
20 **different tissues, such as the spleen, thymus, lymph nodes, or bone marrow. It is unclear**
21 **which tissues plaintiffs are identifying here. Plaintiffs should be required to show the basis**
22 **for requesting each tissue type prior to obtaining any inspection to avoid a fishing**
23 **expedition. For each requested tissue type in which no tumors were found, plaintiffs**
24 **should be required to explain the basis for seeking this discovery.**

25 **Monsanto objects because plaintiffs do not specify "a reasonable time, place, and**
26 **manner for the inspection and for performing the related acts" as required by Fed. R. Civ.**
27 **P. 34(b)(1)(B). Plaintiffs' request simply states, "Please produce all original and re-cut**
28

1 slides of the kidney tissue and lymphoreticular tissue from the mice in Study BD-77-420.”
2 Plaintiffs do not specify a place or protocols to safeguard the slides and to ensure no
3 alteration of or damage to the slides. Pursuant to EPA regulation, 40 C.F.R. §
4 160.195(b)(1), Monsanto is required to retain these slides as part of its archives.

5 Accordingly, Monsanto will not produce or permit inspection of any slides. If the
6 Court concludes that some or all of this requested discovery nevertheless should proceed,
7 the Court should order that the discovery only proceed under the following conditions: (1)
8 Inspection will be limited to a reasonable period for slide review under a microscope with
9 no alteration of the slides. (2) Inspection will occur at a facility selected by Monsanto to be
10 located within 100 miles of Monsanto’s St. Louis archives. (3) Monsanto will be permitted
11 to have representative(s) present to ensure the integrity and chain of custody of the slides.
12 Plaintiffs and their experts will not be permitted to take possession of the slides. (4) The
13 parties will agree upon the hours and days for review or seek Court resolution if no
14 agreement can be reached. (5) Plaintiffs and Monsanto will evenly share the cost of the
15 review facility with each party separately bearing costs and fees of their counsel, experts, or
16 other representatives.

17
18
19 2. Please produce the full study reports for the following studies identified in the
20 Kier & Kirkland, Review of genotoxicity studies of glyphosate and glyphosate-based
21 formulations, Crit Rev Toxicol. 2013 Apr; 43(4):283-315. doi: 10.3109/10408444.2013.770820.
22 Epub 2013 Mar 12:

23 Callander RD. (1996). Glyphosate acid: an evaluation of mutagenic potential using S.
24 Typhimurium and E. Coli. Unpublished Regulatory Study. Report Identification Number:
CTL/P/4874

25 Callander RD. (1999). Potassium salt of glyphosate: bacterial mutation assay in S.
26 Typhimurium and E. Coli. Unpublished Regulatory Study. Report Identification Number:
CTL/P/6184

1 English translation of Catoyra JM. (2009). [Reverse Mutation Assay of Roundup Full II
2 M in Salmonella typhimurium]. Unpublished Regulatory Study. Report Identification
3 Number: Study Number XX-2011-0622

4 Akanuma M. (1995a). HR-001: DNA Repair Test (Rec-Assay). Unpublished Regulatory
5 Study. Report Identification Number: IET 94-0141

6 Report Identification Number: IET 94-0142

7 Clay P. (1996). Glyphosate acid: L5178Y TK+/- mouse lymphoma gene mutation assay.
8 Unpublished Regulatory Study. Report Identification Number: CTL/P/4991

9 Costa KC. (2008). Evaluation of the mutagenic potential of GLYPHOSATE
10 TECHNICAL by micronucleus assay in mice. Unpublished Regulatory Study. Report
11 Identification Number: 3996.402.395.07

12 Durward R. (2006). Glyphosate technical: micronucleus test in the mouse. Unpublished
13 Regulatory Study. Report Identification Number: 2060/014

14 Flugge C. (2009a). Mutagenicity study of glyphosate TC in the Salmonella Typhimurium
15 reverse mutation assay (in vitro). Unpublished Regulatory Study. Report Identification
16 Number: 23916

17 Flugge C. (2009b). Micronucleus test of glyphosate TC in bone marrow cells of the CD
18 rat by oral administration. Unpublished Regulatory Study. Report Identification Number:
19 23917

20 Flugge C. (2010a). Mutagenicity study of trop M (glyphosate 480) in the Salmonella
21 Typhimurium reverse mutation assay (in vitro). Unpublished Regulatory Study. Report
22 Identification Number: 24753

23 Flugge C. (2010b). Mutagenicity study of glyphosate TC in the Salmonella Typhimurium
24 reverse mutation assay (in vitro). Unpublished Regulatory Study. Report Identification
25 Number: 24880

26 Flugge C. (2010c). Micronucleus test of trop M (glyphosate 480) in bone marrow cells of
27 the NMRI mouse by oral administration. Unpublished Regulatory Study. Report
28 Identification Number: 24754

Flugge C. (2010d). Mutagenicity study of [glyphosate 757 g/kg granular formulation] in
the Salmonella Typhimurium reverse mutation assay (in vitro). Unpublished Regulatory
Study. Report Identification Number: 25631

Flugge C. (2010e). Micronucleus test of [glyphosate 757 g/kg granular formulation] in
bone marrow cells of the CD rat by oral administration. Unpublished Regulatory Study.
Report Identification Number: 25632

Fox V. (1998). Glyphosate acid: in vitro cytogenetic assay in human lymphocytes.
Unpublished Regulatory Study. Report Identification Number: CTL/P/6050

Fox V, Mackay JM. (1996). Glyphosate acid: mouse bone marrow micronucleus test.
Unpublished Regulatory Study. Report Identification Number: SM0796

1 Gava MA. (2000). Evaluation of the mutagenic potential of the test substance
2 GLIFOSTO IPA TECNICO NUFARM by micronucleus assay in mice. Unpublished
Regulatory Study. Report Identification Number: RF-G12.022/00

3 Honarvar N. (2005). Micronucleus assay in bone marrow cells of the mouse with
4 glyphosate technical. Unpublished Regulatory Study. Report Identification Number:
872000

5 Honarvar N. (2008). Glyphosate technical – micronucleus assay in bone marrow cells of
the mouse. Unpublished Regulatory Study. Report Identification Number: 1158500

6 Jensen JC. (1991a). Mutagenicity test: Ames Salmonella assay with glyphosate, batch
7 206-JaK-25-1. Unpublished Regulatory Study. Report Identification Number: 12323

8 Jensen JC. (1991b). Mutagenicity test: in vitro mammalian cell gene mutation test with
9 glyphosate, batch 206-JaK-25-1. Unpublished Regulatory Study. Report Identification
Number: 12325

10 Jensen JC. (1991c). Mutagenicity test: micronucleus test with glyphosate, batch 206-JaK-
25-1. Unpublished Regulatory Study. Report Identification Number: 12324

11 Jones E. (1999). Potassium salt of glyphosate: mouse bone marrow micronucleus test.
12 Unpublished Regulatory Study. Report Identification Number: CTL/P/6242

13 Marques MFC. (1999). A micronucleus study in mice for glifosate tecnico nufarm.
Unpublished Regulatory Study. Report Identification Number: RF-G12.79/99

14 Matsumoto K. (1995). HR-001: in vitro cytogenetics test. Unpublished Regulatory Study.
15 Report Identification Number: IET 94-0143

16 Miyaji CK. (2008). Evaluation of the mutagenic potential of the test substance glyphosate
17 technical by reverse mutation assay in Salmonella Typhimurium (Ames test).
Unpublished Regulatory Study. Report Identification Number: 3996.401.392.07

18 Negro Silva LF. (2009). A17035A -- mammalian erythrocyte micronucleus test.
19 Unpublished Regulatory Study. Report Identification Number: RL7459/2008 -- 14.0MN-
B

20 Negro Silva LF. (2011). Glyphosate SL (A13013Z) – mammalian erythrocyte
21 micronucleus test. Unpublished Regulatory Study. Report Identification Number:
RL69575MN-B

22 Ranzani MRTC. (2000). Evaluation of the mutagenic potential of the test substance
23 Glifosato IPA Tecnico Nufarm. Unpublished Regulatory Study. Report Identification
Number: Study No. RF-G11.040/00

24 Ribeiro do Val R. (2007). Bacterial reverse mutation test (Ames test) for [glyphosate
25 technical]. Unpublished Regulatory Study. Report Identification Number: RL3393/2007 -
2.0AM-B

26 Rossberger S. (1994). DNA repair test with primary rat hepatocytes. Unpublished
27 Regulatory Study. Report Identification Number: 931564

1 Schreib G. (2010). Reverse mutation assay using bacteria (Salmonella Typhimurium and
2 Escherichia Coli) with glyphosate technical. Unpublished Regulatory Study. Report
Identification Number: 102025

3 Sokolowski A. (2007a). Salmonella Typhimurium and Escherichia Coli reverse mutation
4 assay with glyphosate technical. Unpublished Regulatory Study. Report Identification
Number: 1061401

5 Sokolowski A. (2007b). Salmonella Typhimurium and Escherichia coli reverse mutation
6 assay with glyphosate technical. Unpublished Regulatory Study. Report Identification
Number: 1061402

7 Sokolowski A. (2007c). Salmonella Typhimurium and Escherichia Coli reverse mutation
8 assay glyphosate technical. Unpublished Regulatory Study. Report Identification
Number: 1061403

9 Sokolowski A. (2009a). Salmonella Typhimurium and Escherichia Coli reverse mutation
10 assay with glyphosate technical. Unpublished Regulatory Study. Report Identification
Number: 1236400

11 Sokolowski A. (2009b). Glyphosate technical Salmonella Typhimurium and Escherichia
12 Coli reverse mutation assay. Unpublished Regulatory Study. Report Identification
Number: 1264500

13 Suresh TP (1992). Dominant lethal test in Wistar rats. Unpublished Regulatory Study.
Report Identification Number TOXI:888-DLT

14 Suresh TP. (1993a). Mutagenicity – Salmonella Typhimurium reverse mutation assay
15 (Ames test). Unpublished Regulatory Study. Report Identification Number: TOXI: 887-
MUT.AMES

16 Suresh TP. (1993b). Mutagenicity–micronucleus test in Swiss albino mice. Unpublished
17 Regulatory Study. Report Identification Number: TOXI: 889-MUT.MN

18 Suresh TP. (1994). Genetic toxicology – in vivo mammalian bone marrow cytogenetic
19 test – chromosomal analysis. Unpublished Regulatory Study. Report Identification
Number: TOXI:890-MUT-CH.AB

20 Thompson PW. (1996). Technical glyphosate reverse mutation assay (Ames test) using
21 Salmonella Typhimurium and Escherichia Coli. Unpublished Regulatory Study. Report
Identification Number: SPL Proj. No. 434/014

22 Uhde H. (2004). Mutagenicity study of FSG 03090 H-1 in the Salmonella Typhimurium
23 reverse mutaiton assay (in vitro). Unpublished Regulatory Study. Report Identification
Number: 18487/04

24 Wallner B. (2010). Reverse mutation assay using bacteria (Salmonella Typhimurium)
25 with glyphosate TC. Unpublished Regulatory Study. Report Identification Number:
101268

26 Wright NP. (1996). Technical glyphosate: chromosome aberration test in CHL cells in
27 vitro. Unpublished Regulatory Study. Report Identification Number: 434/015\
28

1 Zoriki Hosomi R. (2007). Mammalian erythrocyte micronucleus test for [glyphosate
2 technical]. Unpublished Regulatory Study. Report Identification Number: 3393/2007-
3.0MN

4 **MONSANTO'S RESPONSE:**

5 **Monsanto objects because any additional discovery would be unreasonably**
6 **cumulative, and is not proportional to the needs of this litigation. Plaintiffs and their**
7 **experts already have access to nearly 900,000 documents (estimated to total over 10 million**
8 **pages) from two continents, additional non-party document productions (e.g., from**
9 **members of the 2015 Glyphosate Expert Panel convened by Intertek), and numerous**
10 **depositions transcripts. See Fed. R. Civ. P. 26(b)(1), (b)(2)(C)(1).**

11 **Monsanto objects that Request No. 2 seeks information not in Monsanto's**
12 **possession, custody, or control, as the requested studies sought are those of other**
13 **companies and not in the possession, custody, or control of Monsanto. In fact, it appears**
14 **that most of these studies were conducted by different manufacturers for their independent**
15 **product registrations. Monsanto would not have access to such studies. Nevertheless, in**
16 **addition to the hundreds of hours that Monsanto spent searching for, identifying, and**
17 **collecting documents for production in this litigation, in response to Request Nos. 2 and 3,**
18 **Monsanto spent over 20 additional hours trying to locate these specific requested**
19 **documents and confirm Monsanto's belief that it does not have possession, custody, or**
20 **control of the requested documents. This included employee interviews and searches of**
21 **electronic and hardcopy sources identified through interviews as the expected storage**
22 **locations if Monsanto had received copies.**

23 **Notwithstanding the above objections, after a reasonable search, Monsanto found**
24 **no more responsive documents to plaintiffs' Request No. 2.**

25
26 3. Please produce the full study reports for the following studies identified in
27 document MONGLY02353002:
28

1 Thompson 2014, Ames Test, from Albaugh, Source RAR 2015
2 Donath 2011, unpublished report, CHA Doc. No. 1146 GLY
3 Donath, 2011b, Sudy No: 110385, Unpublished report, CHA Doc. No.: 1149 GLY
4 Donath, 2010, 104039
5 Donath, 2011, 104501
6 Thompson, 2014, 41401854
7 Fassio, 1995, 940724, I. Pi. Ci.
8 Wang, et al, 1993 87BMA012-E
9 Jenkinson 1990 300/1/R235
10 Bhide, 1986, from Barclay
11 Antal, 1981, from Alkaloida
12 Jenkinson 1990, from Agrichem
13 Van de Waart, 1995 TOX9651525
14 Kyomu, 1995, ASB 2012-11475
15 Gyorgy, 1989, from Alkaloida
16 Roth, 2012, ASB2014-9333
17 Anonym, 1987, from Luxan

MONSANTO'S RESPONSE:

18 **Monsanto objects because any additional discovery would be needlessly cumulative,**
19 **and is not proportional to the needs of this litigation. Plaintiffs and their experts already**
20 **have access to nearly 900,000 documents (estimated to total over 10 million pages) from**
21 **two continents, additional non-party document productions (e.g., from members of the**
22 **2015 Glyphosate Expert Panel convened by Intertek), and numerous deposition**
23 **transcripts. See Fed. R. Civ. P. 26(b)(1), (b)(2)(C)(1).**

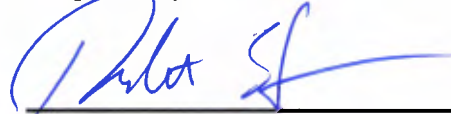
24 **Monsanto objects that Request No. 3 seeks information not in Monsanto's**
25 **possession, custody, or control, as the requested studies sought are those of other**
26 **companies and not in the possession, custody, or control of Monsanto. In fact, it appears**
27 **that most of these studies were conducted by different manufacturers for their independent**
28 **product registrations. Monsanto would not have access to such studies. Nevertheless, in**
addition to the hundreds of hours that Monsanto spent searching for, identifying, and
collecting documents for production in this litigation, in response to Request Nos. 2 and 3,
Monsanto spent over 20 additional hours trying to locate these specific requested
documents and confirm Monsanto's belief that it does not have possession, custody, or
control of the requested documents. This included employee interviews and searches of
electronic and hardcopy sources identified through interviews as the expected storage

1 **locations if Monsanto had received copies. The only requested document Monsanto located**
2 **was Van de Waart (1995), which Monsanto already produced to plaintiffs at**
3 **MONGLY00052085 – MONGLY00552101. It is believed that Monsanto purchased the**
4 **copy of Van de Waart it produced to plaintiffs.**

5 **Notwithstanding the above objections, after a reasonable search, Monsanto found**
6 **no more responsive documents to plaintiffs' Request No. 3.**

7
8 DATED: April 14, 2017

Respectfully submitted,

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18 *Attorneys for Defendant,*

19 *MONSANTO COMPANY*

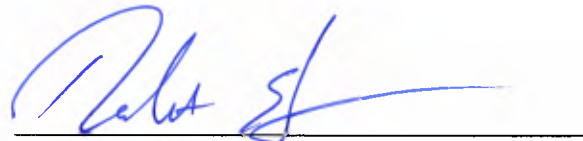
CERTIFICATE OF SERVICE

I hereby certify that, on this 14th day of April 2017, the foregoing MONSANTO'S RESPONSE TO PLAINTIFFS' REQUEST FOR PRODUCTION OF DOCUMENTS AND TANGIBLE THINGS TO DEFENDANT MONSANTO COMPANY was served by electronic and first-class mail upon the following MDL Co-Lead Counsel:

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